1	Calibrating SoilGen2 for interglacial soil evolution in the Chinese Loess Plateau
2	considering soil parameters and the effect of dust addition rhythm
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4	Keerthika N. Ranathunga ^{a, b,*} , Peter A. Finke ^a , Qiuzhen Yin ^b , Yanyan Yu ^c
5	^a Department of Environment, Research group of soilscape genesis, Ghent University,
6	Coupure links 653, B-9000 Ghent, Belgium
7	^b Earth and Life Institute, Georges Lemaitre Center for Earth and Climate Research,
8	Université Catholique de Louvain, Place Louis Pasteur 3, B-1348 Louvain-la-Neuve, Belgium
9	^c Institute of Geology and Geophysics, Chinese Academy of Sciences (IGGCAS), 19,
10	Beitucheng Xilu, Chaoyang District, Beijing 100029, China
11	
12	* Corresponding author.

13 E-mail address: KeerthikaNirmani.Ranathunga@UGent.be (K.N. Ranathunga).

14 Abstract

To better understand interglacial paleosol development by quantifying the paleosol 15 development processes on the Chinese Loess Plateau (CLP), we need a soil genesis model 16 17 calibrated for long timescales. Here, we calibrate a process-based soil genesis model, 18 SoilGen2, by confronting simulated and measured soil properties for the Holocene and MIS-13 paleosols formed in the CLP for various parameter settings. The calibration was made 19 20 sequentially on three major soil process formulations, including decalcification, clay migration and soil organic carbon, which are represented by various process parameters. The 21 order of the tuned parameters was based on sensitivity analyses performed previously on the 22 23 loess in West European and the CLP. After the calibration of the intrinsic soil process parameters, the effect of uncertainty of dust deposition rate on calibration results was 24 assessed. Our results show that the simulated soil properties are very sensitive to ten 25 reconstructed dust deposition scenarios, reflecting the propagation of uncertainty of dust 26 deposition in model simulations. Our results also show the equal importance of calibrating 27 28 soil process parameters and defining correct external forcings in the future use of soil models. Our calibrated model allows interglacial soil simulation in the CLP over long timescales. 29

30 Keywords: SoilGen2 calibration; Soil parameters; Uncertainty; Dust deposition

31 **1. Introduction:**

32 The Quaternary loess-paleosol sequences on the Chinese Loess Plateau (CLP) are remarkable terrestrial archives for understanding the interglacial paleosol formation over the past 2.6 33 34 million years (Ma). Despite numerous paleosol-paleoclimate studies, research focusing on 35 quantifying interglacial pedogenic processes in the CLP is rare (Finke et al., 2021). Quantitative expressions of the soil-forming processes and evolving soil properties allow a 36 37 better understanding of the development of the soil (Stockmann, 2011). To explore and quantify paleosol-forming processes in the CLP, we need a soil development model calibrated 38 for long time scales on the interglacial paleosols. Such model must consider changes in soil 39 40 forming factors (Jenny, 1941) by using them as external forcing to modulate soil development processes, leading to simulate soil properties. 41

42 Process-based soil development models are useful tools to reconstruct or predict soil characteristics in the past and future over long-term spans. During soil development, texture, 43 44 mineralogy, organic matter content and chemical properties change at different periods, such 45 as annual, decadal, centennial and millennial. Therefore, if a model simulates for multimillennium periods, soil properties such as soil texture, soil organic carbon and associated soil 46 characteristics like the relations between h (hydraulic head), Θ (volumetric water content) and 47 48 K (hydraulic conductivity) as well as cation exchange capacity (CEC) cannot be regarded as constants. Therefore, soil processes such as clay production by physical weathering, clay 49 migration and the carbon cycle must be dynamically simulated. Here, we use the SoilGen2 50 51 model (Finke, 2012), as it is one of the few and most complete soil profile development models (Minasny et al., 2015). 52

To maximize the reliability of the model output, the model should be calibrated by adjusting process parameters to obtain an optimal match between measured and simulated soil data. The process parameters to be adjusted should first be identified in a preceding sensitivity analysis.

For SoilGen2, sensitivity analyses were done using the Morris' method (Morris, 1991) for
clay migration in European soils (Finke et al., 2015) and for the C-cycle in both Chinese and
European soils (Yu et al., 2013). These analyses resulted in a calibration order based on
decreasing sensitivities of the involved parameters.

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Soil formation is controlled by external forcings (boundary conditions to the model, e.g.
climate change, tillage history) and initial conditions (properties of parent materials) (Jenny,
1941), which, however, are often associated with uncertainty in paleosol study. Therefore, it
is useful to check the calibrated model with respect to its sensitivity to possible realizations of
external forcings.

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In the CLP, wind-blown dust is the parent material for the formation of interglacial paleosols. 67 68 Various studies have described the role of dust addition on the pedogenesis in the CLP (e.g. Liu, 1985; Kukla and An, 1989; An et al., 1991; Porter, 2001; Guo et al., 2002; Maher, 2016). 69 70 Pang and Huang (2006) found that soil formation differences between two regions (middle and middle-lower reaches of the Yellow River) are due to continuous dust deposition into 71 soils. Another study by Li et al. (2019) also revealed the importance of influx of aeolian input 72 73 as (added) parent material of soils, which plays a vital role in pedogenesis. These studies proved that continuous dust addition makes soil profiles grow in two directions (upward and 74 downward). Huang et al. (2003) stressed that there is a need to understand dust accumulation 75 76 and rates in Holocene soil formation in the CLP. Dust addition was maximal during glacial periods, but continued during soil-forming intervals, albeit at a lower rate, and the deposited 77 dust may have been modified by post-depositional processes (e.g. pedogenesis during warm 78 periods), forming accretionary interglacial paleosols (Kemp, 2001; Sun et al., 2010). Kohfeld 79 and Harrison (2003) have stated uncertainty in the dust addition reconstructions during 80

glacial-interglacial cycles. Therefore, it is of relevance that we examine the influence of the
uncertainty of dust addition reconstruction on the paleosol development in a modeling
context.

