## **REVIEW ARTICLE**

# Nitrogen monoxide and carbon monoxide transfer interpretation: state of the art

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### Summary

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Just a few clinicians routinely measure the subcomponents of the lung diffusing capacity for Carbone monoxide (DL<sub>CO</sub>). This is because the measurement of membrane and blood conductances for CO ( $Dm_{CO}$  and  $Db_{CO} = \theta_{CO} \times V_c$ , respectively) by the classic Roughton and Forster method is complicated and time consuming. In addition, it mistakenly assumes a close relationship between alveolar oxygen partial pressure (PAO<sub>2</sub>) and mean intracapillary oxygen partial pressure (PcapO<sub>2</sub>) which is the true determinant of specific conductance of haemoglobin for CO ( $\theta_{CO}$ ). Besides that, the critical multistep oxygenation method along with different linear equations relating  $1/\theta_{CO}$  to  $PcapO_2$  gave highly scattered  $Dm_{CO}$ and  $V_{\rm c}$  values. The Dm and  $V_{\rm c}$  can also be derived from a simultaneous measurement of DL<sub>NO</sub> and DL<sub>CO</sub> with the blood resistance for NO assumed to be negligible. However, recent in vitro and in vivo experiments point towards a finite value of  $\theta_{\rm NO}$  (about 4.5 ml<sub>NO</sub> × ml<sub>blood</sub><sup>-1</sup> × min<sup>-1</sup> × mmHg<sup>-1</sup>). Putting together the arguments and our clinical data allows us to report here the state of the art in partitioning the CO diffusing capacity into its constitutive components, with the goal to encourage further studies examining the sensitivity of  $Dm_{CO}$  and  $V_c$  to alterations observed in parenchymal diseases.

### Introduction

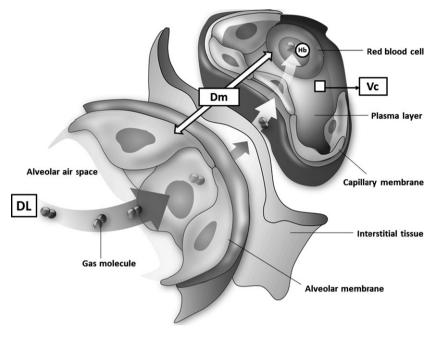
Considering that the detection of diseases involving lung parenchyma, for example, idiopathic pulmonary fibrosis (IPF) and pulmonary arterial hypertension (PAH) in preclinical and early stages will improve outcomes and quality of life, prolong survival and, possibly, cure the disease, there is a need for new sensitive physiological tests. Such an effort is critically relevant and would inform issues such as staging of diseases and identification of additional surrogate endpoints for clinical trials.

Following Roughton and Forster (Roughton & Forster, 1957), the transfer of CO from air to blood consists of two conductances in series (Fig. 1). The first is membrane conductance, related to the diffusing capacity of alveolar–capillary membrane. This conductance is the result of passive diffusion through a more or less thin tissue barrier (1  $\mu$ m), consisting of the alveolar epithelial type 1 cell, a basement membrane and a capillary endothelial cell. Additionally, there is an intracapillary component consisting of a plasma layer of variable

thickness between the endothelium and the red cell membrane. The second is lung capillary blood conductance (Db<sub>CO</sub>) which depends on both the reactivity of CO with Hb and the mass of Hb in the lung capillaries which in turn depends on lung capillary blood volume ( $V_c$ ): Db<sub>CO</sub> =  $\theta_{CO} \times V_c$ .

Diseases that damage the alveolar–capillary membrane could reduce both the membrane conductance (Dm) and the blood conductance (Db) for the gas in test because the alveolar epithelium and pulmonary capillaries are anatomically in such close proximity. Consequently measuring the subcomponents of  $DL_{CO}$ , the membrane conductance for CO and the blood conductance is usually believed to add little useful clinical information. However, no further evidence came to support this assumption and this might be incorrect.

IPF is a good example of the putative interest of the calculation of Dm and  $V_c$ . Time variations in lung function variables are predictors of mortality in IPF. Pulmonary function testing provides the most standardized approach to objective monitoring and quantification of disease progression. A decline in forced vital capacity (FVC) of 5–10% over 6 or 12 months



**Figure 1** Structure of the alveolar–capillary membrane: DL is defined as the flow rate of a given gas X passing through the structure for a unit of partial pressure difference between alveolar and capillary blood. Dm refers to the total conductance of the tissue layers including: alveolar epithelium, basal membrane, interstitium, capillary membrane, plasma layer and red blood cell membrane.  $V_c$  refers to the capillary blood volume.

has been reliably associated with decreased survival (Flaherty et al., 2003). Recent data indicate that in IPF, decline in  $DL_{CO}$  has also been associated with decreased survival, although less consistently than FVC (Collard et al., 2003). A decline in absolute  $DL_{CO}$  value in the absence of an alternative explanation is consistent with progressive disease altering the membrane structure, although such a decline may also reflect changes in the pulmonary vasculature and coexistent pulmonary hypertension (Nadrous et al., 2005). However, less than 10% change in  $DL_{CO}$  should be interpreted with caution. Changes in this range are more likely to overlap with the intrinsic variability of the test (Zavorsky et al., 2008).

