1	Ethanol dehydrogenation to acetaldehyde with					
2	mesoporous Cu-SiO <sub>2</sub> catalysts prepared by					
3	aerosol-assisted sol-gel					
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20	mesoporous materials					

22 Abstract:

Copper based catalysts are central for carrying dehydrogenation reactions. However, these 23 materials are prone to deactivation by sintering and coke deposition. Irreversible sintering 24 25 occurring during reaction (under the effect of temperature) is known to decrease both activity and selectivity, where the unwanted dehydration activity of the support might also play an important 26 role. From this perspective, the quite unreactive silica supports may be attractive. However, using 27 classical catalyst preparation methods (e.g. impregnation), it is a challenge to obtain a stable and 28 29 homogeneous dispersion of Cu over SiO<sub>2</sub> owing to the weak support-active phase interactions. Taking a sidestep, aerosol-assisted sol-gel is a promising alternative for the facile preparation of 30 31 mesostructured metallosilicates with high metal dispersion. Here we report, for the first time, Cu-SiO<sub>2</sub> made by the aerosol-assisted sol-gel method and exploited in the ethanol non-oxidative 32 dehydrogenation to acetaldehyde. These catalysts are compared with a series of catalysts made 33 by impregnation to investigate, through a thorough characterization survey, the effect of the 34 35 synthesis procedure as well as the effect of Cu loading. We show that aerosol-made catalysts do 36 not suffer heavy sintering, reach high ethanol conversions with acetaldehyde selectivity above 75%, and only slowly deactivate upon time due to a (reversible) coking phenomenon. 37

# 39 **1. Introduction**

40 Nowadays, many efforts are being pursued to reduce our dependence on fossil ressources consumption and to obtain chemicals and fuels from renewable resources. In this field, bioethanol is 41 42 a prominent platform chemical that can be produced from non-edible biomass feedstocks through fermentation<sup>1</sup>. Further upgrading of bioethanol gives access to several important chemical 43 intermediates <sup>2,3</sup> such as ethylene, acetaldehyde, acetone and hydrogen. Certainly, acetaldehyde is an 44 important target that can then be further transformed to produce valuable fine and bulk chemicals 45 such as carboxylic acids, ketones, and esters <sup>4</sup>. Industrially, it is produced via the well-established 46 Wacker-Hoechst process (oxidizing ethylene to acetaldehyde in the presence of an aqueous solution 47 of palladium chloride and copper chloride). Suitable alternatives - starting from bioethanol - are 48 desirable but stable and robust catalysts for this application are still lacking <sup>5</sup>. 49

Noble and non-noble metal based catalytic systems have been widely studied for this reaction, and 50 copper appears as the most suitable compromise when considering performances on the first hand 51 and metals availability and price on the second hand <sup>6</sup>. Bueno et al. <sup>7–9</sup> reported that the catalytic 52 53 activity of Cu-ZrO<sub>2</sub> materials depends strongly on Cu nanoparticles size and on their interaction with the support. Hanukovich et al.<sup>10</sup> observe that Cu surface over ZrO<sub>2</sub>, TiO<sub>2</sub>, AlZrO<sub>2</sub> supports becomes 54 poisoned with reactive intermediates, pointing out the need to choose support with moderate Lewis 55 acidity, to avoid the formation of side products that trigger fouling. Copper-based catalysts supported 56 on Al<sub>2</sub>O<sub>3</sub>, ZnAl<sub>2</sub>O<sub>4</sub>, MgAl<sub>2</sub>O<sub>4</sub>, Zn<sub>x</sub>Mg<sub>y</sub>Al<sub>2</sub>O<sub>z</sub> have also been investigated <sup>11-16</sup>. These catalysts are 57 active and selective to acetaldehyde (>90%) also at high conversion, but a progressive deactivation 58 appears inevitable, owing to an interplay between Cu sintering and carbon deposition <sup>17</sup>. While 59 sintering is an irreversible effect, mild oxidative treatments could efficiently rejuvenate the catalysts 60 by burning carbonaceous residues. Carbon supported Cu- catalysts <sup>18–20</sup> showed good activity levels 61 at 623 K, but dehydration reactions - mainly associated with the presence of oxygen-containing acidic 62 groups on the carbon surface – limit the selectivity of the reaction. 63

Silica-supported Cu-based catalysts are reported as highly selective to acetaldehyde because of the 64 relative inertness of silica towards side reactions such as dehydration <sup>21</sup>. Thus, Cu/SiO<sub>2</sub> catalysts are 65 also suitable model materials to study the behaviour of Cu nanoparticles <sup>9,22–24</sup>. Using such approach, 66 a marked structure-relationship was demonstrated <sup>25</sup>. Cu particle size strongly influence selectivity 67 because it dictates the density of (catalytically active) coordinatively unsaturated sites, such as corners 68 and kinks. Nanoparticles around 10 nm have been found to be an optimum for acetaldehyde 69 production while larger particles seem to favour side products such as ethyl acetate <sup>26</sup>. Unfortunately, 70 achieving high active phase (Cu) dispersion on silica is complicated with classical synthesis methods 71 and intricate grafting or colloidal catalyst synthesis procedures have to be implemented <sup>27-29</sup>. 72 73 Moreover, these catalysts remain subject to deactivation by coking and are very prone to deactivation by Cu sintering <sup>30</sup>. Silica, indeed, as a non-reducible oxide-support, is known to establish only weak 74 interactions with supported metal nanoparticles, and the latter are therefore particularly prone to 75 sintering <sup>31</sup>. Thus, controlling active phase dispersion and strengthening the interaction with the 76 support is difficult, in particular when applying conventional impregnation methods on pre-formed 77 78 silica supports. Therefore, the development of new synthetic procedures allowing to (i) control active site speciation and dispersion and (ii) achieve suitable morphological and textural properties <sup>32</sup> is 79 needed. 80

81 Along this line, the aerosol-assisted sol-gel process (AASG) – which is emerging as a powerful route to produce various nanomaterials and in particular tailored heterogeneous catalysts <sup>33,34</sup> – is primed 82 to solve the above-mentioned challenges. The method is based on the atomization and fast reactive 83 drying of a precursor's solution. During processing, inorganic polycondensation reactions take place 84 85 very rapidly, possibly coupled with the templating action of a sacrificial pore-generating agent. This 86 allows the one-step and continuous production of nanomaterials with desired properties. Aerosol processes have been used to develop catalysts for a wide range of reactions, such as Mo-based 87 catalysts for olefin metathesis <sup>35</sup>, TiO<sub>2</sub> catalyst supports for CO<sub>2</sub> methanation <sup>36</sup>, Cu-based materials 88

for CO<sub>2</sub> hydrogenation to methanol <sup>37</sup>, Au based catalysts for CO oxidation <sup>38</sup> or RuO<sub>2</sub> based catalysts 89 for electrocatalysis <sup>39</sup>. While this technique has never been used to synthesize Cu-based catalysts for 90 ethanol non-oxidative dehydrogenation, we surmised that the control offered in terms of Cu 91 dispersion and texture could offer decisive advantages regarding intrinsic activity and stability. 92 Moreover, it must be recalled that aerosol processes are continuous, low-waste, and easily scalable<sup>33</sup>. 93 The present work aims at exploiting Aerosol Assisted Sol Gel process (AASG) to prepare low loading 94 95 Cu-based catalysts for (bio)ethanol dehydrogenation. These new catalysts are compared with conventional impregnated catalysts. With the teachings gained from the deep characterisation of fresh 96 and used catalysts we identify the key parameters that govern the high activity of the AASG-made 97 98 catalysts, and we identify the causes of deactivation and suitable rejuvenation procedures.