Thus, the overarching goal of this study is to calibrate the SoilGen2 model (Finke, 2012), a soil process-based soil development model, for the interglacial soils formed in the CLP. The specific research objectives of this study are (a) to calibrate three major soil process formulations, sequentially: decalcification, clay migration and soil organic carbon cycle represented by various process parameters, and (b) to investigate the sensitivity of soil development to different dust deposition scenarios.

90

2. Materials and methods

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2.1 Study area and soil profiles

92 The study area, CLP, has a typical continental monsoon climate (warm and arid, semi-arid) and is characterized by cold and dry winters and warm and wet summers. From Northwest to 93 Southeast, the mean annual temperature increases from 6-8 °C to 12-14 °C, and the mean 94 annual precipitation increases from 150-300 mm to 800 mm, of which 60% occurs during 95 July, August and September through summer monsoon rains. Thus, the Northwest is semi-96 arid and the Southeast is semi-humid (Maher, 2016). Vegetation types and distribution also 97 follow the climate gradient from Northwest to Southeast with desert and steppe grading into 98 forests. 99

Considering the spatial variation of climate on the CLP, three Holocene soil (S0) (the most
recent soil profiles) were used for the CaCO₃ and Soil Organic Carbon (SOC) calibration,
namely, Xifeng (mean annual precipitation 550 mm, mean annual temperature 8.7 °C), Taoyu
in Qing'an county (507.3 mm, 10.4 °C) and Chenjiagou in Qishan county (623.8mm, 12 °C).

Holocene soils are influenced by ongoing and continuous dust addition with high amounts of 104 105 calcium carbonate, limiting the clay migration in the soil. The semi-arid climate in the area is not favorable for clay transport. In addition, compared with the MIS13 paleosols, 106 107 measurements indicate that the two Holocene soil profiles (Tables S1, S2) have no depth variations of the clay fraction ($<2\mu m$), and in Xifeng (Table S4) there are some significant 108 changes of the clay fraction (ranging from 8 to 22 %). As clay migration during S0 is 109 relatively weak, the S5-1 paleosol, formed during Marine Isotope Stage (MIS) 13 about 110 500,000 years ago, was used for the clay migration calibration as this paleosol shows strong 111 eluviation and pedogenesis (An et al., 1987; Yin and Guo, 2008; Lu et al., 2018). The S5-1 112 paleosol from five profiles are used: Changwu (578 mm, 9.2 °C), Luochuan (600 mm, 9.2 °C), 113 Weinan (645 mm, 13.6 °C), Chang'an (603 mm, 13.1 °C) and Wugong (650-750 mm, 12-14 114 °C) (figure1). 115



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Figure 1. The locations of the soil profiles studied: black and red symbols represent the
profiles used for S0 and for S5-1, respectively (modified after Hao and Guo (2005). The
distribution of loess is indicated in yellow.

121 **2.2 The SoilGen2 model**

The SoilGen2 model (Finke and Hutson, 2008; Finke, 2012) is a one-dimensional (soil profile scale) model that can simulate vertical changes in soil properties over millenniums as result of pedogenetic processes driven by external forcings such as climate, vegetation and events like dust deposition. Below, we summarize its main functionalities.

126 The model simulates transport of water, heat and solutes by solving partial differential

127 equations with finite differencing methods using the scheme of the LEACHC-model (Hutson

and Wagenet, 1992). Plant uptake, decomposition of organic matter, fertilization, atmospheric

deposition, erosion or sedimentation and precipitation, dissolution and adsorption of

130 chemicals provide source and sink terms to these equations. Additionally, diffusive transport

131 of CO₂ and vertical mass transport caused by bioturbation and plowing are simulated.

132 The soil development processes simulated by SoilGen2 include (de-)calcification and

133 (de-)gypsification, physical weathering, chemical weathering of seventeen minerals, clay

134 migration and the C-cycle.

Clay migration is simulated considering three main mechanisms: (i) splash detachment at the
soil surface by raindrop impact, (ii) clay dispersion or flocculation in any soil compartment
due to low or high ionic strength of rain or soil water, and (iii) filtering (Finke et al., 2015).

138 SoilGen2 simulates the five soil organic carbon (SOC) pools of the RothC 26.3 (Coleman and

139 Jenkinson, 2014) model for each soil compartment. Decomposable plant material (DPM),

140 resistant plant material (RPM), humified organic matter (HUM) and microbial biomass (BIO)

141 degrade according a first-order process with specific decay rate constants modified by

temperature and soil moisture. Decayed BIO and HUM partially recombine to mimic a food

143 web. Inert organic matter (IOM) refers to an inactive (non-decaying pool) soil carbon pool.

144 Carbon (C) cycle parameters are vegetation related. SoilGen2 distinguishes four vegetation:

grass/shrubland, deciduous and coniferous forest and agriculture. Each vegetation type has an 145 146 input rooting depth, ion uptake forcing function, and parameters related to C-cycling (e.g. decomposition rates and the partitioning of plant litter to above and below ground). 147

148 The (1-D) model handles geomorphic processes such as erosion and sedimentation as events

at the upper boundary by removing and adding soil layers. Agricultural practices are 149

characterized by input fertilization, planting and harvesting dates and tillage. A simulated soil 150

151 profile is discretized by multiple layers of equal thickness (e.g. 5 cm). The model simulation

time steps vary with the simulated processes: for water and solute flow: seconds to hours, 152

physical weathering and heat flow: every hour, SOC cycling and weathering: every day and 153

154 bioturbation, erosion, and deposition: every year (figure 2). Thus, soil properties are generated

by dynamic interactions between soil solid (mineral and organic matter), liquid and air phase. 155

For detailed model description, reference is made to Finke and Hutson (2008), Finke (2012), 156

Finke et al. (2015), Opolot et al. (2015) and Finke (2020). 157

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158 The model has been tested for the above mentioned soil processes in several case studies,

159 including the CLP. Here we elaborate these examples and its conclusions.