The final objective of the article was to discuss the potential added value of the partitioning of  $DL_{CO}$  into Dm and Db by providing physiological arguments issued from the use of Roughton–Forster (RF) equation for NO and CO. The conditions to fulfil this objective are as follows: (i) to strengthen the methodology of the measurements and (ii) to disseminate the knowledge of the new trends in the field by all educative means.

### Reconsidering the 'classic' Roughton–Forster (RF) equation

The RF model was a major conceptual step forward in understanding alveolar–capillary diffusion for CO, a surrogate for O<sub>2</sub>, but it does depend upon a value for the specific conductance of CO with haemoglobin (Hb),  $\theta_{CO}$ , which is the flow of CO due to the chemical reaction with Hb.  $\theta_{CO}$  was measured in vitro under conditions remote from the rheological situation of red cells flowing through pulmonary capillaries. So, measurements of  $\theta_{CO}$  in vitro may or may not represent the condition in vivo.

# Impact of the choice of oxygen pressure (PO\_2) value on $1/\theta_{CO}$ calculation

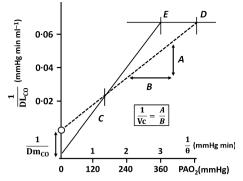
The overall conductance for CO transfer is related to its components following RF equation:

$$\frac{1}{\mathrm{DL}_{\mathrm{CO}}} = \frac{1}{\mathrm{Dm}_{\mathrm{CO}}} + \frac{1}{\theta_{\mathrm{CO}} \times V_{\mathrm{C}}}$$

 $V_{\rm c}$  is corrected taking into account Hb when multiplied by the ratio Hb standard/Hb measured with Hb standard = 14.6 g/dl in male and 13.4 g/dl in female.

In this equation,  $1/\theta_{CO}$  depends linearly on PO<sub>2</sub>:  $1/\theta_{CO}$  = a + bx PO<sub>2</sub> because O<sub>2</sub> competes with CO for the same Hb-binding sites, causing  $\theta_{CO}$  to decrease with increasing mean capillary oxygen pressure (PcapO<sub>2</sub>). As a consequence,  $DL_{CO}$  decreases while PO<sub>2</sub> increases. Many equations linking  $1/\theta_{CO}$  and PO<sub>2</sub> have been proposed (Hughes & Bates, 2003). An added complexity concerns the red cell membrane permeability ( $\lambda$ ) initially introduced by Roughton and Forster which looks now useless as, owing to its thickness and structure, the red cell membrane cannot oppose a significant resistance to gas diffusion as already written by Forster (Forster, 1987).

 $Dm_{CO}$  and  $V_c$  are traditionally derived from at least two measurements of  $DL_{CO}$  at different alveolar  $PO_2$  (PAO<sub>2</sub>). One measurement is taken in normoxia and at least another one in hyperoxic condition. Measurements are usually taken using the single breath method. However, other methods can be used provided that reference values with these methods have been published. A plot of  $1/DL_{CO}$  (y axis) versus  $1/\theta co$ (x axis) yields a straight line where the y-intercept is  $1/Dm_{CO}$ and the slope is  $1/V_c$  (1) (Fig. 2). 1475097x, 2017, 4 Downloaded from https://onlinelibrary.wiley.com/doi/10.1111/cpf.12316 by Bibliothecaire En Chef, Wiley Online Library on [15/0/12024]. See the Terms and Conditions (https://onlinelibrary.wiley.com/doi/10.1111/cpf.12316 by Bibliothecaire En Chef, Wiley Online Library on [15/0/12024]. See the Terms and Conditions (https://onlinelibrary.wiley.com/doi/10.1111/cpf.12316 by Bibliothecaire En Chef, Wiley Online Library on [15/0/12024]. See the Terms and Conditions (https://onlinelibrary.wiley.com/doi/10.1111/cpf.12316 by Bibliothecaire En Chef, Wiley Online Library on [15/0/12024]. See the Terms and Conditions (https://onlinelibrary.wiley.com/doi/10.1111/cpf.12316 by Bibliothecaire En Chef, Wiley Online Library for the applicable Creative Commons License



**Figure 2** Two-step method to determine Dm and  $V_c$  by plotting  $1/DL_{CO}$  against  $1/\theta_{CO}$ . This requires at least two data points which are measured at normal (point C) and high (point D) fraction of inspired O<sub>2</sub>. The bias of this method is due to the equivalence made between alveolar PO<sub>2</sub> and mean capillary PO<sub>2</sub>, which is the true determinant of  $1/\theta_{CO}$ . The error is much greater at high PO<sub>2</sub> leading to an overestimation of  $1/\theta_{CO}$ . Using the right value for PO<sub>2</sub> (point E) would increase the slope of the relationship decreasing then the 1/Dm value and increasing the  $1/V_c$  value. Therefore, Dm could be grossly underestimated by the method as  $V_c$  would be overestimated.

