1	A New Healing Strategy for Metals: Programmed Damage and Repair
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18 situ TEM, In situ tension test

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20 Abstract

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22 Self-healing strategies aim at avoiding part repair or even replacement, which is time consuming, expensive and generates waste. However, strategies for metallic systems are still 23 24 under-developed and solid-state solutions for room temperature service are limited to nanoscale damage repair. Here we propose a new healing strategy of micron-sized damage requiring 25 only short and low temperature heating. This new strategy is based on damage localization 26 particles, which can be healed by fast diffusing atoms of the matrix activated during heat 27 28 treatment. The healing concept was successfully validated with a commercial aluminum alloy 29 and manufactured by Friction Stir Processing (FSP). Damage was demonstrated to initiate on 30 particles that were added to the matrix during material processing. In situ 2D and 3D nanoimaging confirmed healing of the damaged material and showed that heating this material for 31 32 10 min at 400 °C is sufficient to heal incipient damage with complete filling of 70% of all 33 damage (and up to 90% when their initial size is below 0.2 µm). Furthermore, strength is

retained and the work of fracture of the alloy is improved by about 40% after healing. The
proposed Programmed Damage and Repair healing strategy could be extended to other metal
based systems presenting precipitation.

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38 **1. Introduction**

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Aluminum alloys have many applications in aerospace or transportation industry, where the strength-to-weight ratio should be maximized [1–3]. However, the relatively low damage tolerance of high strength Al alloys remains a major challenge for increasing product lifetime [3,4]. During service, parts might accumulate damage requiring repair or even replacement, which is time consuming, expensive and generates waste. Now, aluminum alloys are facing one limitation intrinsic to metallic materials: once damage has initiated, it will not vanish [5]!

Self-healing (SH) materials are able to suppress initiated damage [5–9]. This strategy brings about a new paradigm in materials design and is already well-developed for polymers, cement, asphalt, and ceramics, but under-exploited for metallic materials [5,6,9]. The general difficulty with SH metallic systems is the low mobility of atoms at room temperature and often the need for an external driving force to promote mass transfer, without sacrificing the strength of the system [5–10].

Several strategies are currently under development for SH metal-based systems which were categorized by Grabowski and Tasan depending on the dimension of the healed damage [6]. Strategies in systems where millimeter-scale cracks can be healed are based on electrohealing (e.g. for steels and Ti alloys) [11,12] or liquid-based systems based on melting of one component of the system (e.g. low melting point Sn-Bi phase) filling the cracks [5–8,13]. Both systems correspond to a group of assisted healing, where the electro-healing group needs external electrical field and the other one needs external heat [5].

Electro-healing is a recently developed and promising strategy for steels, Ni and Ti alloys, that can be divided into two groups: electro-healing inside electrolyte solution and electropulsing treatment without electrolyte solution [14]. One advantage of these strategies is that they do not require any alloy microstructural modifications. However, the use of electrolyte solution is a practical limitation and no results are available for Al alloys. More details on these healing strategies can be found elsewhere [5,6,14].

Liquid-based systems can be divided into three groups: the ones using solder tubes or capsules containing healing agent, the ones using coating as a healing agent and the ones using low melting point eutectics (also exploiting shape memory alloys) [14]. However, their

applicability is limited by the requirement to maintain the shape of the part, prevent liquid
leakage and solidification cracking during the healing cycle [5,7]. Furthermore, these materials
have limited potential for structural components due to their low mechanical properties and
their high anisotropy [5,6].

Another SH strategy in metals is based on the solid-state diffusion of a healing agent 72 leading to precipitation inside nano- and micro-scale voids of a new phase [5,6,15]. This healing 73 strategy requires the availability of atoms in solid-solution in supersaturated state and their 74 75 mobility and is thus only applicable for selected alloys. Common systems here are precipitation 76 hardenable Al alloys in underaged state where alloying elements are in super-saturated solid 77 solution offering healing potential as presented in Ref. [16,17]. Underaged Al alloys are in low 78 strength state, presenting less interest for applications requiring high strength. Current solidstate precipitation-based healing metals can be divided into two categories depending on 79 80 external trigger used to promote diffusion: Thermally activated Diffusion and Precipitation (TDP) and Pipe Diffusion and Precipitation (PDP), see Figure 1a. 81

Thermally activated Diffusion and Precipitation TDP (Figure 1a,b) is facilitated by (i) nanoscale cavities formed at grain boundaries, (ii) relatively high homologous temperatures (Figure 1b) and (iii) relatively long service time, all typical of creep damage [15,18]. One major difficulty associated with TDP is that the healing precipitation happens not only at the damaged area but also throughout the microstructure, reducing the number of super-saturated solute atoms available in the matrix for healing [6,18].