1. Carbon cycling process in the SoilGen2 model was calibrated for both Belgian and Chinese 160 loess soils (Yu et al., 2013). Results showed that the decomposition rates k_{HUM} of humus and 161 k_{RPM}, of resistant plant material, and the fraction of litter that is ectorganic, frecto, (leaf litter; 162 (Kononova, 1975), were the most sensitive parameters. In addition, their calibration results 163 were comparable to those obtained in previous studies. These results confirmed that the 164 SoilGen2 carbon module can be applied to paleosols formed in the loess parent material.

166 2. The model has also been applied and tested for soil development in southern Norwegian

soils (Sauer et al., 2012). They compared simulated soil properties with measured data from 167

twelve soil profiles composing two soil chronosequences. Results showed that SoilGen2 168

simulated clay content, particle size distribution well, but underestimated soil properties suchas CEC, organic carbon, base saturation and pH.

3. The clay migration module in the SoilGen2 model has been calibrated in French loess soils, by adapting six parameters (Finke et al., 2015). The calibrated model has been tested on a total of eighteen soil profiles, including both forest and agricultural soils. The results indicated that the h- θ_{macro} (the pressure head at which macropores empty) and the filter coefficient (n), were the most sensitive parameters.

4. A modeling study shows that SoilGen2 simulated soil properties could be sensitive to
reconstructed boundary and initial conditions (Keyvanshokouhi et al., 2016) and these authors
have documented SoilGen2 as a soil development model suitable for global change studies.
Several authors have concurred on this point (Minasny et al., 2015; Kuzyakov and Zamanian,
2019). Moreover, Opolot et al. (2015) provide an extensive review of the SoilGen2 governing
processes, integrating case studies mentioned. Therefore, the existing SoilGen2 model

182 provides a fair opportunity for modeling soils and paleosols.

In the SoilGen2 model, the input data of initial and boundary conditions represent the majorsoil-forming factors (Climate, Organisms, Relief, Parent material):

• Climate is characterized by simulated time series of precipitation, potential

evapotranspiration and temperature by the climate model LOVECLIM for the entire

simulation period, which is essential for interglacial paleosol simulations (Yin and Berger,

188 2015). The simulated precipitation, potential evapotranspiration and temperature greatly

differ between MIS1 and MIS13 (Figures S15.a, S15.b). Hereto, a typical daily series for

190 one year is adapted to reflect monthly climate data simulated by LOVECLIM. We use

- 191 multiplication factors (for precipitation and evapotranspiration) and differences (for
- temperature shifts), to match LOVECLIM data to SoilGen2. In this way, monthly sums

193		(precipitation, evapotranspiration) or averages (temperatures) that are simulated using
194		LOVECLIM are translated to SoilGen2 by monthly corrections. The simulated climate is
195		downscaled to the location of interest (protocol in Finke et al., 2017, SI).
196	•	The most probable vegetation for every year is obtained by downscaling the vegetation
197		distribution over the CLP that was simulated by LOVECLIM to the location of interest.
198	•	Starting date of agriculture for S0 is based on archeological data (Yu et al., 2016).
199		Bioturbation and plant litter input were based on vegetation type and climate.
200	•	For relief we assume a plateau position.
201	•	The properties of the loess deposited in the preceding glacial period serve as initial
202		conditions at the start of simulations, while the properties of the loess deposited during the
203		interglacial are defined by those of the overlying loess. We assume that those loess
204		properties remain the same for both under and -over lying loess. A detailed description of
205		these inputs is presented in the supplementary information. (Tables S1, S2, S3, S5, S6,
206		S12).



Figure 2. Soil process sequence in the SoilGen2 model, indicating input data at the upper
boundary. Copied and adapted from Minasny et al. (2015). P, PE and T stand for
precipitation, evaporation, temperature, respectively and CDE and CEC denote Convection
Dispersion Equation and Cation Exchange Capacity accordingly. h-Θ-K denote the relations
between hydraulic head, water content and hydraulic conductivity.

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3. Model calibration and parameters

214 **3.1 Calibration layout**

215 We aim to obtain one set of calibrated parameters that are suitable for interglacial paleosol

simulations in the loess plateau. As already mentioned, the calibration of soil process

217 parameters was done partly for Holocene soils and partly for the S5-1 paleosol. Zwertvaegher

et al. (2013) showed that soil could develop before the typical Holocene epoch (the past

~12ka BP) in Europe. Even though Holocene soil formation periods in the CLP are not

consistent with Europe, our simulation periods are slightly longer than the Holocene, and they 220 221 are 17-0 ka for the Holocene. It was done solely to include the less intensive soil formation periods during SoilGen2 simulations. Our choice will not affect the degree of soil 222 223 development because, if the climate is cold or dry, leaching will not occur and no decalcification, clay migration will be simulated either. We simulate S5-1 paleosol for the 224 225 period of 511-481 kyr. The calibration was done per group of process parameters in a 226 predefined order. The order of the tuned parameters was based on published sensitivity 227 analyses and feedback mechanisms in the soil. For instance, since clay migration hardly occurs in calcareous soils, it is necessary to calibrate (de-)calcification first and thereafter clay 228 229 migration. Lastly, C-cycling parameters were calibrated. Therefore, these three main soil process formulations were calibrated representing various process parameters (table 1) (see 230 sections 3.2, 3.3, and 3.4). 231

232 The optimal value for a parameter was selected using the dissimilarity (Gower, 1971):

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$$DIS_{Profile} = \frac{1}{K} \sum_{k=1}^{K} abs \left(X_{r,k} - X_{s,k} \right)$$
[Eq.1]

where X represents the soil property of interest, k is the number of soil compartments (55 in
the present study); s refers to the value for the simulation and r for the reference
(measurement).