The values of  $Dm_{CO}$  and  $V_c$  depend critically on the chosen  $1/\theta_{CO}$  equation. Various equations (Roughton & Forster, 1957; Holland, 1969; Forster, 1987) led to a wide scatter of data for  $V_c$  and  $Dm_{CO}$  which render the results given by the method uncertain and poorly used. In this study, we used the 1987 version of RF equation for determining  $Dm_{CO}$  and  $V_c$ . A recent study aiming to find the right  $1/\theta_{CO}$  equation in vivo in humans led to the conclusion that Forster and Holland equations were each not optimal as an equation mixing their slopes and ordinates gave better results (Guenard *et al.*, 2013). A future scientifically based consensus on  $1/\theta_{CO}$  equation will therefore strengthen the whole method.

The  $PO_2$  value introduced in the  $1/\theta_{CO}$  equation is the PAO<sub>2</sub> as, for the sake by coherency, it should be the PcapO<sub>2</sub> which is in healthy at rest is slightly different from PAO<sub>2</sub> (-3 mmHg). In patients, the difference between PAO<sub>2</sub> and  $PcapO_2$  is greater owing in most case to great VA/Q heterogeneities. As the calculation of PcapO2 in these patients may be unreliable, a compromise would be to take PaO<sub>2</sub> in place of PcapO<sub>2</sub> which would slightly overestimate PcapO<sub>2</sub>, however, less than PAO2. The difference (PAO2-PaO2) increases of 5-7 mmHg for every 10% increase in FiO2 and the assumed distribution of the ventilation/perfusion ratio (West, 1969). For example, the use of  $PAO_2$  in place of  $PcapO_2$ . might overestimate the oxygen capillary pressure by 40 mmHg for a PAO<sub>2</sub> value of 450 mmHg obtained with increased FiO2 which would lead to an underestimation of  $Dm_{CO}$  by approximately 150 ml min<sup>-1</sup> mmHg<sup>-1</sup> using the Forster  $1/\theta$ co equation (Forster, 1987). Thus, the DL<sub>CO</sub> multiple oxygen fraction method, as used currently, is an unreliable method for the calculations of Dm and  $V_{\rm c}$ . As an accurate measurement of PcapO2 is hardly feasible in hyperoxic condition, this method looks not promising. Data already published with this method (Overbeek et al., 2008) should be considered with care.

# Towards a new consensus about $\mathsf{DL}_{\mathsf{NO}}$ and its subcomponents

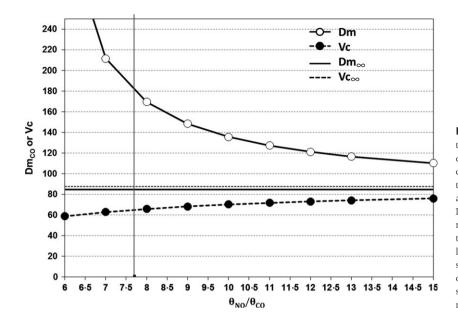
$$\frac{1}{\mathrm{DL}_{\mathrm{NO}}} = \frac{1}{\mathrm{Dm}_{\mathrm{NO}}} + \frac{1}{\theta_{\mathrm{NO}} \times V_{\mathrm{C}}}$$

The DL<sub>NO</sub> is similar in many ways to the more established  $DL_{CO}$ . It differs from the  $DL_{CO}$  in being independent of  $PO_2$ and Hb concentration in the normal range. Van der Lee et al. investigated the effect of Hb concentration on the DL<sub>NO</sub> by measuring  $DL_{NO}$  (in anaemic patients) and  $DL_{CO}$  before and shortly after red cell transfusion. The authors showed that unlike  $DL_{CO}$ ,  $DL_{NO}$  did not change by the increase of Hb concentration (Van der Lee et al., 2005). The DL<sub>NO</sub> was considered as depending mostly on membrane conductance. This assessment was supported by the rapid reaction of NO with free Hb (hundreds time faster than CO) leading to a very high  $\theta_{\rm NO}$  value and a negligible  $1/(\theta_{\rm NO} \times V_{\rm c})$  value. This assumption allowed to simplify the above RF equation to  $1/DL_{NO} = 1/Dm_{NO}$ . In this condition,  $DL_{NO}$  looks to be a surrogate for Dm. Dm<sub>NO</sub> and Dm<sub>CO</sub> are proportional, depending on a physical coefficient  $(\alpha)$  which has a fixed physical value according to the solubilities and the molecular weights of both gases and is about 2 (Guenard et al., 1987; Borland & Cox, 1991). Any alteration in this coefficient should receive scientifically based evidence.