Pipe Diffusion and Precipitation PDP (Figure 1a,b) falls within the low-temperature 88 applicability window where diffusion is very slow for transport of solute elements to damage 89 90 sites. This is typical of long fatigue loadings during which microplasticity generates regions of vacancies and high dislocation densities where damage is more likely to nucleate due to 91 92 dislocation pile-ups [6]. Precipitation takes place in these regions due to pipe diffusion, i.e. 93 diffusion of solute elements along dislocations. PDP demonstrated improved fatigue resistance 94 in commercial Al-Cu-Mg alloys in underaged state compared to peak-aged state [17,19]. 95 Limitations of PDP are (i) the capacity to only heal nanoscale damage (Figure 1c) and (ii) environmental effects to the near-surface cracks hindering crack healing [5,6,20]. 96

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102 2. A new healing strategy: Programmed Damage and Repair

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Here, we propose a new solid-state healing strategy called Programmed Damage and 104 Repair (PDR, Figure 1a, bottom) applied to an Al alloy. It incorporates damage localization 105 particles within a commercial Al alloy. Damage primarily nucleates on these particles (hence 106 *Programmed Damage*) modifying the common void nucleation mechanism in Al alloys, i.e. by 107 fracture or decohesion of iron-rich intermetallics [21,22]. During service, loading is interrupted 108 109 and a healing heat treatment (HHT) triggers diffusion to heal these voids (hence Repair). 110 Temperature and time are selected to minimize microstructural changes that would lead to strength decrease, keeping a reasonable healing efficiency. 111

Our new PDR strategy presents a notable difference to the two strategies discussed above. While the TDP and PDP (Figure 1a) concepts use precipitation event at nano-voids as healing mechanism, the PDR strategy is based on fully precipitated microstructure where these precipitates play the role of "damage localization particles". The second difference relates to the healing mechanism. In our new concept, the matrix itself is the healing source, not for precipitation as our microstructure is already fully precipitated, but for matrix penetration into the crack by exploiting fast atomic diffusion mechanisms.

This PDR strategy presents several advantages compared to TDP and PDP. In particular, 119 although PDR requires thermal activation like TDP, the material is heated for only a limited 120 time (Figure 1c, top) at temperatures slightly above the upper limit of TDP strategy to ensure 121 sufficient diffusion. Furthermore, PDR is not restricted to healing nanoscale damage contrary 122 to PDP (Figure 1b), i.e. PDR repairs damage at a scale controlled by the damage localization 123 particles. Moreover, in the TDP and PDP strategies, precipitation is difficult to control and leads 124 125 to a reduction in healing efficiency [6,18]. The PDR strategy relies on strengthening and 126 particles that strengthen the alloy and also serve as well-controlled damage sites and healing agent concentrators. The healing efficiency is thus expected to be maintained for multiple 127 128 healing cycles (Figure 1c, bottom).

In addition, healing potential can be enhanced by a high density of crystallographic defects such as vacancies, dislocations, subgrain and grain boundaries and eventually internal surfaces (i.e. the surfaces of the induced voids). Such defects provide diffusion shortcuts and thus increase diffusion rates. Processing methods that can achieve such microstructures can thus enhance the material's healing potential [23,24].





Figure 1. Healing strategies in metallic materials. (a) Schematic overview of solid-state self-healing strategies in 136 137 metals inspired from Grabowski and Tasan [6] (TDP = Thermally activated Diffusion and Precipitation, PDP = 138 Pipe Diffusion and Precipitation and PDR = Programmed Damage and Repair). (b) T/T_m – homologous 139 temperature as a function of characteristic healing scale (temperature (in K), T_m – melting temperature (in K)). 140 Temperatures below $T/T_m \approx 0.34$ (in blue) correspond to the PDP strategy operating at room temperature. The "High T^o range" (in red) corresponds to TDP strategy and is inspired from [5]. The limits are defined as upper 141 142 and lower operation limits for creep-loaded samples. The red doted line corresponds to the calculated theoretical healable damage size evolution with temperature assuming a characteristic diffusion length of Cu in pure Al after 143 144 10^5 seconds. The PDR strategy points are placed at 400 °C (T/T_m ≈ 0.76), see section 4.3. Numbers [17,19] and

145 [18] in the figure refer to the corresponding papers in the reference list. (c) Evolution of homologous temperature

146 *and healing agent concentration with time for these strategies.*

- 147 **3. Experimental methods**
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149 **3.1. Manufacturing**