Process parameters were adjusted until the average value for DIS reached a minimum over the
locations of interest. During the calibration process, only the calibrating process parameter
was changed (in the value range) while the others kept their average (initial) or previously
calibrated values. As model runtime for simulations covering the Holocene or MIS13 are long
(ca. 5 CPU-days per run), advanced calibration methods involving many simulations were
unfeasible.

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3.2 Decalcification

arid CLP, and it is one of the major minerals (10-20%) in the loess when deposited (Liu, 246 247 1985). Decalcification or, more general, calcite redistribution, is a major soil-forming process in the loess plateau. It influences various soil properties e.g. soil pH, bulk density, porosity, 248 cations in soil solution and determines differences in water storage between loess/paleosol 249 250 layers due to changes in soil physical properties. In the SoilGen2 model, calcite redistribution 251 is determined by the solubility of calcite and the transport of the solute (leaching). The solubility is defined by the log₁₀kso (calcium carbonate dissolution constant), which was 252 253 calibrated by analyzing results at eight equidistant values (table 1). 254 To calibrate the degree of leaching in soils, we calibrated the interception evaporation 255 fraction. Only the effective rainfall of the total precipitation reaches the soil, via stem flow and throughfall, and the remaining water in the foliage (e.g. leaves, twigs, small branches) 256 257 evaporates to the atmosphere during and after rain, as the fraction of net precipitation 258 (Savenije, 2004; Li et al., 2016). Interception evaporation affects infiltration and thus solute transport into the deeper parts of the soil profile. The amount of intercepted water (vegetation 259 dependent) was varied using seven equidistant values (ranging from 1% to 7%) for both 260 261 grass/shrubs and agriculture (table 1).

Calcium carbonate (calcite) content is a major soil property, noticeably in the arid and semi-

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3.3 Clay migration

Clay migration indicates the strength of pedogenesis and is a profile formation process. Clay
migration can form a distinct clay-enriched layer over thousands of years (Van Breemen and
Buurman, 2002). Clay migration was calibrated taking into account (1) splash detachment, (2)
determination of the fraction of dispersible clay, (3) transport via macropores, (4) filtering and
(5) production of clay by physical weathering. In the CLP, clay migration mainly starts after

decalcification, when the double layer has lost Ca⁺² ions by leaching (Vidic and Lobnik, 268 1997). Clay particles ($<2\mu m$) may accumulate at depth as a consequence of filtering or of 269 flocculation (e.g. on top of a calcic horizon where the Ca⁺² ions are abundant, Schaetzl and 270 Thompson (2015). From an ecological point of view, the clay fraction is an important soil 271 property for soil water retention and permeability characteristics in loess and paleosol 272 sections. The parameters related to clay migration were calibrated after decarbonation. 273 274 The clay migration process was calibrated by tuning 4 individual processes (iii, iv, v, and vi in table 1). We first calibrate the ectorganic layer thickness (above ground leaf litter), as it 275 reduces splash detachment of clay by limiting raindrops collisions with the mineral topsoil 276 277 (see equation 11 in Finke, 2012). The role of the surface litter layer thickness has long been studied under different vegetation types in the loess plateau of China concerning soil erosion 278 control, runoff and sediment accumulation (Li and Shao, 2006; Geißler et al., 2012; Zhou et 279

al., 2016).

After that, the pressure head (h) at which soil macropores empty was calibrated. This allows to asses during simulation, via h, the amount of clay in contact with soil macropores. This clay, if in dispersed state, can be transported (see equation 14 in Finke, 2012).

During clay migration, some of the dispersed clay is filtered due to too low water flow
velocities in macropores (Jarvis et al., 1999; DeNovio et al., 2004). The filter coefficient (n)
was subjected to calibration (see equation 15 in Finke, 2012).

Lastly, two parameters that describe the rate of physical weathering as a function of soil temperature change were calibrated. PS_{max} is the maximal probability that soil particles split by physical weathering, and B is the temperature change (°C h⁻¹) at which the splitting probability becomes maximal. By updating the splitting probability as a function of

temperature change, the model produces grains in the clay fraction. PS_{max} and B were calibrated concomitantly (16 pairs of values for B and PS_{max}).

The order of calibration of the clay migration parameters was based on a sensitivity analysis
for West European loess soils, in a much moister climate than the CLP-climate (Finke et al.,
2015).

Equations that describe physical weathering and clay migration-related parameters in the
SoilGen2 model have been illustrated in section 2.2 in Finke (2012), supplementary
information for Finke et al. (2015), sections 2.1.2.1 and 2.1.3 in Opolot et al. (2015), and
Finke (2020).

300 3.4 Soil organic carbon

SOC influences various soil properties e.g. CEC, pH, porosity, bulk density; these also change
during soil development. Variations in soil texture, temperature and moisture alter soil
organic matter decomposition rates, which in turn can affect the SOC pools in soils.

Following the RothC 26.3 concepts, the turnover rate of organic matter added to the soil is described as follows; Eq. [2] depicts the amount of organic carbon (Y) that decomposes from active pools per month. Eq. [2] exponentially calculates the remainder of soil carbon at the end of each month.

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$$Y = Y_0 (1 - e^{-abckt})$$
 [Eq. 2]

Where, Y_0 is the starting amount of carbon from any active pool, *a* and *b* are the rate modifying factors for temperature and soil moisture respectively, *c* is the modifying factor for soil cover, *k* stands for decay rate constant for a particular pool, and *t* converts the annual decomposition rate constants into monthly values (t=1/12). Amongst these rate modifying factors, contributions per soil layer to the soil moisture deficit and temperature fluctuations

vary over time and soil depth (in each 5 cm) in the model. Soil moisture deficit is defined as
precipitation minus potential evapotranspiration, which deficit is distributed over depth using
the air-filled porosity (soil water content minus porosity).