99

## 100 **2. Experimental section**

## 101 *2.1 Catalysts preparation*

Copper-based catalysts have been synthesized following two different procedures: one-step aerosol assisted sol-gel (AASG) and incipient wetness impregnation (IWI) of pre-synthesized silica support.

104 2.1.1 One-pot preparation of Cu-SiO<sub>2</sub> by AASG technique

Solution A is prepared by mixing 0.977 mol of ethanol (VWR, >99.8 v/v%), 0.444 mol of distilled 105 water and 0.0003 mol of Pluronic<sup>®</sup> F127 (Sigma Aldrich,  $\simeq 12600$  g/mol)]. A second solution (B) is 106 prepared by mixing 0.057 mol of TEOS (TCI Chemicals, >97.0%), 0.548 mol of an aqueous HCl 107 solution with a pH=2 obtained by dilution of fuming HCl (Roth, 37 wt.%). After preparation, solution 108 A and B were stirred overnight and then mixed together. Cu(NO<sub>3</sub>)<sub>2\*</sub>2.5 H<sub>2</sub>O was added in the desired 109 amount to obtain the targeted Cu loading. The obtained solution was then sprayed with an atomizer, 110 supplied by "TSI incorporated<sup>®</sup>", by applying an air pressure of 207 kPa. The aerosol droplets were 111 dried by passing through a quartz tube heated by a tubular furnace set at 723 K. Then, the dried 112

material was collected on a nitrocellulose filter (Sartorius Stedim, 0.45  $\mu$ m). Powders were calcined in a muffle furnace under static air, first at 623 K (1 K min<sup>-1</sup>) for 3 h, and then at 823 K (1 K min<sup>-1</sup>) for an additional 3 h. These catalysts were denoted as A-CuXSi, where X corresponds to the nominal Cu loading on support basis and X = 2, 5, 7.4 and 9.1 wt.%.

117 2.1.2 Cu/SiO<sub>2</sub> catalysts prepared by impregnation

Reference catalysts were prepared by incipient impregnation, using a silica support obtained by 118 AASG. The latter was prepared by the same protocol as the CuXSi catalysts but omitting the addition 119 of Cu nitrate. Here, copper is introduced onto the preformed silica support by wetting the latter with 120 121 an aqueous solution of Cu(NO<sub>3</sub>)<sub>2\*</sub>2.5 H<sub>2</sub>O (Alfa Aesar, 98 wt.%). The amount of employed water corresponded to the volume of the support pores, evaluated by N<sub>2</sub> physisorption, incremented by a 122 123 20% v/v. Powders have been dried and calcined with the same protocol described above. These 124 catalysts were denoted as I-CuXSi, where X corresponds to the nominal Cu loading on support basis and where I stands for "impregnation". 125

## 126 2.2 Materials Characterization

Textural properties were measured by N<sub>2</sub> -physisorption at 77 K using a Micrometrics Tristar 3000 instrument. Prior to analysis, calcined samples were degassed overnight under vacuum at 443 K. The Brunauer-Emmett-Teller (BET) model was used to determine the Specific Surface Area (SSA,  $m^2/g$ ) in the relative pressure range of 0.05–0.30. Total pore volume (V<sub>p</sub>) was estimated from the adsorption branch of the isotherm at p/p<sub>0</sub>=0.98 and the average pore diameter (D<sub>p</sub>) was estimated from the BJH model applied on the adsorption isotherms.

133 XRD analysis was carried out by means of a Bruker D8 Advance diffractometer (Bragg–Brentano 134 geometry) on both fresh and spent catalysts. The diffractometer works with a Cu K $\alpha$  source 135 ( $\lambda$ =0.15418 nm) at 1200 W (30 mA, 40 kV). Diffraction patterns have been acquired by setting the 136 following parameters: 2 $\theta$  range 5°-100°, step size 0.05° (2 $\theta$ ) and 1.5 s each step. The detector was a Bruker Lynxeye XE-T. Identification of the phases was carried out using Pearson's Crystal Database <sup>40</sup>. Crystallites sizes of CuO and of Cu have been evaluated on the most intense peak by Scherrer formula, via DIFFRAC.EVA V4.2.1 software. For CuO, peak at  $2\theta = 35.66$  has been considered, for Cu, peak at  $2\theta = 43.40$  has been considered.

ICP-AES analyses were performed on an ICP Thermo Scientific 6500 instrument after dissolution of
samples (~100 mg) by metaborate Li - tetraborate Li fusion.

Scanning Electron Microscope Zeiss SUPRA 40 VP, equipped with a field emission gun (FE-SEM) was used to investigate sample morphology and composition for both fresh and spent catalysts. This microscope is equipped with a high sensitivity "InLens" secondary electron detector and with a EDX (Energy Dispersive X-Ray) Spectrometer OXFORD "INCA Energie 450 × 3". Samples were suspended in ethanol. A drop of the resultant mixture was deposited on a Lacey Carbon copper grid and the dried sample was then imaged.

Samples composition was investigated by using a scanning electron microscope (SEM) Zeiss Evo 40
equipped with a Pentafet Link Energy Dispersive X-ray Spectroscopy (EDXS) system managed by
the INCA Energy software (Oxford Instruments, Analytical Ltd., Bucks, U.K.). Samples powders
were directly mounted on a high purity conductive double sided adhesive carbon tab.

TEM and STEM-EDS measurements were carried out on a FEI Titan Themis instrument with a combination of a spherical aberration image (Cs) corrector, a monochromator system, sensitive ChemiSTEM technology, and a high-end GATAN GIF Quantum Energy Filter for EELS and EFTEM with a new enhanced piezo stage, FEI and GATAN software, and a FEI Ceta 16-megapixel CMOS camera. Powders have been dispersed in cyclohexane and 4 μL deposed on a gold grid covered by QuantiFoil<sup>®</sup> holey carbon and then dried in air.

X-ray photoelectron spectroscopy (XPS) analyses were carried out at room temperature with an SSI X-probe (SSX 100/206) photoelectron spectrometer from Surface Science Instruments (USA),

equipped with a monochromatic Al K X-ray microfocused source (1486.6 eV). Samples were deposed
onto small sample holders with adhesive tape and then placed on an insulating ceramic carousel
(Macor<sup>®</sup>, Switzerland). Charge effects were avoided by placing a nickel grid above the samples and
using a flood gun set at 8 eV. The binding energy scale was calibrated by fixing the Si 2p peak at
103.5 eV <sup>41</sup>. Data treatment was performed using the CasaXPS program (Casa Software Ltd., UK).
The peaks were decomposed into a sum of Gaussian/Lorentzian (85/15) after subtraction of a Shirleytype baseline.

Infrared (IR) spectra have been obtained with a Nicolet 380 Fourier Transform IR spectrometer.
Sample powders were pressed into thin wafers with KBr and spectra were recorded in air (0.5 wt%
sample in KBr). Spectra have been collected with 100 scans with a resolution of 2 cm<sup>-1</sup>.

DR-UV–vis-NIR spectra were collected with a JASCO V570 instrument equipped with an integrating
sphere. The powders were gently pressed in the sample holder.