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To obtain the desired microstructure, Friction Stir Processing (FSP) was applied on 151 commercial Al 6063 alloy, a representative alloy of the Al-Mg-Si system. FSP is a well-known 152 technique for ductility and strength improvement of aluminum alloys as well as for particles 153 incorporation to obtain Aluminum based Matrix Composites (AMCs) [2,25,26]. FSP leads to 154 155 refinement and homogenization of the microstructure and the processed material typically contains a high amount of crystal defects, i.e. vacancies, dislocations, subgrain and grain 156 157 boundaries. These microstructural features provide, on the one hand, nucleation sites for precipitation and, on the other hand, increasing healing potential by the presence of diffusion 158 159 shortcuts for fast-diffusing atoms [14,24].

Friction Stir Processing (FSP) was applied on an Al6063-T4 plate (300x70x5 mm) (see 160 161 Supplementary material 1). To introduce the damage localization particles, a 240x5x0.5 mm³ groove was machined in the middle of the plate and filled with a 99.9% purity Mg strip of the 162 same geometry, covered by a 1 mm thick cold rolled 6063 plate. FSP was conducted on a FSW 163 machine E256 (TRA-C Industry), using a H13 steel tool. The FSP tool has a 20 mm diameter 164 shoulder, a 5.6 mm diameter and 2 mm long pin, penetrating 2.2 mm into the plate. Sixteen 165 passes of FSP were performed with a rotational speed of 1000 rpm and a traverse speed of 400 166 mm/min in order to ensure good distribution of Mg. 167

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169 **3.2.** Alloy composition and conventional microstructure observations

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Chemical analysis was conducted by Inductively Coupled Plasma (ICP) - Atomic 171 Emission Spectroscopy (AES) on a iCAP 6000 equipment (Thermo Scientific). Microstructural 172 characterization was performed using Scanning Electron Microscopy (SEM, Zeiss ultra 55). 173 174 Further investigations on damage localization particles, their chemical composition and the composition of the matrix were performed with FEI Osiris Transmission Electron Microscope 175 (TEM) operated at 200kV and equipped with a CHEMISTEM detector. Samples were prepared 176 from the top surface of the nugget zone at a depth of at least 0.5 mm. TEM thin foils were 177 178 prepared by soft ion milling (Gatan Duo Mill 600 machine).

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181 **3.3. In situ SEM tensile test**

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To reveal damage mechanisms, in situ uniaxial tensile tests were performed inside the SEM using a micro-tensile machine (Gatan microtest tensile stage). Void formation and coalescence could thus be monitored. Flat samples with a thickness of 1 mm were extracted from the top part of the FSPed plate (see Supplementary material 1). The detailed geometry of these samples is provided in Figure 2a. The loading rate was set to 0.1 mm/min. To force the crack initiation site and facilitate observations, the flat tensile samples contained U-shaped notches on both sides (Figure 2a).

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193 Figure 2. Samples preparation for in situ SEM tensile testing, in situ TEM heating and in situ 3D X-Ray nano-

194 *imaging.* (a) Geometry of in situ SEM tensile test sample (thickness about 1 mm); (b) Representative interrupted

engineering stress-strain curve at 0.6 or 0.7 mm elongation in comparison with a curve where the test was

196 performed without observation and until fracture; (c) Steps of sample preparation and schematic for in situ 3D

197 *X-Ray nano-imaging and in situ TEM heating experiments.*

These in-situ tensile tests have been interrupted at elongations of 0.6 mm or 0.7 mm.
These two levels of elongation have been selected in order to ensure a significant number of
voids nucleated from the reinforcement particles.

In order to estimate more precisely the applied strain in the sub-specimen (extracted for 202 in situ 3D X-Ray nano-imaging using holotomography) at the notch root (see Figure 2c), finite 203 element (FE) analysis of the SEM tensile tests was performed (see Figure 3). The input file of 204 this model was constructed and solved with the general-purpose software ABAQUS (version 205 2019). Young's modulus and hardening law were identified from the experimental macroscopic 206 207 stress-strain curves of uniaxial tensile tests (section 3.6). Isotropic hardening was assumed and 3D linear hexahedral elements (C3D8R) were used. The FE procedures are fully standard and 208 209 convergence of the results was carefully checked (with 16 elements in the thickness direction). 210



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Figure 3: Finite element analysis of SEM tensile samples. (a) Finite element mesh of the whole specimen; (b)
Experimental and computed Force-displacement curves of the SEM tensile tests. Contour plots of von Mises
equivalent strain within a cross-section through the center of the notch for an elongation of (c) 0.6 and (d) 0.7
mm.