We followed the sensitive parameters identified by Yu et al. (2013), and added the slightly less sensitive partitioning coefficient $CO_2 / (BIO+HUM)$, describing the fate of the lost C from BIO and HUM during decomposition. This partitioning coefficient is a function of soil clay content [Eq.3]:

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$$\frac{CO2}{(BIO+HUM)} = 1.67 (1.85 + 1.60 e^{-0.0786*\% Clay})$$
[Eq.3]

Therefore, the order of SOC calibration was k_{HUM} , fr_{ecto} , k_{RPM} and finally the partitioning coefficient CO₂ / (BIO+HUM). For fr_{ecto} , only two Holocene sites were calibrated that had no agriculture.

Soil forming process	Order	Process parameter with units	Range of values	Simulations per parameter and total	
	(i)	Dissolution constant of calcite $(log_{10} k_{so})$	-9.2 to -7.8	8 and 24	
(de)calcification	(ii)	Interception evaporation fraction (-) for grass/shrubs/agriculture	0.01-0.07	7 and 21	
	(iii)	Thickness of the ectorganic layer (mm)	0.5-3.5	7 and 35	
Clay migration	(iv)	Pressure head (hPa) at which macropores empty	-6 to -30	7 and 35	
	(v)	Filter coefficient for clay (-)	0.2-0.8	7 and 35	
Physical weathering	(vi)	Particle splitting probability (PS)	1.338x10 ⁻⁶ - 2.163x10 ⁻⁶	16 and 80	
		soil temperature change (B) °C / hour (4x4 combinations)	0.45-1.95		
	(vii)	Decomposition rate (yr^{-1}) of humus	0.001- 0.035	12 and 36	
Organic carbon cycle	(viii)	Ratio of ectorganic/endorganic litter (-)	0.35-0.7	11 and 22	
	(ix)	Decomposition rate of (yr ⁻¹) of resistant plant material	0.01-0.675	13 and 39	

Table 1. Calibrated parameters, value range and number of simulations.

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3.5 The uncertainty of dust deposition rhythms on soil development

Changing dust addition speed over time can influence the rates of soil processes. For instance, lower speed allows more time for calcite leaching, whereas higher speed rapidly re-carbonates the soil. Therefore, we explored the uncertainty of dust addition on the calibration results.

Hereto, ten Holocene dust deposition scenarios were applied to determine whether the 331 calibrated results are persistent in this respect. Here, we solely considered the variation of the 332 speed of dust addition over time (rhythm for short) while assuming that the mineralogical 333 334 composition of the added dust as well as the total amount of added dust remain similar. Dust accumulation rhythms were reconstructed in various case studies (references in Table S14) 335 using depth-age models. However, there were depositional hiatuses in the published dust 336 records due to uncertainty of soil age. We recovered those distorted age points by linear 337 interpolation between the more certain ages in the original series. Albani et al. (2015) reported 338 that this method has uncertainties depending on the used age points. Dust addition was 339 mimicked by adding compartments of 5 cm during soil development. Each year when the 340 341 added dust thickness reaches a multifold of 5 cm was used to add 1 compartment. In general, the selected dust records exhibit higher dust addition during both the early and/or the late 342 Holocene relative to the middle Holocene (figure 3). 343

We analyzed the effect of ten reconstructed dust deposition scenarios on the simulated soil properties calcite, organic carbon, 2µm fraction and CEC. To compare the soil properties, we applied scaled dissimilarity, the ratio between the unscaled dissimilarity (DIS) (see Eq. [1]), and the difference of maximum and minimum measured value of the corresponding soil

property in that particular profile. The scaled dissimilarity is dimensionless, and thus soilvariables can be compared.

350 We compared the scaled dissimilarity of the best calibration with those of the dust scenarios,

and compared the impact of these dust scenarios to the quality of the calibrations by

352 calculating a standard deviation for each considered soil property:

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$$sdDIS_{scaled} = \sqrt{\frac{1}{10} \sum_{i=1}^{10} (DIS_{scaled,i} - DIS_{scaled,cal})^2}$$
[Eq.4]

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Figure 3. Ten dust deposition rhythms applied in SoilGen2.

4. Results and Discussion

358 **4.1 Decalcification**

359 The best-calibrated log_{10} kso (lowest averaged dissimilarity) was -9.0 for the studied three

profiles (figure 4.A). This result was in between the previous values for $log_{10}kso: -9.2$

- 361 (Zwertvaegher et al., 2013), -8.46 for Belgium and Hungary loess soils (Finke and Hutson,
- 2008) and -8.36 for Belgium loess soils (Finke, 2012), which were typically derived for
- 363 European loess soils, leaching climate, whereas CLP possesses a semi-arid climate.
- Laboratory experiments have found log₁₀kso -8.48 at 25 °C (Jacobson and Langmuir, 1974).

The log₁₀kso of -9.0 indicates a low dissolution rate of calcite. This may be caused by (i) the temperature correction to the solubility constant (different temperature regimes in Europe and the CLP), (ii) the grain sizes of the calcite, and (iii) the lower leaching in the semi-arid CLP (Li et al., 2013; Li et al., 2018).

The best-calibrated interception loss for grass/shrub and agriculture was 3% (figure 4.B). We 369 assumed an equal amount of interception loss for all types of vegetation dominating in the 370 371 Holocene at the sites of interest: grass/shrubs and agricultural crops. This interception loss was much lower for shrublands than the literature reported (table S13). Ochoa-Sánchez et al. 372 (2018) has reported interception values for grasses (in there table 2) from the global literature, 373 374 where the grass interception loss is between maximum 100% - minimum 5% of the total precipitation. The calibrated interception loss approximately fits at the lower end of the 375 376 literature findings, and for agricultural crops, it closes to the values reported by Wang et al. 377 (2012) (see table S13).