H<sub>2</sub> Temperature Programmed Reduction (TPR) measures were conducted using a CATLAB
instrument, from Hiden equipped with QGA mass spectrometer for gas analysis. 55 mg of sample
were loaded in a quartz reactor. First the sample was maintained at 373 K for 1 hour under flowing
argon (40 mL/min). Next, the samples were exposed to a 1% H<sub>2</sub> flow in argon (40 mL/min) and then
heated up to 873 K using a heating rate of 5 K/min. The H<sub>2</sub> uptake is calculated by integration of the
H<sub>2</sub> consumption profiles. Signal intensities were referenced to the calibrated signals for known
volumes of analyzed gases.

180 NH<sub>3</sub> Temperature programmed Desorption (TPD) measurements were conducted in the same 181 CATLAB instrument. 40 mg of powders were loaded in a quartz reactor. A reductive pretreatment 182 was carried out for 30 min at 573 K, under a 30 mL/min 5 vol. % H<sub>2</sub> in argon. For silica and the 183 zeolite catalyst employed as acid benchmark, the hydrogen pretreatment was not applied. Next, the 184 samples were cooled to 333 K under inert atmosphere. Then they are exposed to a 2 vol. % NH<sub>3</sub> in 185 argon (30 mL/min) flow for 1 hour, and then flushed under argon (30 mL/min) at 333 K for 60 min. The temperature-programmed desorption was carried out up to 1023 K with a ramping rate of 10 K /min in a 30 mL/min flow of Ar. The NH<sub>3</sub> quantification was made by integration of the desorption profile. Signal intensities were referenced to the calibrated signals for known volumes of analyzed gases.

Spent catalysts were studied by thermo-gravimetry, using a TGA/DSC 3+ apparatus from Mettler-Toledo, coupled with a Pfeiffer Vacuum ThermoStar<sup>TM</sup> mass spectrometer. Samples ( $\simeq 20$  mg) were placed in alumina crucibles (70 µL) and heated at 10 K min<sup>-1</sup> from room temperature to 1173 K under a dry air flow (100 mL/min). The following m/z have been recorded over the whole experiment: 28 (N<sub>2</sub>), 18 (H<sub>2</sub>O), 32 (O<sub>2</sub>) and 44 (CO<sub>2</sub>).

## 195 *2.3 Ethanol dehydrogenation to acetaldehyde*

Catalytic tests were carried out in a fixed bed reactor (stainless steel, 0.6 cm internal diameter). 50 196 mg of calcined catalysts (pressed, crushed and sieved in the 0.20-0.40 mm range) were diluted with 197 glass beads (0.5–1.0 mm), by keeping a constant catalytic bed volume. Before reaction, catalysts were 198 pre-reduced in situ by feeding hydrogen (20 vol.% H<sub>2</sub> in N<sub>2</sub>, total flow rate of 175 mL/min) for 30 199 min at 573 K with a ramping rate of 5 K min<sup>-1</sup>. Then, the reactor was set at the desired reaction 200 temperature (473 K) and the reaction feed was introduced: absolute ethanol was fed through a syringe 201 pump (NE-300) in a stream on N<sub>2</sub>, achieving the desired molar fraction in the gas phase (2.5% mol, 202 total flow rate of 120 mL/min). All measures were carried out at atmospheric pressure while 203 temperatures were increased in a stepwise manner, from 473 K to 673 K. Every temperature step was 204 kept for 1 hour, ensuring steady state conditions. Effluent gas was analysed online with a VARIAN 205 3800 Gas Chromatograph (Varian Medical Systems, Machelen, Belgium). Four injections were made 206 207 at each temperature. The injector was set at 423 K and the GC was equipped with a flame ionization detector (FID) kept at 463 K, a Restek Rt-U-BOND column (30 m long, internal diameter of 0.32 208 mm, film thickness of 10 µm) and a programmable oven (setup: 383 K for 5 minutes, then to 423 K 209 with a ramping rate of 5 K/min, dwelled for 5 minutes). 210

211	Stability tests on A-Cu7.4Si and I-Cu7.4Si have been carried out at 573 K for 8 hours in the same
212	conditions. In the case of A-Cu7.4Si catalysts, only 40 mg of catalyst was introduced in order to have
213	iso-conversion comparison with the impregnated catalyst (I-Cu7.4Si)
214	Ethanol conversion ( $X_{EtOH}$ ) is defined as:
215	$X_{EtOH} = (n_{EtOH(in)} - n_{EtOH(out)})/n_{EtOH(in)} (1)$
216	selectivity to product i is defined as:
217	$S_i = n_i / (v_i(n_{EtOH(in)} - n_{EtOH(out)})) (2)$
218	And yields are defined as:
219	$Y_{i} = n_{i} / v_{i} n_{EtOH(in)} (3)$
220	where $n_i$ is the molar flow of compound i, and $v_i$ is the ratio of stoichiometric reaction coefficients.
221	The error in the steady state carbon balance is $\pm$ 5%.
222	2.4 Regeneration test
223	After the stability test (8 h at 573 K) an oxidation step was carried out by flowing a gaseous stream
224	(50 mL/min) of 20 vol % $O_2$ in $N_2$ . Temperature was raised with a ramping rate of 5 K min <sup>-1</sup> up to
225	788 K, and then dwelled for 15 minutes. After cooling down up to room temperature, the catalyst has
226	been tested again in the same experimental conditions.
227	
228	3. Results and discussion
229	3.1 Catalysts characterisation
230	The copper content has been experimentally verified by ICP-AES (Table 1). Experimental data are
231	in good agreement, even if the observed loading is slightly lower than the nominal one (for both

aerosol-made catalysts and impregnated catalysts), suggesting a contribution of the hygroscopicproperties of the metal precursor used in the preparation.

Nitrogen physisorption curves (Figure 1A, C) revealed that all the calcined catalysts showed Type IV 234 235 isotherms indicating the presence of open mesopores. The shape of the hysteresis loop corresponds to the H2-type (asymmetrical with a steeper desorption branch than the adsorption one) characteristic 236 of restrictions within the porous network. The forced closure of the hysteresis loop at  $p/p_0$  values of 237 0.4–0.5 indicated the presence of mesopores smaller than ~ 4 nm  $^{42}$ . The Barrett-Joyner-Halenda 238 (BJH) model applied on the adsorption branch (Fig. 1B, D) of the isotherm revealed the presence of 239 mesopores in the 6-12 range (centred at about 8 nm) for all catalysts. The nitrogen uptake at low 240 241 relative pressure also indicates the presence of micropores whose contribution remains relatively small, as underlined by textural values summarised in Table 1. 242

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Table 1: Composition and textural properties of catalysts prepared via IWI (I) and in one step via theAASG process (A).

Sample	Cu wt.% - ICP-AES	Surf. area $[m^2 \cdot g^{-1}]$	$V_{p} ^{[a]}  [cm^{3} \cdot g^{-1}]$	V <sub>micro</sub> <sup>[b]</sup> [cm <sup>3</sup> g <sup>-1</sup> ]	D <sub>p</sub> <sup>[c]</sup> [nm]
A-SiO <sub>2</sub>	/	380	0.45	0.06	7
I-Cu2Si	1.3	329	0.44	0.05	7
I-Cu5Si	3.9	300	0.37	0.03	7
I-Cu7.4Si	6.4	290	0.54	0.03	11
I-Cu9.1Si	8.0	297	0.40	0.03	7
A-Cu2Si	1.6	505	0.70	0.09	9
A-Cu5Si	3.6	458	0.42	0.11	6
A-Cu7.4Si	6.7	458	0.62	0.10	8
A-Cu9.1Si	8.2	418	0.66	0.09	9

[a] Total pore volume, estimated from the adsorption branch of the isotherm at p/p0=0.98. [b] Microporous volume,

estimated from the t-plot. [c] Average pore diameter, estimated from the BJH model applied on the adsorption isotherms.