Figure 3 shows both the experimental and computed force-displacement curves of the SEM tensile tests. A good agreement is observed up to elongation value of approximately 0.75 mm. Figure 3c-d shows contour plots of von Mises equivalent strain within a cross-section through the center of the notch for an elongation of 0.6 and 0.7 mm, respectively. The strain

- levels within the tomography specimens (Figure 3b-d) range between [0.3-0.45] and [0.4-0.6]for the elongation of 0.6 mm and 0.7 mm, respectively.
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224 **3.4.** In situ X-Ray synchrotron holotomography heating

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The in situ 3D X-Ray nano-imaging tests were performed at the European Synchrotron 226 Radiation Facility (ESRF, Grenoble, France) on beamline ID16B using holotomography with 227 228 a voxel size of 35 nm and a dedicated high temperature furnace [27-29]. All 3D X-Ray nano-229 imaging specimens were prepared according to the schematic in Figure 2c. The specimens were thus taken from interrupted in situ SEM tensile tests at 0.6 mm and 0.7 mm of global elongation 230 231 (corresponding to a strain level of about 0.35 and 0.5 respectively, see section 3.3). They were extracted next to the notch, i.e. in the region experiencing the largest strain and thus presenting 232 233 the highest level of damage (Figure 2c). The samples were then thinned manually to 200 µm and cut by a micro-cutting machine. The specimens were mounted on a dedicated specimen 234 235 support (Figure 2c) to fit into the furnace available at the beamline [27]. The furnace can be easily mounted and removed over the specimens to heat them up to 200, 300 and 400 °C 236 237 between holotomography scans without moving the specimens. The nano-imaging were acquired using a conic pink beam ($\Delta E/E=10-2$) with an energy of 17.5 keV. While the specimen 238 rotated over 360°, 2D phase contrast images of the specimen (field of view: 90x76 μ m² – pixel 239 size: 35 nm) were recorded using a CMOS camera. For each tomographic scan, 3009 240 projections were acquired with an exposure time of 200 ms per step. 241

For selected scanning steps, cavities were distinguished from the Al matrix by 242 243 segmentation and their geometrical characteristics were recorded. A tracking algorithm (inhouse built toolbox in MATLAB), relying on a graph-based data association approach, has been 244 modified and used to follow the cavities from one scanning step to the next (see Supplementary 245 material 5 for details of the procedure) [30,31]. Only the cavities with initial size above 0.1 µm 246 (i.e. with a diameter of at least 3 voxels) were used for the tracking procedure in order to avoid 247 248 counting any noise of the reconstructed images. The results of the tracking algorithm allow to perform a statistical analysis of void healing, i.e. voids which have completely disappeared. 249

Supplementary material 2 provides a detailed list of all samples with the elongation used before 3D X-Ray nano-imaging, the healing temperatures and times. Reference samples without adding the Mg strip were also manufactured to assess the influence of magnesium addition on the healing potential. The results of these additional specimens are provided in Supplementary material 4.

256 **3.5. In situ TEM heating**

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TEM was used for in situ heating also using the FEI Osiris TEM operated at 200kV. The TEM 258 specimens were also prepared from interrupted in situ SEM tensile test at a global elongation 259 of 0.6 mm by analogy with the specimens for 3D X-Ray nano-imaging (Figure 2c), then also 260 thinned using ion-milling. A Gatan 652 double-tilt heating holder was used for the in situ TEM 261 262 heating experiments. To assess the healing mechanism, the optimum temperature of 400 °C 263 (see section 4.3) was used with heating interruption for observation. The heating time from room temperature (RT) to 400 °C was less than 1 min. All images were taken in HAADF-264 265 STEM mode to facilitate the observation of the particles and cracks.

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267 **3.6. Micro-hardness and uniaxial tensile test**

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269 Vickers micro-hardness testing was performed along the FSP nugget zone with a EMCO-test 270 DuraScan G5 indentor under 0.3 kg loading (HV0.3). Uniaxial tensile tests were performed on 271 a screw-driven universal machine under displacement control with 0.5 mm/min velocity. Flat samples, with a cross-section of 2x6 mm², were machined along the FSP direction 272 (Supplementary material 1 and 7). The initial gauge length was equal to 22 mm. The true 273 fracture stress (defined as $\sigma_f = F_f/A_f$) and the true fracture strain (defined as $\varepsilon_f = \ln(A_0/A_f)$) were 274 computed from the last force value Ff recorded before fracture, and from the initial and fractured 275 276 section area, A_0 and A_f , respectively. In order to identify the work of fracture (W_f), the area under true stress-strain curves was calculated. The samples Al-0.5Mg₂Si-HHT were strained to 277 278 10, 15, 18 and 20% (i.e. UTS reached) of global elongation before the healing heat treatment (HHT) was applied. The heat treatment was performed at 400 °C using Heraeus heating oven 279 by the placement of flat samples in contact with the heating plate and subsequent cooling in air. 280