With Hb in red cell, the reaction rate of NO is much lower owing to the high concentration of molecules of Hb packed in the cell. In vitro, the rate of reaction of NO with Hb in vesicles depends on both the concentration of Hb and the size of the vesicles (Sakai et al., 2008). Early in vitro measurement of  $\theta_{NO}$  in red cells (Carlsen & Comroe, 1958) gave a finite value of 4.5 ml NO (min × mmHg)<sup>-1</sup> per unit of blood volume. This figure was confirmed in dogs (Borland et al., 2010) and in humans (Guenard et al., 2013). Therefore, it appears that the assumption  $1/DL_{NO} = 1/Dm_{NO}$  is not correct and that both  $Dm_{CO}$  and  $V_c$  do contribute to  $DL_{NO}$ .

# Revised method for calculating Dm and $V_c$ by double NO/CO transfer measurement

The simultaneous measurements of  $DL_{CO}$  and  $DL_{NO}$  allow calculating  $Dm_{CO}$  and  $V_c$ ; however, the  $\theta_{NO}$  value used to solve the RF equation is critical. It has been suggested that there is no practical advantage to choosing a finite value for  $\theta_{NO}$  compared to the initially described method which assumed infinity for  $\theta_{NO}$  (Hughes, 2013). This point of view was supported by the fact that NO transfer is poorly sensitive to changes in blood Hb concentration unless this concentration becomes very low. However, this reasoning was made as if NO



**Figure 3** Plot of Dm and  $V_c$  values as function of the ratio of NO- and CO-specific conductance with Hb (k). The horizontal lines, continuous and doted, correspond, respectively, to  $V_c$  and Dm with the hypothesis of an infinite k ratio for a given set of DL<sub>CO</sub> and DL<sub>NO</sub> values (52 and 160 ml min<sup>-1</sup> mmHg, respectively). The upper curve is Dm as function of k between 6 and 15. Note the curvilinear form of the relation which increases steeply when k decreases. By contrast, the decrease in  $V_c$  with k looks small and progressive. The vertical line indicates the recommended k value in normoxia.

behaved as CO. The fact that the reactivity of Hb with NO implies three molecules of NO for one of Hb while one molecule of CO reacts with one molecule of Hb supports the notion that the red blood cell resistance to NO uptake is independent of the Hb concentration at least in the physiological range.

How could we derive Dm and Db from a simultaneous measurement of both the CO and the NO diffusing capacities?

Using the following set of RF equations:

$$\frac{1}{\text{DL}_{\text{NO}}} = \frac{1}{\alpha \times \text{Dm}_{\text{CO}}} + \frac{1}{\theta_{\text{NO}} \times V_{\text{C}}}$$

with  $1/(\alpha \times Dm_{CO}) = 1/Dm_{NO}$  and  $\alpha = 1.97$ .

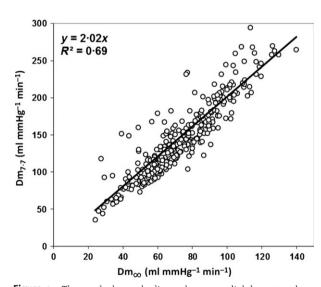
$$\frac{1}{\mathrm{DL}_{\mathrm{CO}}} = \frac{1}{\mathrm{Dm}_{\mathrm{CO}}} + \frac{1}{\theta_{\mathrm{CO}} \times V_{\mathrm{C}}}$$

Dm and Db can be calculated if the right set of values for  $\theta_{CO}$  and  $\theta_{NO}$  is introduced (Fig. 3).

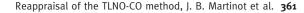
On theoretical basis,  $\theta_{NO}/\theta_{CO}$  ratio (k) cannot be lower than the  $DL_{NO}/DL_{CO}$  ratio which maximal published value in healthy human is 6.5 (Martinot and Guénard, 2014).  $\theta_{CO}$  recommended value in normoxia is about 0.58 (Forster, 1987) as the recent consensus on  $\theta_{NO}$  led to a value of 4.5 (both values in ml gas  $\times \min^{-1} \times \operatorname{mmHg}^{-1} \operatorname{ml}^{-1}$  blood).

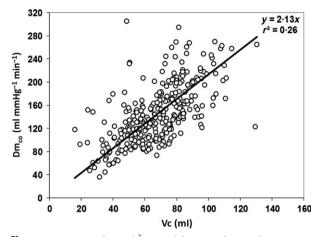
Thus, the normal value of  $\theta_{NO}/\theta_{CO}$  ratio (k) is 4.5/ 0.58 = 7.7 above the maximal experimental  $DL_{NO}/DL_{CO}$  value observed in healthy subjects. Both the numerator and the denominator in the ratio could change.  $\theta_{NO}$  could increase in the presence of small concentration of free Hb as observed in

several diseases including pulmonary hypertension (Brittain et al., 2014). Our group observed increases in  $DL_{NO}$  in such patients, leading to calculated Dm values out of the range of healthy and of patients without free Hb (Martinot et al., 2014). A relative lower  $DL_{CO}$  might significantly increase  $DL_{NO}/DL_{CO}$  ratio, as shown in experimental massive pulmonary embolism with autologous clog (Harris et al., 2004). The presence of free Hb in this condition, however, not documented is likely.