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The specific surface area (SSA) and pore volume were generally higher for A-catalysts (prepared via 250 the one-pot AASG process), reaching as high as 505 m<sup>2</sup>/g for A-Cu2Si. Interestingly, the micropore 251 252 volume appears to be more important for one pot catalysts than for bare silica or impregnated materials. A possible explanation is related to the direct introduction of copper nitrate in the formation 253 of A-CuX catalysts, trapped in the porous structure during the rapid droplet's condensation and drying 254 step. Since  $Cu^{2+}$  ionic radius is -larger than that of  $Si^{4+}$ , it is possible that the silica network rearranges 255 to host copper species, creating roughness at the surface. Alternatively, nitrates may behave as a 256 "foaming agent" during calcination creating a family of smaller pores upon the departure of NO<sub>x</sub> 257 species. Upon increase in copper loading, specific surface area and pore volume tend to decrease, 258 which is logical, considering the fact that the fraction of low-SSA Cu NP increases at the expense of 259 260 the fraction of high-SSA silica.

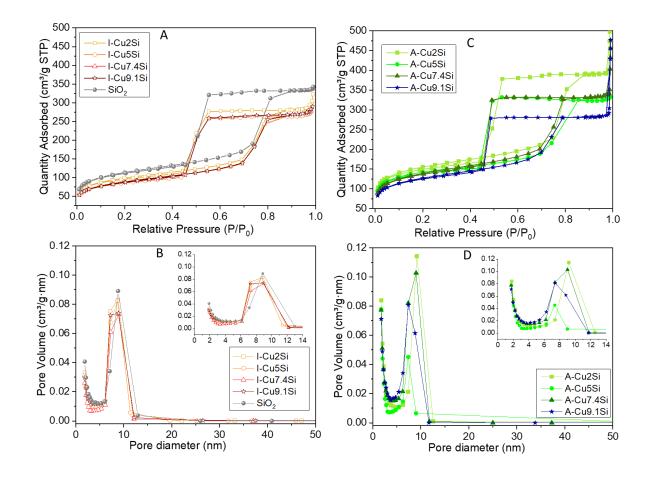
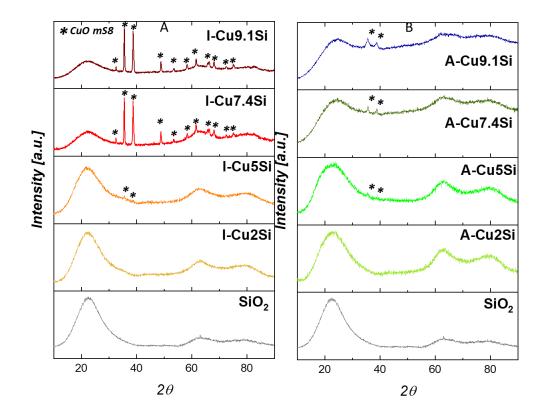


Figure 1: N<sub>2</sub> adsorption/desorption isotherms (insets A and C) and pore size distributions (B and D) of investigated
 catalysts.

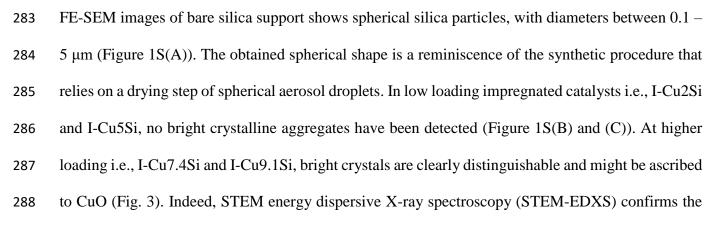
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The diffraction patterns were recorded for all fresh catalysts (Figure 2A and 2B). For both I-CuXSi 266 and A-CuXSi series of catalysts, a broad diffraction peak at about  $2\Theta = 22^{\circ}$  is attributed to the 267 amorphous silica support <sup>43</sup>. In all catalysts having a Cu content equal or higher than 5 wt.%, the CuO 268 phase (tenorite, mS8; PCD ref. 1243879)<sup>40</sup>, is observed. Expectedly, the CuO diffraction peaks 269 intensity increases with the increasing copper content in the catalyst. The Scherrer equation, applied 270 on the most intense CuO peak at  $2\Theta = 35.6^{\circ}$ , indicates an average crystallite size of 32-33 nm for 271 272 both I-Cu7.4Si and I-Cu9.1Si samples. In the XRD patterns of samples obtained by AASG synthetic procedure (Fig. 2 B), the peaks intensity of CuO phase is lower; in fact, only the two main peaks, at 273 20=35.8° and 38.8°, are visible and broader than those observed for I-CuXSi series. For A-Cu7.4Si 274

and A-Cu9.1Si, the crystal size evaluation with Scherrer equation leads to an average size of about
10 nm. For low loading catalysts, it is only possible to hypothesize the presence of nanoparticles with
an average size equal or lower than 10 nm, hypothesis that is consistent with TEM images (see below
and ESI).



**Figure 2:** XRD patterns of the fresh I-samples (inset A), and A-samples (inset B). Please note that broad and weak peaks around  $2\Theta = 63^{\circ}$  and  $79^{\circ}$  are attributable to the fixing agent used in the sample preparation for the analysis.



presence of Cu-rich aggregates that are correlated with well-visible crystallites. These particles come 289 290 out from the silica matrix, often sandwiches between spherical silica particles (Fig. 3A and 3C). The morphology of the latter remains unaffected upon impregnation (Fig. 1S). In fact, focusing on the 291 smooth silica microspheres (away from the Cu aggregates), EDX spectroscopy reveals a fixed copper 292 amount (Fig. S1(D)), equal to  $\approx$  3.0 wt.%, for I-Cu5Si, I-Cu7.4Si, and I-Cu9.1Si. This agrees with 293 XRD results, where the intensity of CuO peaks is very high for I-Cu7.4Si and I-Cu9.1Si specimens, 294 295 due to the presence of isolated CuO crystals outside of the silica spheres. To sum up, starting from I-296 Cu5Si, only a fraction of the copper is introduced into the silica microspheres by means of the impregnation step and a significant fraction accumulates outside of the support particles, in the form 297 298 of CuO crystals.

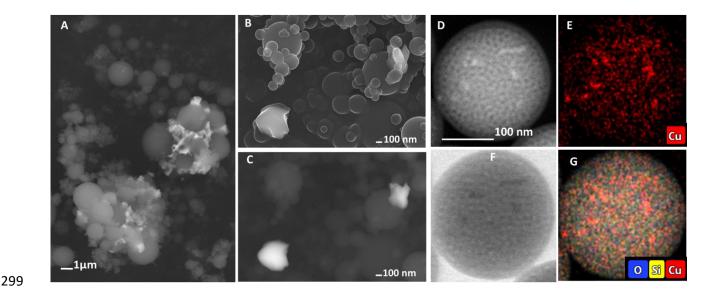
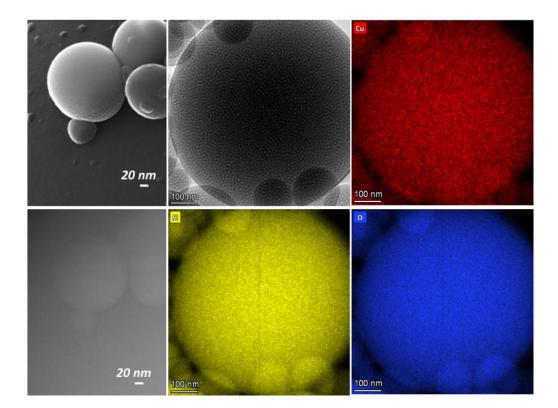


Figure 3: FE-SEM micrographs obtained on I-Cu7.4Si (A, C using the BSE signals, and B using the SE signal) and
 STEM images obtained on I-Cu5Si (D in dark field, E = Cu mapping, F in bright field, G = O, Si, Cu mapping.