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282 4. Results and discussion

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284 **4.1. Initial and damaged microstructure characterization**

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After FSP with an embedded Mg strip, inductively coupled plasma (ICP) analysis shows that the overall Mg content was increased by 3% (from 0.4 to 3.4 wt.%) (Supplementary material 1, Table S1). Figure 4a-b show that the new alloy consists of an Al matrix rich in Mg,

containing about 0.5% surface fraction of Mg₂Si particles (in dark grey) and brittle Fe-rich intermetallics (in white), which are always present in industrial aluminum alloys and are source of damage as was shown by Hannard et al. [22]. Supplementary material 1 provides a quantitative characterization of these Mg₂Si particles.

Homogeneously distributed 300 nm size Mg₂Si particles (Figure 4a) are formed in situ, modifying the microstructure of Al6063 alloy and providing hardening effect. Selected area electron diffraction pattern (SAED) in Figure 4e confirms that this phase is Mg₂Si. The hardness of the nugget zone is increased from 52.36±0.94 for Al6063-FSP to 76.31±1.3 HV0.3 (see Supplementary material 1, Figure S2 for full hardness map).

Fallah et al. [32] have shown that Mg enrichment in the matrix favors an early stage 298 299 Mg-Si clusters nucleation, stabilization and growth. These early stage clusters can easily be transformed to an equilibrium Mg₂Si phase [33]. Figure 4d-f shows that the Si content is almost 300 301 entirely used for Mg₂Si precipitation (Figure 4d-f), while 3 wt.% Mg remains available in the matrix (Figure 4f) for further healing. These results of the phases compositions correspond well 302 303 to the ones obtained by Thermo-Calc simulation for the same composition identified by ICP analysis (Supplementary material 1, Table S2) at the expected FSP temperature of 490 $^{\circ}$ C (\approx 304 0.8 T_m). This means that the cooling rate after FSP was high enough to form a supersaturated 305 306 solid solution rich in Mg.

Both Mg₂Si particles and Mg enrichment of the Al matrix are important for the PDR strategy: the Mg₂Si precipitates serve as damage sites and therefore generate Programmed Damage, while the high diffusion rate of Mg in the Al matrix are expected to speed up void healing (i.e. Repair) [10].

Figure 4b shows that damage initiates on Mg₂Si particles by their fracture or debonding from the matrix only after significant tensile deformation during in situ SEM tensile testing (Figure 4c), similar to Shen et al [34]. Fe-rich intermetallics remain generally intact until final fracture, enabling large deformation range for healing before catastrophic failure, i.e. void nucleation on brittle Fe-rich intermetallics in the matrix is effectively replaced by the programmed damage at damage localization particles (for a proof with in-situ SEM tensile tests see Supplementary material 3).





320 Figure 4. Microstructure and damage evolution in Al6063-0.5Mg₂Si alloy. (a) Al6063-0.5Mg₂Si microstructure: 321 in dark grey – Mg_2Si particles; in white – Fe-rich intermetallics; (b) damage nucleated on Mg_2Si particles at 0.6 322 mm of global elongation; (c) tensile curve obtained during in situ scanning electron microscopy tensile test 323 indicating the elongations corresponding to (a) and (b); (d) HAADF-STEM (high-angle annular dark-field 324 scanning transmission electron microscopy) micrograph; (e) SAED (Selected Area Electron Diffraction) pattern 325 obtained on a particle and the surrounding matrix; (f) EDX (Energy Dispersive X-Ray Analysis) analysis of a 326 particle (blue box) and surrounding matrix (red box) indicated in (d). Both SAED and EDX confirm that these 327 particles are Mg₂Si.

329 This new alloy indeed presents novel microstructural features compared to previous330 solid-state SH metallic systems:

331 (i) small (below 1 µm) homogeneously distributed Mg₂Si particles are controlled
332 damage sites limiting initial damage size;

(ii) enrichment of the Al matrix in Mg atoms speeds up solid-state diffusion at
temperatures below homogenization while Al can also serve as healing agent [10];

(iii) since the matrix serves as a healing agent reservoir, the delivery of healing agents
is continuous during applied HHT expectedly ensuring multiple healing cycles (Figure 1c) [35];

(iv) the "damage localization particles" present a strengthening effect as opposed to theunderaged aluminum alloys (see introduction, PDP) [16,17].