378 The interception loss varied between forest types: we assigned 14% for deciduous and 21% 379 for coniferous. These values corresponded to 175% of the SoilGen2 model default (deciduous=8% and coniferous=12%). Varying these percentages did not affect 380 decalcification, since Holocene soils were not covered by forests for long periods at the 381 382 studied locations, whereas grasses/scrubs and agriculture were the dominating vegetation types (Yu et al., 2016; Sun et al., 2017). The forest interception losses (14% and 21%) are 383 well comparable to Su et al. (2016)'s findings (14.3% for broadleaved forests), and between 384 385 14.7%-31.8% (Wei et al., 2005) for major Chinese forests and within the range of 11.1% and 24% (Liu et al., 2003; Zhang et al., 2006; Wang et al., 2012; Jian et al., 2015; Sheng and Cai, 386 2019) (see table S13). 387

In general, calibrated canopy interception losses were consistent with other authors' findings
and statements (e.g. Nisbet, 2005), who stated that forests intercept more than grasslands

because grass/shrubs or crops have less canopy than forests. Thus, our results were not
unexpected. Moreover, these results agree with values reported for forest canopy interception.
This interception can take up an amount of 10-50% of total rainfall (Klaassen et al., 1998;
Carlyle-Moses and Gash, 2011), in which coniferous takes 25-50%, and broadleaved occupies
10-35% (Rutter et al., 1975), and it is about 12-25% in semi-arid areas (Hörmann et al.,
1996).

The high variation of interception found in literature can be attributed to various factors:
Climate (rainfall variability, intensity and duration) as well as vegetation characteristics
(species, canopy storage capacity, plant morphological and biomass-related traits of species:
e.g. tree density, leaf area index, leaf shape, canopy structure) (Hörmann et al., 1996;
Crockford and Richardson, 2000) are not easily measured. Therefore, we assume that the
calibrated interception losses are fair.



402



409

4.2 Clay migration

410 macropores empty (h- θ_{macro}) (figure 5.A). We confirmed this result by evaluation of various additional h- θ_{macro} . The reason for this may be the drier climate in semi-arid China, which 411 causes most macropores to be air-filled and consequently macropore water flow velocity and 412 associated clay transport will be less, which will increase filtering. DeNovio et al. (2004) also 413 414 emphasized the importance of pore water velocity on clay migration. Until now, the pressure head h- θ_{macro} had been calibrated for leaching climates in Western Europe, e.g. -1hPa (Finke, 415 2012) and -18.3hPa (Finke et al., 2015). These studies have confirmed that the clay 416 translocation depths of the soils are highly dependent on h- θ_{macro} and the filter coefficient (n). 417 Thus, we conclude that the amount of precipitation is the critical and limiting climate variable 418 that determines clay migration in arid and semi-arid Chinese loess regions. Therefore, the 419 initial value of -18hPa was kept in the following steps. 420 421 The filter coefficient (n) also determines the clay mobility in the soil. The best-calibrated "n" 422 was 0.5 at the minimum dissimilarity (figure 5.B), which was comparable with previous calibrated results: 0.6607 (Finke, 2012) for loess soils in Belgium, 0.51 (Finke et al., 2015) for 423 loess-derived soil in northern France and 0.7 for silt-clay soils in Sweden (Jarvis et al., 1999). 424 Regarding physical weathering, optimal-calibrated values were found for PS_{max} at 2.163 x 425 10^{-6} and B at 0.95 °C / hour across five soil profiles (figure 5.C). Finke et al. (2015) found the 426 PS_{max} and B at 1.338 x 10⁻⁶ and 1.95 °C / hour respectively in French soils, and Finke (2012) 427

Results showed unexpected indifference of clay migration to the pressure head at which

428 obtained best-calibrated results at 3 x 10^{-6} for PS_{max} at 1° C / hour soil temperature gradient.

429 The calibrated PS_{max} in this study was in between the PS_{max} of these studies and is plausible.

430 Concerning the ectorganic layer thickness, the best-calibrated value was 0.5 mm (figure 5.D).

431 We could not refer to literature because no studies refer to this parameter in the context of

432 modeling. Finally, a check showed that the ectorganic layer thickness variations did not





Figure 5. Dissimilarity (%) as a function of (A). pressure head $h-\theta_{macro}$ (hPa), (B). filter coefficient n(-), (C). PS_{max}-B combinations. Green bar shows the best average for five profiles. (D). ectorganic layer thickness (mm) in five profiles: symbols indicate Luochuan (open diamonds), Chang'an (solid triangles), Changwu (open squares), Wugong (open triangles), Weinan (solid circles). Green dots show profile averages.

440

- 441 **4.3 Soil organic carbon**
- 442 Concerning C-cycle parameters, the best-calibrated rate constants (k) were found at:
- 443 k_{HUM} =0.0025 yr⁻¹ (figure 6.A) and k_{RPM} =0.02 yr⁻¹ (figure 6.B). The found k_{HUM} and k_{RPM}
- 444 were lower than the values reported by Todorovic et al. (2010), Stamati et al. (2013), Yu et al.

(2013), Finke et al. (2019), and the default RothC rates (see table 2), whereas k_{HUM} was
within the range reported by Shirato et al. (2004) for Andosols.