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For aerosol-made catalysts (see the example of A-Cu7.4Si in Fig. 4 and other images for A-Cu5Si in
ESI, Fig. S2), FE-SEM microphotographs do not reveal the presence of copper-containing aggregates.
This is in full agreement with XRD analyses. Moreover, by looking at EDX analysis for A-catalysts,
the copper amount into the spheres agrees with the total Cu amount in the specimen (Fig. S2, F-G).

Thus, in agreement with FE-SEM microphotographs, it is confirmed that all the copper is incorporated into the silica microspheres. Elemental mapping by means of STEM-EDXS unambiguously confirms the homogeneous distribution of copper throughout the silica microspheres (Fig. 4).



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Figure 4: FE-SEM micrographs of A-Cu7.4Si using SE (left, top) and BSE (left, bottom), STEM images of A-Cu7.4Si
with elemental mapping (right).

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Insight on surface copper chemical state has been obtained by X-ray photoelectron spectroscopy (XPS) (Table S1 and Fig. 5). In agreement with the literature <sup>44</sup>, Cu  $2p_{3/2}$ , Cu  $2p_{1/2}$  peaks are found at ~933.3 eV and ~952.5 eV, accompanied by the shake-up satellite peaks (~943 eV and ~963 eV), indicating the presence of CuO (Cu<sup>2+</sup> with a d<sup>9</sup> electron configuration), in agreement with the adopted synthetic route (calcination in air) and with XRD results. As already reported <sup>45</sup>, two contributions can be seen for Cu  $2p_{3/2}$ , indicating the existence of two Cu (II) species with different chemical environment at the catalyst surface. The first one at ~933 eV is typically due to bulk-like CuO species

while the second feature at higher binding energy (~936 eV) is indicative of well dispersed Cu (II) 322 323 interacting with the silica network. This may suggest the presence of -Cu-O-Si- species (i.e. well dispersed CuO and or copper phyllosilicate-like species). While this feature at 936 eV is also seen in 324 impregnated catalysts, as shown in table 1S its intensity is much smaller than in aerosol-made 325 catalysts, pointing again to a higher dispersion of copper via the AASG synthesis procedure. In fact, 326 for A-catalysts upon increasing of the loading we linearly increase the surface Cu concentration (ESI, 327 328 Fig. S3) while over impregnated catalysts there is no increment upon Cu loading. According to microscopy characterization and XRD patterns, this means that via impregnation only a certain 329 amount of Cu is introduced into the pores of the silica microspheres and well dispersed at the surface. 330 331 After that, Cu start to heavily aggregate without further increasing Cu superficial concentration.

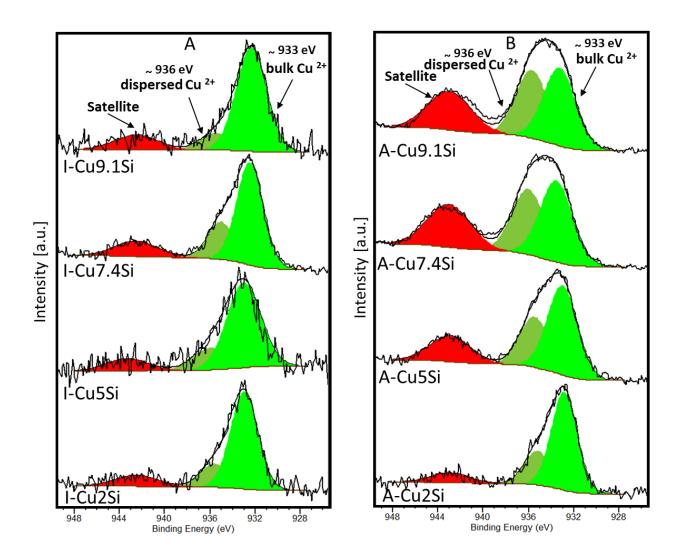
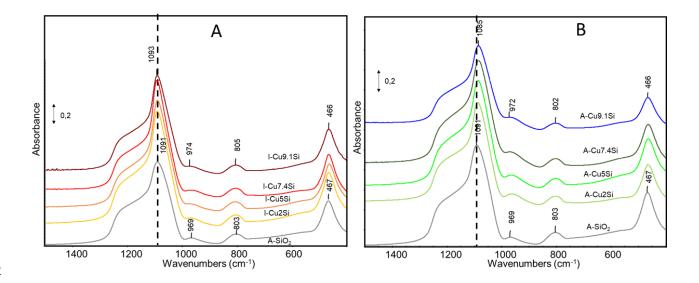




Figure 5: High-resolution XPS spectra of Cu 2p<sub>3/2</sub> species on fresh I-catalysts (A) and A-catalysts (B).

H<sub>2</sub>-TPR measurements were performed on A-Cu9.1Si and I-Cu9.1Si to probe copper accessibility 334 335 and reducibility (Fig. S4). The hydrogen consumption for I-Cu9.1Si was 1.37 mmol H<sub>2</sub>/g<sub>cat</sub>, matching closely the amount of Cu present in the sample (1.26 mmol Cu/g<sub>cat</sub>) and indicating the accessibility 336 of Cu. For A-Cu9.1Si, 1.07 mmol H<sub>2</sub>/g<sub>cat</sub> of hydrogen was consumed, slightly lower than the actual 337 Cu loading is 1.30. Thus, most of the copper species are reduced, even if it is likely that a small 338 fraction of copper species remains inaccessible (trapped in the silica matrix). At the same time, copper 339 340 reduction occurs at lower temperature in A-Cu9.1Si, as compared to I-Cu9.1Si. This easier reduction suggests that CuO nanoparticles are smaller in the A-catalyst.<sup>46</sup> 341

FT-IR spectra featured the characteristic features of amorphous silica absorption bands for both series 342 343 of catalysts. The IR spectrum of silica is characterized by the bands assigned to the Si-O-Si asymmetric stretching ( $v_1 = 1091 \text{ cm}^{-1}$  with the corresponding pronounced shoulder at 1230 cm<sup>-1</sup>), 344 the Si-O stretching of SiOH group (968 cm<sup>-1</sup>), the coupled Si-O-Si symmetric stretching/in plane 345 bending ( $v_2 = 803 \text{ cm}^{-1}$ ), and **the** corresponding out of plane bending (rocking) mode ( $v_3 = 467 \text{ cm}^{-1}$ 346 <sup>1</sup>) <sup>47</sup>. The spectrum obtained for the bare aerosol-made silica support is almost unchanged after 347 348 impregnation of copper, showing that in this case the bulk silica is not significantly perturbed. In contrast, in the case of A-CuXSi catalysts, the position of the  $v_1$  mode is shifted to 1085 cm<sup>-1</sup>, 349 indicating that in this case the bulk is perturbed, probably because of the formation of Cu species in 350 strong interaction with the silica network <sup>48,49</sup>. 351



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Figure 6: FTIR skeletal spectra (KBr pressed disks) of the fresh I- (A) and A- (B) catalysts.