In addition, a commercial 6063Al alloy is used as base material instead of alloys developed with specific compositions for SH purpose or high purity metallic systems [15,36]. Finally, FSP improves the ductility of the matrix material by up to 100% by microstructure
homogenization and refinement, and eliminates the need for any post-treatments [2,37,38].

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344 **4.3. Selection of the healing temperature**

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346 3D X-ray nano-imaging was performed at the ESRF (see Supplementary material 2 for 347 sample full list). Three temperatures were selected to investigate the healing efficiency: 200, 348 300, and 400 °C, which correspond to values $T/T_m = 0.54$, 0.65 and 0.76, with the melting 349 temperature of Al 6063 alloy taken as 610 °C or 883 K. These values are expected to be 350 sufficient to activate fast diffusing atoms. Figure 5 presents microstructural evolutions for 351 healing at 200 °C and 300 °C.

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Figure 5. Minimum Intensity Projections (MinIP) of 50 slices of volumes obtained before and after heat treatment
(a) up to 8 hours at 200 °C and (b) and up to 100 minutes at 300 °C using X-ray holotomography. These specimens
correspond respectively to Specimen 4 and 5 of Supplementary material 2.

It is observed that for the 200 °C heating temperature (Figure 5a), even 8 hours are not sufficient to cause healing and most voids remain unaffected. Similarly, 100 minutes at 300 °C are not sufficient to show significant healing (Figure 5b). Although the healing of the voids is more prominent at 300 °C, it still cannot be considered sufficient in comparison with a healing temperature of 400 °C (Figure 6). Thus, in what follows, 400 °C will be selected as healing
temperature and the relevant temperature range of PDR is placed in Figure 1(b,c).

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364 **4.2. Statistical validation of healing ability**

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Correlative characterization over time was used to analyze healing ability [39]. Figure 366 6a shows the disappearance and progressive filling of larger voids observed during in situ HHT 367 coupled to 3D X-ray nano-imaging performed at the selected temperature of 400 °C. Figure 6b 368 369 shows the results of the tracking algorithm used to follow the progressive filling of each individual void from one 3D scan to the next. A clear size effect is observed: the smallest 370 371 cavities (below 200 nm) have a healing efficiency of approximately 90% after 10 minutes, while the largest cavities (larger than 400 nm) present a much lower healing efficiency (20%). Further 372 373 heating shows continuous healing of voids regardless of their size or deformation level at which HHT is performed. After 2 hours, approximately 85% of all nucleated voids are completely 374 375 filled and the biggest healed void is about 2 µm in length (Figure 6a).

Figure 6c shows the cumulative distribution function of the near-neighbor distance 376 377 (NND) of each void just after damage (initial state) and after various HHT durations. It shows that a lot of voids have at least one neighbor in very close proximity in the initial state (i.e. 378 small NND), while voids become distant from each other after HHT (i.e. larger NND). For 379 example, while all voids have a NND below 4 µm in the initial state, only 60% of voids have a 380 neighbor located at less than 4 µm after 10 minutes of HHT (Figure 6c). Healing postpones thus 381 failure as only closely spaced voids are likely to merge to form micro-cracks.[22] Figure 6d 382 shows that the void volume fraction is already significantly decreased after 10 minutes of HHT. 383 Clearly 10 minutes HHT is thus sufficient to significantly decrease both the number of voids 384 385 close to each other and the volume fraction of voids.



388 Figure 6. Healing evolution with time at 400 °C. (a) 3D volumes and corresponding Minimum Intensity 389 Projections (MinIP) in the initial state, after 10 minutes and after 2 hours at 400°C; (voids are in black, 390 intermetallic particles in yellow and $M_{g_2}Si$ particles in grey). (b) Evolution of the number of healed cavities with 391 healing time for different size classes: Specimens 1 and 2 correspond to 0.6 mm of global elongation; Specimen 3 392 corresponds to 0.7 mm of global elongation (see Supplementary material 2 for details on each specimen). (c) 393 *Cumulative distribution function (CDF) of the near-neighbour distances between voids as a function of the heating* 394 time. (d) Evolution of the void volume fraction (F_v) , normalised by the value in the initial state $(F_{v,init})$. (e) HAADF-395 STEM images showing healing evolution with time. The black arrows indicate the position of the crack.