**Figure 4** The graph shows the linear, however, slightly scattered relationship between membrane conductances calculated with finite (Dm<sub>7.7</sub>) and infinite (Dm<sub> $\infty$ </sub>)  $\theta_{NO}$  in 307 healthy subjects (R<sup>2</sup> = 0·69). Of note, the approximately two times greater value of Dm<sub>7.7</sub> compared to Dm<sub> $\infty$ </sub>. The scatter may explain the disagreement in results using Dm7·7 or Dm<sub> $\infty$ </sub> in studies comparing the effects of a therapy. The characteristics of the subjects are reported in the supplemental material.





**Figure 5** Poor correlation ( $r^2 = 0.26$ ) between the membrane conductance ( $Dm_{CO}$ ) and the capillary volume ( $V_c$ ) obtained in 307 healthy subjects suggesting a great variability of the structure of the alveolocapillary unit. The characteristics of the subjects are reported in the supplemental material.

 $\theta_{CO}$  could increase in hypoxic condition whatever the cause and decrease in hyperoxic condition. Moreover, we have to keep in mind the effect of Hb concentration which increases  $\theta_{CO}$  value in polycythemic patients and decreases it in anaemic patients.

The impact of the use of two values of  $\theta_{\rm NO}$  one infinite and the other finite is given here, for example. Dm has been calculated using the data of a reference value cohort of healthy subjects (Aguilaniu et al., 2008; Martinot et al., 2014) (Fig. 4) using these two values. The plots of Dm with the finite  $\theta_{\rm NO}$ option (Dm<sub>7.7</sub>) versus Dm with the infinite option (Dm<sub> $\infty$ </sub>) illustrate three points: **1**  $Dm_{7.7}$  is as a mean two times greater that  $Dm_{\infty}$ ;

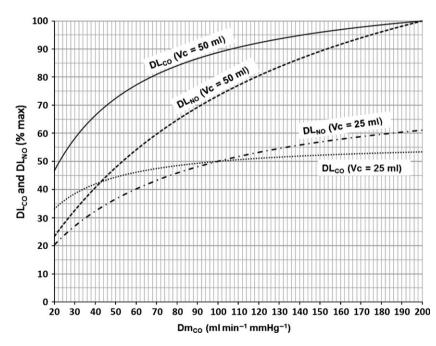
**2** for one given value of  $Dm_{\infty}$ , several values of  $Dm_{7.7}$  are possible making the prediction of  $Dm_{7.7}$  value from  $Dm_{\infty}$  inaccurate;

**3** the highest values of  $Dm_{7.7}$  reached the values given by morphometry (Weibel et al., 1993), providing an indirect proof of the validity of the finite assumption.

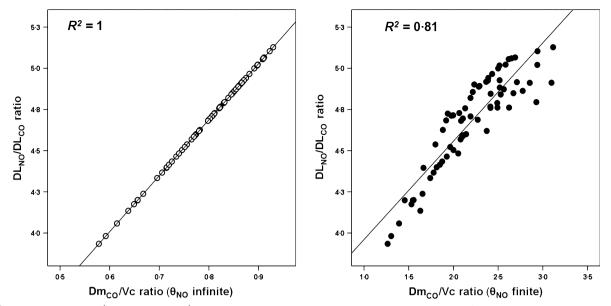
Moreover, the relationship between Dm and  $V_{\rm c}$  even in healthy subjects is not tight, when applying the finite  $\theta_{\rm NO}$  option (Fig. 5). This suggests that in the presence of a local pathological process, Dm<sub>CO</sub> and  $V_{\rm c}$  could bring different information.

# The respective sensitivity of $\mathsf{DL}_{\mathsf{NO}}$ and $\mathsf{DL}_{\mathsf{CO}}$ to $\mathsf{Dm}$ and $\mathit{V}_{\mathsf{c}}$ values

With the results given by the multistep oxygen method,  $DL_{CO}$  was considered to depend on both Dm and  $V_c$  in a nearly equal proportion. Thus, patients with either membrane or capillary alterations should have been identified. Currently, using the NO/CO method with k = 7.7, it can be demonstrated that  $DL_{CO}$  is mainly dependent on  $V_c$  in most conditions except if Dm is severely reduced (Fig. 6).  $DL_{NO}$  is in most conditions dependent equally from Dm and  $V_c$  hence  $DL_{NO}$  would give alone a better estimation of the function of the alveolocapillary structure. These characteristics could explain the high correlation found between  $DL_{NO}$  at rest and the maximal aerobic capacity (V'O<sub>2</sub>max) (Dridi et al., 2006; Zavorsky et al., 2010). In patients,  $DL_{NO}$  would be more sensitive to microvascular alteration.