The low k_{HUM} indicates a longer turnover period, and therefore soil can accumulate more soil 447 448 organic matter. This is reasonable due to several reasons. In the CLP, the climate (arid to semi-humid) variables may be the most critical; low precipitation may reduce the organic 449 matter decomposition rate. In contrast, it should be noted that the low precipitation could also 450 451 limit vegetation growth and decrease plant litter supply. The found k_{HUM} may be a reasonable value for calcareous loess soils (Ca^{+2} rich, high pH) that can provide better protection to SOC. 452 Several authors have found that soils rich in calcite can store more organic matter, thereby 453 454 decreasing turnover rates (e.g. Rasmussen et al., 2018; Rowley et al., 2018). Via the low k_{HUM}, a SOC-protection process is mimicked and we assume that this k_{HUM} is reasonable for 455 the soils of the CLP. Furthermore, silt-rich clayey fine-parent material can also provide better 456 protection to SOC (e.g. Hassink, 1997; Stemmer et al., 2000), and dust deposition can bury 457 fresh organic material, resulting in low SOC turnover rates. The low k_{RPM} suggests a 458 459 relatively slow decomposition of RPM than that of the default RothC value (table 2), which was originally established for agricultural soils. 460

461 Table.2 Calibrated SOC cycle parameters from other studies and in this study and default462 values.

Reference	k _{HUM}	k _{RPM}	fr _{ecto}	Vegetation and study area
Stamati et al. (2013)	0.27	0.34		Grassland, Lowa, USA Shrubland Crete Greece
Yu et al. (2013)	0.016-0.019 0.0065-0.0074	0.3 0.27	0.37-0.43 0.30-0.38	Forests, China Forests, Belgium
Todorovic et al.(2010)	0.009	0.6(fixed)		Cropland, Austria
Finke et al. (2019) (5 pool)	0.012	0.089		Grassland, California
Finke et al. (2019) (4 pool)	0.008	0.089		Grassland, California

In this study	0.0025	0.02	0.60	Grass/shrubs, forests, China
Default	0.02	0.3	0.58	

464	The calibrated fr_{ecto} in the grass/shrubs litter was 0.60 (figure 6.C), which was approximately
465	similar to the SoilGen2 model default (0.58) based on measured data by Kononova (1975).
466	The found ratio of ectorganic/endorganic litter (60/40) was similar to the default ratio (58/42)
467	in SoilGen2. The partitioning coefficient CO ₂ / (BIO+HUM) (figure 6.D) was higher (2.1)
468	than the RothC default value (1.67). Possible reasons for this are (i) that the actual ratio varied
469	with clay contents different from the ones used to calibrate the RothC26.3 model, and (ii) clay
470	contents in SoilGen2 vary over time.
471	However, the calibrated carbon cycle parameters are conditional to the plant litter inputs;
472	these were based on LOVECLIM simulated mean annual precipitation and temperature.
473	Neither SoilGen2 nor RothC does determine climate-dependent vegetation growth (biomass
474	production) and organic carbon input into the soil. We assumed root litter distribution
475	according to a root density function, where plant roots depths decrease with soil depths per
476	vegetation type.



478 Figure 6. Dissimilarity (%) for different values of (A). k_{HUM}, (B). k_{RPM} (C). fr_{ecto} in two
479 profiles (D). the partitioning coefficient CO₂ / (BIO+HUM), in three profiles: Xifeng,
480 Chenjiagou, Taoyu are denoted by triangles, diamonds, squares, respectively. Green dots
481 show profile averages.

482 Table 3 presents all the best-calibrated results for the ten parameters.

Table 3. A summary of the calibrated parameters and best-calibrated values.

	Process parameter with units	Optimal value
(i)	Dissolution constant of calcite (log ₁₀ k _{so})	-9.0
(ii)	Interception evaporation fraction (-) for grass/shrubs/agriculture	0.03
(iii)	Thickness of the ectorganic layer (mm)	0.5
(iv)	Pressure head (hPa) at which macropores empty	-18
(v)	Filter coefficient for clay (-)	0.5
(vi)	Particle splitting probability and	2.163x10 ⁻⁶
	soil temperature change °C / hour	0.95
(vii)	Decomposition rate (yr^{-1}) of humus	0.0025
(viii)	Ratio of ectorganic/endorganic litter (-)	60/40
(ix)	Decomposition rate of (yr^{-1}) of resistant plant material	0.02
(x)	Partitioning coefficient CO ₂ / (BIO+HUM) (-)	2.1

489 **4.4 Effect of dust deposition scenarios on the quality of the calibration result**

490 Certainly, due to longer time scales of paleosol formation, soil-forming boundary conditions can be heavily affected by uncertainty. Little is known about the degree of uncertainty with 491 respect to the calibrated soil profiles. If such boundary conditions are proven to be influential, 492 this means that besides calibrating internal soil process parameters to simulate paleosol 493 development, the uncertainty of model boundary conditions should also be considered. 494 495 However, calibrating boundary conditions is unusual; it requires substantial computational 496 effort as perturbations of time series of model boundary conditions must be evaluated (Minasny et al., 2015). The impact of ten reconstructed dust scenarios on the quality of the 497 498 calibrations are shown in table 4 which gives the scaled dissimilarity results on a % scale.

- **Table 4.** Impact of ten dust deposition rhythms on the quality of calibrations, expressed by
- standard deviations relative to the (scaled) dissimilarity of the calibration (Eq. 4).

Soil profiles		Soil variables				
	SOC	CEC	Calcite	<2 µm fraction		
Taoyu	0.71%	0.01%	61.63%	3.99%		
Xifeng	22.09%	0.40%	116.43%	0.18%		
Chenjiagou	2.75%	0.08%	42.70%	1.87%		
Average	8.52%	0.16%	73.59%	2.01%		

As shown in table 4, the quality of simulated calcite contents responds strongly to the dust 501 502 deposition scenarios, with an average standard deviation greater than 50%. It was to be expected that the highest uncertainty was obtained for calcite as the profile distribution of 503 504 calcite is closely related to the input at the surface via dust and the interplay with subsequent leaching processes. In decreasing order of sensitivity to dust deposition rhythm, soil 505 506 properties were ranked Calcite> soil organic carbon>2µm fraction>CEC. The CEC showed 507 the smallest uncertainty, and 2µm fraction was also small because of lower clay migration in 508 the Holocene soils.