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397 4.3. Healing mechanism

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Figure 6e shows results of in situ heating in the transmission electron microscope at 399 400°C with a typical example of healing mechanism (more results are provided in 400 Supplementary material 6). The healing shown in Figure 6 can be interpreted by analogy with 401 metal sintering, where the driving force of initial porosity closure is the decrease in interfacial 402 energy, achieved by a reduction in free surface area per unit volume [5,40]. Thermal activation 403 is required to trigger diffusion and start voids filling (by analogy with densification during 404 sintering). At elevated temperature, diffusion is enhanced and oriented following the chemical 405 406 potential gradient issued from concentration gradient: atoms will diffuse from areas presenting 407 high concentration towards areas with lower concentration.

By analogy with sintering, a sharp crack tip can be considered as a void with a very low dihedral angle. Low dihedral angles promote densification, favoring crack filling (Figure 6e) [41]. The developed Al-0.5Mg₂Si alloy facilitates this process in three ways, which expectedly work in parallel.

(i) FSP generates high density of defects as vacancies, dislocations and grain
boundaries, as well as a very fine distribution of Mg₂Si particles mainly forming at grain
boundaries (Supplementary material 6) [26]. Broken particles are thus connected to these
diffusion "shortcuts" and grain boundary diffusion of Al and Mg atoms can easily be activated
[24].

417 (ii) The region in front of the crack tip presents high stress concentration and higher418 dislocation density providing a favorable path for pipe diffusion [24].

(iii) While an increase of Mg atoms concentration was not detected in the area of a 419 420 healed crack (Supplementary material 6, Figure S10), the supersaturated solid solution of Mg atoms within the Al matrix (about 3%, Figure 4d,f) is expected to play a significant role in 421 422 healing kinetics and also contribute to the two factors discussed above [10]. Mg atoms have a 423 higher diffusion rate in the Al matrix than Al self-diffusion [10]. The solubility of Mg in the Al 424 matrix is below 1% at room temperature and Mg should remain as super-saturated atoms or gather in Al-Mg rich GP zones [42,43]. The 400 °C HHT increases the solubility of Mg in Al 425 426 to about 13% leading to a release of all trapped Mg atoms from the clusters to the matrix for diffusion and healing [44]. Mg atoms are neighboring and accompanying vacancies and 427 dislocations because of steric effects [45,46]. Heating will activate the migration of a flux of 428 429 defects towards grain boundaries acting as a sink [45,47]. Thus, Mg atoms trapped at these 430 defects will diffuse from the matrix to the grain boundary where they can further travel along the grain boundary towards the crack (Figure 1a). Now the question is how can vacancies 431 432 annihilate? By analogy with the final stage of sintering, grain boundaries can act as healing atoms source as well as vacancies sink [40,48]. 433

In order to confirm the unique healing behavior of the proposed healable alloy and indirectly verify the importance of Mg enrichment, the same commercial alloy Al6063 was processed under the same condition but without Mg strip addition. In that case, the same healing phenomenon was not observed (Figure S5 of Supplementary material 4).

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4.4. Effect of healing treatment on tensile properties

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To evaluate the effect of healing efficiency on mechanical properties, another kind of tensile tests was performed (Supplementary material 7) on three Al-0.5Mg₂Si alloys (Figure 7a, Table 1):

- 447 (1) as-FSPed (called $Al-0.5Mg_2Si$);
- 448 (2) non-damaged but heat treated at 400 °C for 10 min (called Al-0.5Mg2Si-HT);

(3) damaged by loading to deformation levels up to 20% (details in Supplementary

- 450 material 7), heat treated then loaded until final failure (called Al-0.5Mg₂Si-HHT).
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Heat treating the undamaged alloy improves the fracture strain ε_f from 0.65±0.06 (Al-0.5Mg₂Si) to 0.76±0.05 (Al-0.5Mg₂Si-HT) without significantly affecting yield strength (σ_y) (Figure 7a, Table 1) likely due to static recovery of the FSPed microstructure [49].

Al-0.5Mg₂Si-HHT was deformed up to strain close to the onset of plastic localization. At this stage, particles are fractured (Figure 4b), and if no healing is applied before further deformation, voids will grow, coalesce into macrocracks leading to catastrophic failure. However, damage is significantly decreased by applying HHT. Al-0.5Mg₂Si-HHT presents a similar fracture strain to Al-0.5Mg₂Si-HT: 0.74 ± 0.03 (or 0.90 ± 0.07 taking into account the deformation applied before healing).