**Figure 6** Plot of  $DL_{CO}$  and  $DL_{NO}$  values as function of  $Dm_{CO}$  for two values of  $V_c$ , 50 ml for the two upper traces and 25 ml for the two lower traces. Note that  $DL_{CO}$  is only sensitive to  $Dm_{CO}$  value in the low range below 60 ml (min × mmHg) <sup>-1</sup> as  $DL_{NO}$  remains sensitive to Dm change in the whole range. A decrease of  $V_c$  from 50 to 25 ml reduces  $DL_{CO}$  by about 50% even when  $Dm_{CO}$  increases. By contrast,  $DL_{NO}$  increases continuously with the increase in  $Dm_{CO}$ .



**Figure 7** Plots of  $DL_{NO}/DL_{CO}$  versus  $Dm_{CO}/V_c$  ratio using either an infinite (left) or a finite (right) value for  $\theta_{NO}$  in a series of healthy subjects. The introduction of a finite value alters the linearity of the relationship and increases by three times the ratio  $Dm/V_c$ . The characteristics of the subjects studied are reported in the supplemental material.

In patients with haematological malignancies, lung diffusing capacity might be compromised either before or after the haematopoietic stem cell transplantation (HSCT) procedure. Based on the Dm and  $V_c$  values derived from a simultaneous measurement of DL<sub>CO</sub> and DL<sub>NO</sub>, Barisione *et al.* have showed that the reduction in DL<sub>CO</sub> before HSCT is mainly due to a membrane conductance defect and this may worsen after HSCT without lung capillary involvement (Barisione *et al.*, 2014).

#### DL<sub>NO</sub>/DL<sub>CO</sub> ratio

The use of  $\rm DL_{NO}/\rm DL_{CO}$  ratio would avoid the uncertainties and assumptions concerning the values of  $\theta_{\rm NO}$  and  $\theta_{\rm CO}$ . The normal ratio value lies between 4·3 and 4·9 (Hughes & van der Lee, 2013).  $\rm DL_{NO}/\rm DL_{CO}$  ratio is increased in interstitial lung disease mainly in more end-stage diseases and fibrosis. In heavy smokers, it is also increased, but it is not yet known if it will predict the onset of emphysema.

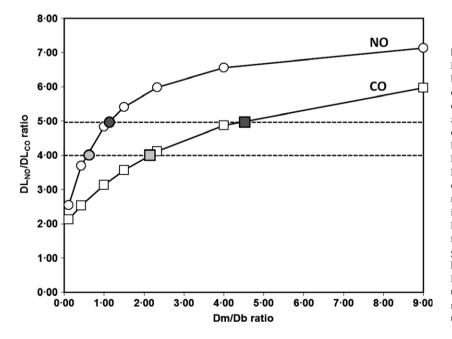


Figure 8 Plots of DL<sub>NO</sub>/DL<sub>CO</sub> ratio versus Dm/Db, that is the ratio of membrane and blood conductance for both NO (upper curve, circles) and CO (lower curve, squares): The continuous curves are fitted by eye. The horizontal lines and their intersections with the curves illustrate the changes in Dm/Db for both gases for a difference of 1 in the  $DL_{NO}/$  $DL_{CO}$  ratio in the physiological range (4-5). Both Dm/Db ratios double with an increase of DL<sub>NO</sub>/DL<sub>CO</sub> of 4 to 5; however, Dm/Db remains around 1 for NO (grey circles) showing that DL<sub>NO</sub> remained dependent of both Dm and  $V_c$  as for CO the Dm/Db ratio shifts from 2.2 to 4.5 (grey squares) showing the greater influence of the vascular component limiting the blood transfer of CO. Therefore, NO transfer looks a more global indicator of the function of the deep lung as CO looks more specifically limited by the blood transfer.

In patients with heart failure,  $DL_{NO}$  and  $DL_{CO}$  have been measured in various conditions (Guazzi, 2008); however, the reported Dm and  $V_c$  data are scarce and looks not, for some of them, reliable for the technical reasons evoked above.

The  $DL_{NO}/DL_{CO}$  ratio has been considered to provide an alternative way of investigating the blood gas barrier and alveolar–capillary pathology. The  $DL_{NO}/DL_{CO}$  ratio is related to the  $Dm_{CO}/V_c$  ratio and  $\alpha$ . If an assumption of infinity for  $\theta_{\rm NO}$  is made, the equation relating  $DL_{\rm NO}/DL_{\rm CO}$  and  $Dm_{\rm CO}/V_{\rm c}$ is simple and linear, and the interpretation of the  $DL_{NO}/$ DL<sub>CO</sub> ratio is straightforward, whereas this relationship becomes complex if  $\theta_{NO}$  has a finite value (Fig. 7). For this reason, looking at DL<sub>NO</sub>/DL<sub>CO</sub> ratio to predict what would be Dm and Db is speculative and poorly useful for the clinician. For example, pulmonary hypertension patients have, as a mean, higher  $DL_{NO}/DL_{CO}$  than healthy controls. However, a normal DL<sub>NO</sub>/DL<sub>CO</sub> ratio does not exclude a pathophysiological state, because both the  $DL_{NO}$  and  $DL_{CO}$  can be lowered in the same proportions (Van der Lee et al., 2009). Finally, small changes in  $DL_{NO}/DL_{CO}$  in the range of 3.5-5.0may reflect large changes in Dm<sub>CO</sub>/Db ratio making impossible a correct clinical appreciation of the alterations of Dm and  $V_c$  (Fig. 8).