354

UV-vis spectroscopy (Fig. S5) indicated – for both catalysts' series – that the absorption in the far 355 UV region (band in the range 200-250 nm) increases when the Cu loading increases, owing to the 356 charge transfer (CT) transition  $O^{2-}(2p) \rightarrow Cu^{2+}(3d)$ . Also, an additional broad absorption is detected 357 at the opposite limit of the visible range, due to the  $d \rightarrow d$  transitions in dispersed Cu<sup>2+</sup>. In the case 358 of A-CuXSi samples the intensity of both absorptions increases as a function of copper loading, with 359 a linear trend (see function in Figure S3). In parallel, the maximum of the  $d \rightarrow d$  transition tends to 360 shift to lower wavelengths. This suggests that the nature of copper species is essentially always of the 361 same nature with increasing loading, the shift of the  $d \rightarrow d$  transition being likely due to the decreasing 362 distance between the dispersed copper centres. In the spectra of I-CuXSi samples, at the highest 363 loading, the shape of the absorption band due to the  $d \rightarrow d$  transitions of dispersed Cu<sup>2+</sup> ions are 364 modified, suggesting that an additional absorption grows due to the  $d \rightarrow d$  transition in bulk CuO. 365 366 This is fully consistent with the appearance of CuO diffraction peaks in the XRD pattern of these samples. The linear trend between the specific absorption intensities and the copper loading (as 367 described above for A-catalysts) is verified also for I-Cu2Si and I-Cu5Si but not for I-Cu7.4Si and I-368 369 Cu9.1Si, suggesting a more accentuated heterogeneity of these catalysts in terms of Cu speciation.

#### 370 *3.2 Ethanol dehydrogenation*

371 Before being tested in the ethanol dehydrogenation reaction, the catalysts were pre-reduced in situ in the reactor. For the sake of completeness, XRD data of pre-reduced catalysts are introduced in Figure 372 373 S6, showing effective catalyst reduction by production of metallic copper particles for all catalysts (above 2 wt% Cu). Crystal sizes evaluation, carried out on the most intense peak ( $2\theta = 35.6^{\circ}$ ) by 374 means of the Scherrer equation, indicated the presence of Cu particles around 35 nm for the 375 376 impregnated catalysts. For aerosol-made catalysts, on the other hand, crystal size evaluation is not possible because the peaks are broad and weak. These pattern features suggest that upon pre-reduction 377 Cu remains highly dispersed throughout the silica spheres at a nanometric scale. 378

In Figure 7, catalytic performances achieved over investigated materials are summarised in terms of 379 ethanol conversion and acetaldehyde selectivity. Over impregnated catalysts, the lowest conversion 380 values are found for I-Cu2Si, achieving almost 20% at 573 K. The highest conversion performances 381 are reached by I-Cu5Si, showing already 54% ethanol conversion at 573 K. However, further 382 383 increasing the Cu loading leads to a marked drop in performance, both in terms of conversion and in 384 terms of selectivity. The drastic drop in selectivity is particularly marked for the catalysts with the highest Cu loading. This appears in line with the characterization survey that indicated a marked 385 aggregation of large CuO (Cu, after reduction) in these high-loading samples prepared by 386 impregnation. Full selectivity is obtained at 473 K and high selectivity (97%) is maintained at higher 387 temperatures for the catalysts with the lowest loading. However, the two catalysts with the highest 388 Cu loading show a marked drop in acetaldehyde selectivity when the temperature was increased to 389 390 523 K and higher (down to 86% at 673 K). Selectivities to diethyl ether and ethylene are reported in Fig. S7 A. 391

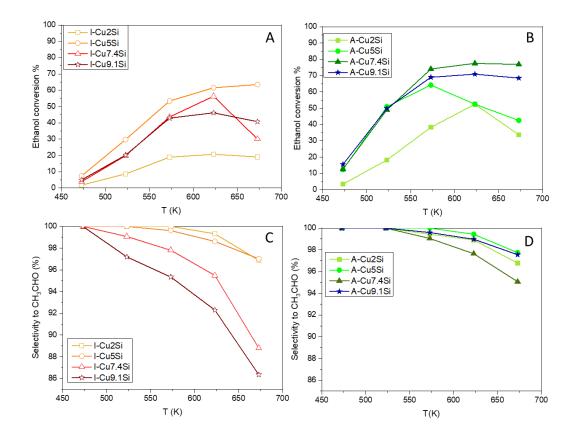


Figure 7: Ethanol conversion (A, C) and acetaldehyde selectivity (B, D) obtained with I-catalysts and A-catalysts, as a
 function of reaction temperature. Performances are measured by maintaining each reaction temperature constant for 1
 hour, from 473 to 673 K.

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In comparison with impregnated catalysts, A-CuXSi catalysts reached higher conversion. 397 Performance increases with the Cu loading, up to A-Cu7.4Si, then were slightly lower over A-398 Cu9.1Si. In particular, at low temperatures aerosol-made catalysts feature almost double catalytic 399 activity when compared to the most performant I-sample, and increased acetaldehyde selectivity with 400 respect to I-catalysts (ESI, Fig. S7, A). These materials reached a plateau in terms of conversion 401 above 573 K (Fig. 7C). Only a slight decrease in the selectivity (from full selectivity to ~97%) to 402 403 acetaldehyde is observed when increasing the reaction temperature, due to the occurrence of ethanol dehydration to ethylene (ESI, Fig. S7, B). 404

A recent review on the topic allows comparing these catalysts with the state of the art <sup>26</sup>, even if it 405 406 must be stressed that operating conditions often diverge significantly from one study to another. Apart from one Cu/SiO<sub>2</sub> catalyst reported by Zhang et al.<sup>48</sup> using a relatively high copper amount and a 407 much lower GHSV, the catalysts shown in the present work clearly outcompete all other Cu-silica 408 formulations <sup>26</sup>. Beyond silica, looking at Cu-ZnAl<sub>2</sub>O<sub>4</sub> as one the most intensively studied catalysts, 409 we can compare with our recent results, obtained in very similar reaction conditions and with similar 410 copper loading <sup>50</sup>. A-Cu7.4Si shows comparable, or slightly higher, ethanol conversion values in the 411 entire temperature range 573 K-673 K. Furthermore, it seems to be more active at lower temperature 412 (i.e. 49% vs 34% at 523 K). 413

414 Looking at the catalytic performances shown in Figure 7, for many of the prepared materials i.e. I-Cu7.4Si, I-Cu9.1Si, A-Cu5Si and A-Cu2Si a drop in the ethanol conversion values can be seen at the 415 highest temperatures, suggesting that catalyst deactivation is occurring. This is also confirmed 416 looking at conversion values versus time plots (ESI-Fig. S7, C, D) that systematically show a 417 decreasing trend during each temperature dwelling. Previous studies pointed out that both copper 418 sintering and carbon deposition can slow down catalytic ethanol dehydrogenation 51-53. Thus, to shade 419 some light on the possible deactivation causes, spent catalysts were characterized, as discussed in the 420 next paragraph. 421

# 422 *3.3 Spent catalysts characterization*

XRD patterns of the catalysts after ethanol conversion experiments are reported in Figure 8. In almost all cases, the peaks of metallic copper (cF4-Cu, PCD ref. 1146504) are detected as the main crystalline phase, together with the appearance of characteristic peaks of Cu<sub>2</sub>O (cP6, PCD ref. 1831225) phase. The presence of oxidized Cu species might be due to copper oxidation at the expenses of water arising from ethanol dehydration to ethylene <sup>54</sup> or as well to slight oxidation occurring in the presence of air during the handling of the powders. I-catalysts generally reveal a clear bigger crystal size (i.e. 48 and 10 nm for I-Cu9.1Si and A-Cu9.1Si) respect to the aerosol made ones.