The work of fracture (W_f) quantifies the amount of energy that the material can absorb 461 before failure, evaluated from the area under the true stress-strain curves (Figure 7a), and is 462 used as an indicator of fracture toughness [38,50]. Figure 7b shows that healing recovers the 463 work of fracture of the damaged but post-healed sample (considering only the "Post Healing" 464 cycle) to a level similar to the Al-0.5Mg₂Si-HT sound sample. In addition, the total work of 465 fracture of the healed Al-0.5Mg₂Si-HHT sample (including both loading "Prior to Healing" and 466 "Post Healing" cycles) is 40% above that of Al-0.5Mg₂Si (Figure 7b, Supplementary material 467 7, Table S5). 468

An overall analysis of the curve indicated as "Post Healing Loading" in Figure 7a shows that the material after HHT does not present any yield stress, ultimate tensile stress, fracture strain nor work of fracture loses compared to Al-0.5Mg₂Si-HT and in this perspective behaves like undamaged material even though it underwent the "Loading prior to Healing" cycle generating damage.





476 *Figure 7. Mechanical behavior of Al-0.5Mg*₂*Si samples. (a) Typical true stress-strain curves of the Al-0.5Mg*₂*Si*

479 *Table 1.* Mean values for tensile properties of the composite samples before and after the healing heat treatments

	E ^{a)}	σ_y	$\sigma_{y^{b)}}$	$\sigma_{\text{Failure}^{c)}}$	ε _f	$\mathbf{W}_{\mathrm{f}}^{\mathrm{b})}$	\mathbf{W}_{f}
	[GPa]	[MPa]	[MPa]	[MPa]		$[MJ/m^3]$	$[MJ/m^3]$
Al-0.5Mg ₂ Si	69.3±1.2	124.8±3.1		394.73±10.7	0.65±0.1		196.3±15.3
Al-0.5Mg ₂ Si-HT	67.1±0.8	131.5±3.0		416.90±29.6	0.76 ± 0.00		236.6±23.5
Al-0.5Mg ₂ Si-HTT	67.0±3.8	125.7±4.2	175.6±9.3	428.9±32.8	0.74±0.03	242.5±12.1	273.7±16.8

 $^{a)}$ E – Young modulus; $^{b)}$ data for Post Healing Loading curve; $^{c)}$ $\sigma_{Failure}$ – Strength at failure

480

One should note that recovery, recrystallization and in general heat treatment softening 481 mechanisms also play a role on the tensile behavior after the HHT. Now, compare samples Al-482 0.5Mg₂Si-HHT and Al-0.5Mg₂Si-HT (Figure 7b). The 16% improved Wf with the same heat 483 treatment (including both loading "Prior to Healing" and "Post Healing" cycles), i.e. expectedly 484 leading to a similar softening, brings the conclusion that damage healing contributes to W_f of 485 the healed sample (Al-0.5Mg₂Si-HHT). Indeed, voids (i.e. small cracks) closure causes a 486 reduction in NND (Figure 6c). For neighboring damage to coalesce, regions of elevated strain 487 (the regions in front of the crack tips) should overlap. The HHT increases the distance between 488 489 voids and thus postpones void coalescence.

- 490
- 491 **5. Conclusion and outlook**
- 492

This work presents a new precipitation-based healing strategy for metals. A proof of concept using commercial Al alloy shows that one healing cycle fully recovers mechanical properties and overall improves work of fracture by 40% compared to the initial state of the material. The proposed Programmed Damage and Repair (PDR) strategy overcomes many

⁴⁷⁷ *samples;* (*b*) *corresponding work of fracture.*

497 reported limitations for self-healing (or healable) Al-based alloys particularly taking a step 498 forward from nanoscale damage healing to a few microns scale. Further development of PDR 499 strategy achieving full healing is expected to lead to multi-cycle healable alloy. Moreover, as 500 FSP can be applied locally or like a surface treatment in commercial Al alloys, these new 501 healable alloys could enhance local areas of stress concentrations, granting prolonged service 502 life to structures.

Although not investigated yet, we anticipate that our concept could expand to other 503 504 alloys with a precipitation phenomenon (e.g., 7xxx Al alloys, Mg alloys). As a result, the PDR 505 strategy has great potential to impact the classical paradigm in metallic materials design, where only damage delay and prevention are considered as optimization routes. In addition, the 506 507 proposed strategy can be adopted by other processes where two conditions meet: possibility to modify chemical composition in order to obtain damage localization particles and 508 509 supersaturated solid solution; and possibility to control processing conditions so fine microstructures presenting diffusion shortcuts can be produced and well-controlled, e.g., 510 511 additive manufacturing.

512

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