#### Quality control and technical requirements

The method is exquisitely sensitive to errors in the measurements of concentrations of gases whatever they are. The zero concentration should be controlled carefully before each measurement. Linearity of the analysers is initially checked by the manufacturer; however, this linearity should be rechecked at regular interval of at least 2 years. A straightforward approach is either to measure known serial dilutions of the test gas or to measure the concentration of a separate high-precision test gas having a certificate of analysis. At least two concentrations should be measured along with the zero. Defects in linearity would generally need to replace the electrochemical cell. Manufacturers should be encouraged to automate this linearization procedure. The analysers could respond to several gases, and this cross-sensitivity must be described in the documents provided as well as the way chosen to handle the problem. Detailed guidelines concerning the measurement of DL<sub>CO</sub> have been published (Macintyre et al., 2005).

Concerning  $DL_{NO}$ , a consensus has to be made, the main point of scatter between procedures is the breath-holding time which cannot be as long as the one proposed for CO as the NO transfer in the lungs is about five times faster than for CO. Thus, the concentration of NO at the end of the apnoea is relatively low compared to CO. Only highly sensitive apparatus, too expensive to be widely distributed, could detect the remaining concentration of NO after a 10-s apnoea. Electrochemical cells are cheap and could give reliable results with a 5-s apnoea which could be proposed during a consensus meeting.

As  $V_c$  is sensitive to cardiac blood flow the measurement should be made after a period of rest in the seated position. Cardiac frequency could be checked and noted before the measurement. Measurements can be taken in lying patients; however, no reference values seem available. This position induces a shift of blood from the lower body to the thorax increasing then the capillary lung pressure and the capillary blood volume. This increase depends both on the capacity of the lung to recruit capillaries and to their distension. Measurements can also be taken easily during exercise (Martinot *et al.*, 2013), one person should hold the head of the apparatus and help by the voice at the correct performance of the manoeuver.

### Conclusion

Several progresses have been made in the application of the RF model to calculate Dm and  $V_c$ . The subcomponents of DL<sub>CO</sub> should provide more information on alteration in lung structure than DL<sub>CO</sub> alone and could be useful in a near future. Consensus can be reached as (i) to the values of  $\theta$  for both CO and NO which is a main point (ii) to the value of the breath-holding time around 5 s and (iii) to the meaning-less interest of  $\lambda$  in the RF model.

The lung function community has also to agree to the proposition made in this text to substitute PcapO<sub>2</sub> to PAO<sub>2</sub> in the equation giving  $1/\theta_{CO}$  value. This point is not a major one apart in severely hypoxemic patients.

Future clinical investigations taking into account these bases should provide more accurate information on the pathophysiology of lung diseases. The sensitivity of the method to detect Dm changes should be better and would improve the analysis of clinical trials aiming at testing drugs for IPF, for example. Alterations in  $V_c$  following vascular diseases should be better followed by DL<sub>CO</sub> measurements; however, many diseases induce intricated alterations in both components of the alveolocapillary structure making the combination of NO and CO transfer measurements suitable. We are not at the end of the road, but it seems we have made a step. Thanks to all participants to the walk.

#### Author contributions

MJB, GH, GiH and DC designed the research. MJB, GH, GiH and DC performed the research. MJB, GH, DXAT and DC analysed the data. MJB and GH wrote the manuscript.

### **Conflict of interest**

The authors declare no conflict of interest.