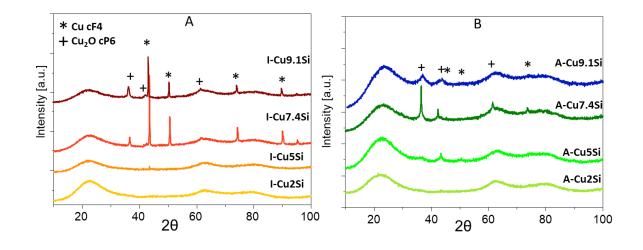




Figure 8: XRD patterns of spent catalysts after catalytic measurements (inset A: I-catalysts; inset B: A-catalysts). 431 FE-SEM characterization of spent catalysts is reported in Figure 9. In impregnated catalysts, copper-432 containing particles are visible and appear bigger than in the fresh catalysts. This shows that sintering 433 occurred upon exposure to reaction conditions. Impregnated catalysts show a remarkable high number 434 of copper rich particles that apparently grew in the intraparticle spaces and around small silica 435 particles (Figure 9 A, B). Remarkably, FE-SEM characterization of the A-catalysts (Fig.9 C, D) reveal 436 that upon the same catalytic test conditions, no bright aggregates are detected. The absence of heavy 437 sintering can be interpreted as a sign of a stronger intimacy between the Cu species (nanoparticles) 438 and the silica network, owing to of the aerosol-based method. 439

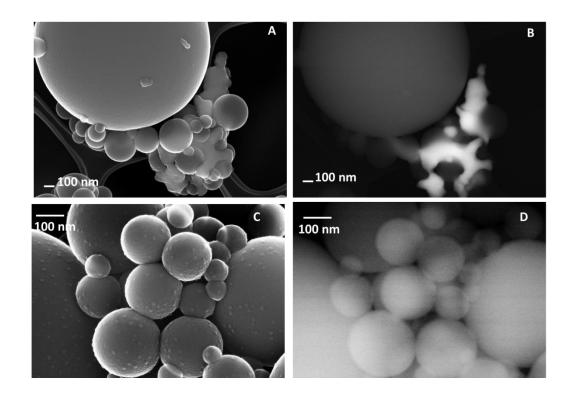
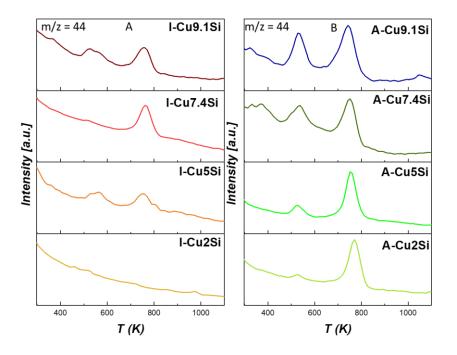


Figure 9: FE-SEM micrographs of spent ICu5Si (A, B) and Cu5Si (C, D) catalysts after the catalytic test (473-673 K).
A and C are SE signals. B and D are BSE signals.

440

TGA analyses for all spent catalysts are reported in Figure S8. TGA of the freshly prepared (not 444 calcined) pristine silica is also provided (Figure S9) as a benchmark to show that the surfactant is 445 fully removed before 700 K, i.e. lower than calcination temperature (823 K). So, the mass losses 446 detected on the spent catalysts account for carbonaceous materials accumulated during reaction. 447 448 Figure 10, shows the MS signal for m/z=44 (CO<sub>2</sub> evolving from carbon oxidation). For all the materials two peaks are found at 530-560 K and 760 K accounting for two different carbon species 449 deposed over catalysts during reaction. The first mass loss can be due to the presence of C-O/C=O 450 containing carbon residue <sup>55</sup> or amorphous carbon species <sup>56</sup>. It is systematically more important for 451 A-catalysts (that are more active) as compared to I-catalysts (Fig. S8, C). The second CO<sub>2</sub> peak (~760 452 K) is attributable to the presence of graphitic-like carbon. It is again more important for A-CuXSi, 453 especially at low Cu loading (Fig. S8, C). Fouling by carbonaceous deposit (or coke) is classically 454 linked to the occurrence of acid-catalysed reactions (dehydration, oligomerization, condensation, 455

etc.). Here, using silica as an "innocent" support, we obtain catalysts that show very low and weak acidity (as shown via NH<sub>3</sub>-TPD analyses, see Fig. S10) <sup>57</sup>. In addition, we do not detect the usual dehydration products (e.g. ethylene). Here, coke formation appears to be turnover-dependent: the most active catalysts accumulate more coke. While the usual acid-catalysed path to coke can not be excluded, we surmise that the carbonaceous deposit accumulates through alternative mechanism (e.g. acetaldehyde polymerization).



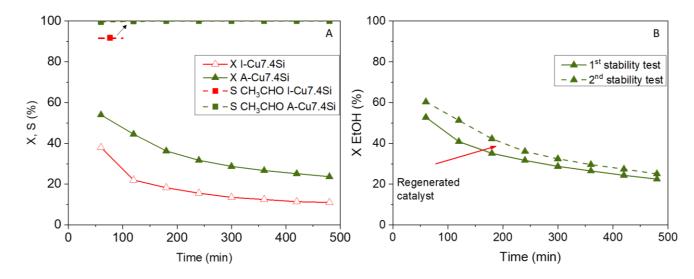
463 Figure 10: MS monitoring at m/z = 44 during the TGA-MS experiment on spent I-catalysts (A) and A-catalysts (B)
464 after catalytic measures (473-673 K).

465 XPS measurements (Fig. S11, A, B) of the spent catalysts reveal the presence of  $Cu^+$  and/or  $Cu^0$ 466 species (consistent with XRD and FE-SEM characterizations) with clear peaks at 932-933 eV (Cu 467  $2p_{3/2}$  orbitals) and 954 eV (Cu  $2p_{1/2}$  orbitals) <sup>58</sup>. It should be noted that partial re-oxidation can occur 468 during handling which complicates the analysis of the Cu oxidation state in spent catalysts. However, 469 it appears clearly that the Cu/Si ratio tends to decrease after catalytic reaction (Table S3). Causes may 470 be both copper sintering and/or selective coke deposition on copper particles. At this stage of the 471 study is impossible to determine which cause of deactivation is predominant but certainly the