Unexpectedly, the uncertainty of CEC is minute (table 4), however in the present study, the 509 510 simulated CEC was largely overestimated in the top soils while usually underestimated in the subsoils (data not shown). This may have been caused by combined (in) accuracies of 511 simulated SOC and 2µm fractions during simulations, as a relation between SOC and 2µm 512 fraction is used to calculate the CEC in the SoilGen2 model. Thus, errors of SOC and clay 513 514 content propagate into the CEC. Therefore, the (in) accuracy of simulated CEC can be 515 affected partly by the dust deposition distribution over time and partly by inaccuracy of the assumed relation (Foth and Ellis (1996: p.57) between SOC, clay and CEC. 516

Figures 7, 8, 9 and 10 show the scaled dissimilarity variations compared to the final 517 518 calibration results in each dust addition scenario for the above soil properties. Note that 519 vertical axis-scales are different. In general, the comparison between the dust scenarios to the final calibrated results revealed that the calcite, organic carbon and CEC fluctuations were 520 larger in Xifeng than in the other two sites (figures 7, 8 and 9); these are located south of 521 Xifeng. An opposite trend was observed for simulated 2µm fraction (figure 10), where the 522 523 fluctuations were relatively small in Xifeng. Note that clay content distribution was calibrated for MIS13 because clay migration in the Holocene is minor. Fluctuations in simulation quality 524 must therefore entirely be attributed to the sediment properties. Our findings suggest that the 525

impact of uncertain dust input rhythms varies between sites. The impact is larger for faster 526 527 soil development processes (calcite redistribution, SOC-accumulation) and less for slower processes such as clay migration. Moreover, it is important to note that the uncertainty caused 528 529 by variants of dust addition is larger than that of the calibrations (e.g. figure 8). It is worth mentioning that uncertainty of dust deposition rates can exist due to lack of dating of these 530 531 Holocene soils and in paleosols. It has been emphasized that the different quantities of dust 532 addition can contribute to uncertainty during formation of paleosols in the CLP (see review Meijer et al., 2020). However, it is impossible to assess the uncertainty of dust addition rates 533 in the MIS13 paleosols in the present study since we only have two available geologic dust 534 535 addition rhythms (Guo et al., 2009).

536 In summary, the dust deposition scenarios strongly affected the quality of the calibration.

537 Thus, accuracy of dust scenarios should be considered during interglacial paleosol

538 simulations.



540 Figure 7. Scaled dissimilarity between dust scenarios (rightmost) and calibrated three





543 Figure 8. Scaled dissimilarity between dust scenarios (rightmost) and calibrated three





545

546 Figure 9. Scaled dissimilarity between dust scenarios (rightmost) and calibrated three

547 Holocene sites (fat dots) and during calibrations (leftmost) for organic carbon.



549 Figure 10. Scaled dissimilarity between dust scenarios (rightmost) and three Holocene sites
550 (fat dots) for simulated 2µm fraction.

551 **5.** Conclusions:

The most sensitive soil-forming process parameters were calibrated in the SoilGen2 model forthe CLP. An optimal value per parameter was found by confronting measurements to

simulations and calculating the dissimilarity between both.

555 Our results show that: $log_{10}k_{so}$ =-9.0, the interception evaporation for grass/scrubs/agriculture=

556 3%, the filter coefficient (-)=0.5, PS_{max} and $B=2.163 \times 10^{-6}$ and 0.95 °C / hour, respectively, the

ectorganic layer thickness =0.5 mm, the decay rates: k_{HUM} =0.0025 yr⁻¹, k_{RPM} =0.02 yr⁻¹ and

fraction of litter that is ectorganic=0.60 and partitioning coefficient $CO_2 / (BIO+HUM)=2.1$.

559 These values fall inside previously found ranges. As an exception, the pressure head (h- θ_{macro})

- at which macropores empty did not respond to the calibration, which was attributed to the
- semi-arid climate. This leads to the conclusion that sensitivity analyses done in one climate
- 562 may not be fully applicable to another climate.

Our results also show that SoilGen2-simulated/calibrated soil properties were highly sensitive 563 564 to alternative Holocene dust deposition rhythms. The sensitivity varied in the following order: Calcite>soil organic carbon>2µm fraction>CEC by 73.59%, 8.52%, 2.01% and 0.16% 565 respectively. The study shows that dust addition is an influential soil formation process in the 566 CLP. Therefore, both the amount and rhythm of the dust deposition are important variables 567 568 for quantifying paleosol development with process-based soil models in future studies. The 569 uncertainty of process-based soil models with large run-time, like SoilGen2, would benefit 570 from considering the accuracy of input data. In conclusion, our study emphasizes the equal importance of calibrating soil process parameters and defining correct external forcings in the 571 572 future use of soil models.

Our results show that on the millennial time scale, uncertain model input and boundary
conditions propagate through the model. As a result, even after calibrations, soil models are
not free from these uncertainties. To increase the confidence in the calibrated model
parameters, a model testing will be performed on an independently measured soil dataset in a
future study.

578 Author contributions

579 Keerthika N. Ranathunga did the data curation, visualization, investigation and writing-

original draft preparation and wrote the paper. Peter A. Finke conceptualized the experiment,

provided the software, reviewed, co-wrote the paper and supervised the research. Qiuzhen Yin

582 provided resources, funding acquisition and reviewed the paper and supervised the research.

583 Yanyan Yu provided resources, funding acquisition and reviewed the paper.

584

585 **Declaration of competing interests**

- 586 The authors declare that they have no known competing financial interests or personal
- relationships that could have appeared to influence the work reported in this paper.

588 Data availability

- 589 The authors confirm that the data supporting the findings of this study are available within the
- 590 article and its supplementary materials.

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- 597

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