# Glossary

Abbreviation	Definition and comments
Db <sub>x</sub>	Lung capillary blood conductance for a given gas. Conductance (D) is a flow of gas in standardized conditions. $Db_X$ includes two parameters: (i) the mass of haemoglobin which can react with gas X. This mass is proportional to the capillary lung volume $(V_c)$ and the concentration of haemoglobin in the blood. (ii) the specific conductance of the gas with haemoglobin $(\theta_X)$ : flow of gas X taken up by the blood per unit of partial pressure and for a unit of mass of haemoglobin (either in g ml <sup>-1</sup> or in mmole ml <sup>-1</sup> ). Reference values are given for a normal haemoglobin concentration which is 146 and 134 g l <sup>-1</sup> for men and women, respectively (21). Patients with anaemia have underestimated $V_c$ values which must be corrected. Conversely patients with polycythemia have overestimated values.
$\mathrm{DL}_{\mathrm{X}}$	Total lung conductance for a given gas (CO, NO and O <sub>2</sub> ). Flow of the gas X for a unit of partial pressure difference between alveolar and capillary blood. Units are either traditional $ml \times min^{-1} \times mmHg^{-1}$ or international (SI) in mmol $\times s^{-1} \times kPa^{-1}$ (divide by 179 to convert in traditional units), some authors use a mixed unit: $mmol \times min^{-1} \times kPa^{-1}$ (divide by 3 to convert in traditional units). As far as ventilation and volume are not expressed in SI units, it looks wise to use traditional units.
Dm <sub>X</sub>	Alveolocapillary conductance or membrane conductance for a given gas X. This conductance includes, apart from the three layers of the membrane, the sheet of plasma between the endothelium and the red cell surface. $Dm_X$ is proportional to lung surface and inversely proportional to the membrane thickness. $Dm_X$ is a mean value including thin structure (around 1 $\mu$ m) and thick structures which did not participate significantly to gas exchange. Therefore, the effective thickness is not the arithmetic mean of the thickness of entire lung but a pondered mean or, in mathematical term, harmonic mean taking into accounts mainly the thickness of the thin part of the membrane (Weibel et al., 1993). Units are same as $DL_X$ .
Dm <sub>7.7</sub>	Membrane conductance based on a finite value of NO specific conductance (4.5 ml NO $\times$ mmHg <sup>-1</sup> $\times$ min <sup>-1</sup> per ml blood)
Dm∞	Membrane conductance based on an Infinite value for $ heta_{NO}$
FVC	Forced vital capacity
Hb	Haemoglobin
IPF	Idiopathic pulmonary fibrosis
	Ratio of NO- and CO-specific conductance with Hb. $\theta_{NO}/\theta_{CO} = k = 7.7$ for $\theta_{NO} = 4.5$ ml NO/(mmHg × min) per ml blood and $1/\theta_{CO} = 1.71$ or $\theta_{CO} = 0.58$ ml CO/ (mmHg × min) per ml. The above figures illustrate the main difference between CO and NO. As the blood conductance for CO is much lower than that of NO, CO transfer is more sensitive than NO to a blood transport limitation.
PAO <sub>2</sub>	Alveolar partial pressure of Oxygen Should be measured in patients during the diffusion procedure. About 110 mmHg in healthy at rest in normoxia.
PaO <sub>2</sub>	Arterial partial pressure of Oxygen Slightly dependent of age till 60 years then independent of age in elderly (Guénard & Marthan, 1996). Above 70 mmHg in normal conditions whatever the age. About 80 mmHg in healthy adults.
PcapO <sub>2</sub>	Oxygen capillary pressure. Low at the venous entry about 45 mmHg, increases very rapidly to reach the arterial value in the first third of the capillary. The mean capillary oxygen pressure Pcap O <sub>2</sub> (100 mmHg) should be considered as the right PO <sub>2</sub> value to introduce in the calculation of $1/\theta_{CO}$ . According to Forster, $1/\theta_{CO} = 1.3 + 0.0041 \times Pcap O_2$ . Yet a consensus has not been found on the equation to use. Instead PcapO <sub>2</sub> , PAO <sub>2</sub> is often used to calculate the specific conductance of CO. The induced error is not negligible in hypoxemic patients and major if a high fraction of oxygen is inhaled.
PAH	Pulmonary arterial hypertension
RF eq.	Roughton and Forster equation.
V <sub>c</sub>	Capillary lung volume
α	$Dm_{NO}/Dm_{CO}$ ratio = 1.97 or roughly 2. This ratio is a constant, unless firm physical evidences are given this value cannot be changed.
$\theta_{\rm X}$	Specific conductance of gas X with haemoglobin is a critical parameter for the calculation of Dm and $V_c$ . Its value has been determined in vitro by many with a considerable scatter for CO. This explains the wide range of Dm and $V_c$ reference values reported in the literature. $\theta_{NO}$ considered for a time as infinite is now considered as finite, however, much higher than for CO (about eight times). For oxygen, the conductance depends in part on the oxygen capillary pressure (PcapO <sub>2</sub> ). The $\theta_X$ decreases as PcapO <sub>2</sub> increases. This complex dependency led to avoid in practice the measurement of Dm and $V_c$ using the oxygen diffusion
λ	Membrane permeability. This parameter was introduced by Roughton group in their model to take into account the possibility of a limitation of diffusion by the red cell membrane. $\lambda$ was the ratio of membrane permeability to red cell medium permeability close to the membrane. Values between infinity and 1.5 were considered. As the red cell membrane is extremely thin (about 0.05 µm) and is made of compounds having normal solubilities compared to other tissues the introduction of this parameter in the model of diffusion seems now useless.

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### Supporting Information

Additional Supporting Information may be found in the online version of this article: Data S1. Supplemental data.