consequence, as suggested in literature <sup>58,59</sup>, is a reduction of active phase sites at the surface. To 472 473 further investigate the coke deposition phenomenon (Fig. S11, C, D and Tables S4 and S5), XPS peaks for C1s region was investigated for both fresh and spent catalysts. The surface carbon 474 concentration was found to increase in all spent catalysts, as compared to the fresh counterparts, 475 confirming carbonaceous species deposition. The C1s peak was further decomposed into four 476 contributions: O=C-O (~ 289 eV), C=O/O-C-O (~288-289 eV), C-O (~286 eV), C-(C, H) (~284.8-477 285 eV) <sup>60</sup>. Comparing fresh and exhausts analyses, the C=O/O-C-O and C-O components remain 478 stable among the different catalysts. Probably underlining the adventitious nature of these species. 479 Components C-(C, H), referred to aliphatic carbon, is more subjected to a variation among the spent 480 481 catalysts. Considering the components of the C1s peak, it seems that aliphatic carbon species are predominant. This probably confirms the presence of coke with a main C-C-C<sub>n</sub> pattern, probably 482 deriving from oligomerized carbonaceous species, in fully agreement with exhaust TGA-MS analyses 483 484 on spent catalysts. Finally, no satellite peaks due to  $\pi$ - $\pi$ \* bond (BE > 290 eV) are found, so extended delocalised electrons derived structures (i.e. aromatic species) <sup>61</sup> can be excluded. Thus, we suggest 485 that the carbonaceous deposit that is formed during reaction is mainly composed of 486 amorphous/polymeric coke. Finally, globally looking at the surface carbon concentration over the 487 spent catalysts (ESI, Fig. S12), it seems to be independent of the copper loading for A-catalysts. 488 489 Instead, over the impregnated ones there is a clear negative trend upon Cu increasing loading. Being that the most active sites are at the border between the support and the nanoparticle, it seems to be 490 present a correlation between Cu crystallites dimensions, catalytic activity and coke deposition. In 491 492 fact, over impregnated catalysts higher is the loading, and then, proportionally, the crystal size, lower is the coke deposition. Instead, over A-catalysts, where dimensional variation of nanoparticle is less 493 494 marked, coke deposition seems to be constant.

495 *3.4 Effect of time on stream, deactivation, and rejuvenation* 

The stability of A-Cu7.4Si was tested at 523 K (an industrially relevant operation temperature, where 496 497 selectivity for acetaldehyde is high). During 500 min on stream, the selectivity remained complete (Fig. S13). Conversion declined slowly (from 46% to 36%), indicating progressive deactivation. To 498 better highlight the difference between I- and A-catalysts, we also applied stability tests at a slightly 499 500 higher temperature. A-Cu7.4Si and I-Cu7.4Si catalysts were tested at 573 K for 500 min (Fig. 11 A). I-Cu7.4Si starts at a conversion of 39%. A-Cu7.4Si reaches a conversion of 55 % (the test was done 501 502 with 40 mg of catalyst instead of 50 mg, so that the initial conversion is in the same range for both catalysts). Both catalysts showed total selectivity to acetaldehyde. Then, conversion slowly declines 503 with time on stream, tending to a dwelling after 400 minutes. Meanwhile, however, selectivity 504 505 remains at 100%. In the course of this stability test, the yield of acetaldehyde is divided by ~4 with I-506 Cu7.4Si (down to ~11%), while it is only divided by a factor 2 (down to ~24%) with A-Cu7.4Si.



507

Figure 11. (A) Stability tests over A-Cu7.4Si and I-Cu7.4Si with ethanol conversion and acetaldehyde selectivity
 values. (B) stability test and 2<sup>nd</sup> stability test, on A-Cu7.4Si, after rejuvenation step (mild oxidative treatment) in terms
 of ethanol conversion.

FE-SEM microphotographs of I-catalyst post stability test (Fig. 12 A, B) reveal a large number of bright particles (Cu) that aggregate outside of the silica spheres. Thus, it is clear that, although the stability test has been carried out only at 573 K (i.e.  $T < T_{Tamman}$ ), segregation of active phase and

progressive sintering occurred. As already reported <sup>62</sup> atomic migration and crystallite migration 514 515 (diffusion-controlled processes that occur along the surface, followed by coalescence of atoms/crystallites) are well-known deactivation causes for supported metal nanoparticles. Moreover, 516 pronounced sintering may also occur after prolonged time on stream or/and at high temperatures, as 517 reported by others <sup>19</sup>, generating irreversible deactivation. This effect is very visible on the I-catalyst. 518 On the contrary, after the same test on the A-catalyst (Fig. 12 C, D) only a small number of Cu 519 520 crystallites is detected. Moreover, looking together at fresh and spent A-catalysts micrographs, no variations in Cu distribution over the support is found. This confirms a slightly reduced mobility of 521 Cu nanoparticles in the catalyst made by aerosol. In fact, it seems reasonable to affirm that A-catalyst 522 523 shows a higher resistance to coalescence because the Cu nanoparticles are truly embedded in the silica matrix (yet accessible for catalysis, so at least partially pointing towards the external surface of the 524 pores). The fact that Cu species tend to aggregate more on the I-catalyst than on the A-catalyst is 525 unambiguously confirmed by elemental mapping in STEM-EDXS (Fig. 12 E, F) and by XRD (Fig. 526 S14, E, F). 527

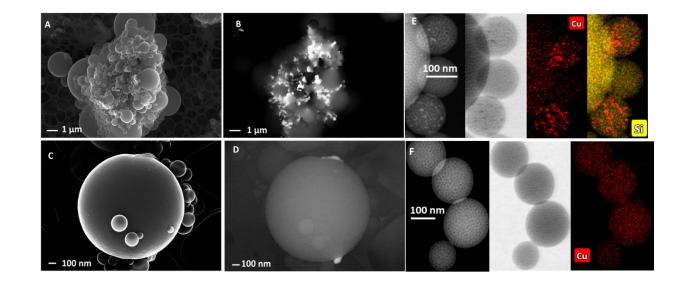


Figure 12: FE-SEM micrographs obtained on spent catalysts after the stability tests: A (SE signals), B (BSE signals)
refer to ICu7.4Si while C (SE signals) and D (BSE) refer to A-Cu7.4Si. STEM images obtained on I-Cu7.4Si (E, dark
field, bright field and Cu/Si mapping from left to right) and on A-Cu7.4Si (F, dark field, bright field, and Cu mapping
from left to right).

534 All in all, both fouling by carbon deposition (ESI Fig. S14, A, B, C, D for TGA-MS), occurring on both catalysts, and more markedly on A-catalysts and Cu sintering, occurring mostly on I-catalysts 535 536 and only marginally on A-catalysts, can be the main cause of the progressive deactivation. To understand whether the carbon deposition was the major source for the deactivation or not, a 537 rejuvenation was attempted by applying an oxidative treatment (up to 788 K) on the best catalyst (i.e. 538 A-Cu7.4Si) after the stability test. Surprisingly, the 2<sup>nd</sup> stability test (after the rejuvenation) reached 539 conversion values slightly higher than in the first test (Fig. 11 B) and total selectivity to acetaldehyde 540 was maintained. The origin of this slight increase in activity would require further investigations. Yet, 541 542 this experiment reveals that the main limiting factor for catalyst stability is the progressive coke deposition <sup>63</sup>. However, the carbonaceous deposit can be easily removed using a mild oxidative 543 544 treatment.

545

#### 546 **4.** Conclusions

547 Simple and direct synthesis method relying on the aerosol-assisted sol-gel (AASG) process can be utilized to prepare efficient Cu-based catalysts for the non-oxidative dehydrogenation of (bio)ethanol 548 549 to acetaldehyde. The process leads to spherical and mesostructured silica-based microparticles with highly dispersed Cu species. From a detailed characterization survey, it comes up that preparation 550 methods strongly influence the catalytic properties. In fact, our experimental data suggest that respect 551 to catalysts fabricated by impregnation with one pot made ones is possible to obtain i) smaller 552 nanoparticles, ii) a higher dispersion of Cu at the surface iii) a stronger intimacy between the active 553 554 phase and the support. A-catalysts present better dehydrogenation performance respect to impregnated ones, in particular at 573 K. Characterization of spent catalysts reveals that deactivation 555 occurs by copper segregation and coke deposition. The main cause of catalyst deactivation seems to 556 557 be the second one. However, over A-catalysts, where it has been proved that sintering has a limited effect, carbon deposition can be easily reversed with a mild oxidative treatment rejuvenating the catalytic activity.

560

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