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#### 46 Abstract

47 Understanding when landslides occur and how they evolve is fundamental to grasp the dynamics of the 48 landscapes and anticipate the dangers they can offer up. However, knowledge on the timing of the landslides 49 remains overlooked in large parts of the world. This is particularly the case in low-capacity regions, where 50 infrastructures are weak or absent and data scarcity is the norm. The tropics stand out as such regions, despite 51 being affected by high and increasing landslide impacts. There, persistent cloud cover, rapid natural vegetation 52 regeneration, cultivation practices and high weathering rates further challenge the harvest of timing information. 53 Based on a synthesis of our recent work we present new findings on the characterisation of the timing of the 54 landslides in the North Tanganyika-Kivu Rift region, a tropical environment with very low capacity and high 55 population density. Our aim is also to highlight the methodological approaches and research strategies that we 56 adopt to investigate such slope processes in a large region lacking baseline studies. From an inventory of more 57 than 9000 landslides with various timing accuracy (from daily to thousands of years), we identify causes and 58 triggers of the slope instabilities in a context of important human-induced landscape changes. This is achieved 59 through a holistic approach that combines field work, satellite remote sensing, historical photograph processing 60 and geomorphic marker understanding. The role of the needs of the local stakeholders in the setting-up of the 61 research strategy is also highlighted and research perspectives discussed.

62

#### 63 Keywords

64 Landslide inventory . Causes and triggers . Environmental change . Hazard assessment . Remote sensing . Africa

65

#### 66 Introduction

67 Understanding when landslides occur and how they evolve is crucial for e.g. landscape evolution modelling,

68 environmental change assessment, hazard prediction and early warning system development (Tucker and

69 Hancock, 2010; Corominas et al., 2014; Tarolli et al., 2014; Gariano and Guzzetti, 2016; Sidle and Bogaard,

- 70 2016; Pánek, 2019; Guzzetti et al., 2020). Early warning systems often require information on the timing of 71
- landslide occurrence at a (sub-) daily level (Guzzetti et al., 2020) while, at the other side of the spectrum, 72 numerical models of landscape evolution can deal with data constrained within a timeframe of thousands of
- 73
- years (Tucker and Hancock, 2010; Burbank and Anderson, 2012).

74 Despite the recent advances in e.g. landslide mapping, due to the increased availability of high-resolution high-

- 75 quality satellite imagery (Fisher et al., 2012; Guzzetti et al., 2012; Belward and Skøien, 2015; Williams et al.,
- 76 2018), knowledge on the timing of slope instabilities remains rare or is even absent in large parts of the world
- 77 (Maes et al., 2017, Pánek, 2019; Guzzetti et al. 2020). Characterising the timing is even more complex in low-
- 78 capacity regions where infrastructures are weak or absent and data scarcity is the norm (Maes et al., 2017).
- 79 The tropics, defined here as regions characterised by a tropical climate (Beck, et al., 2018), are environments 80 where research on landslide process understanding is still generally neglected (Gardiano and Guzzetti, 2016; 81 Maes et al., 2017; Reichenbach et al., 2018; Guzzetti et al., 2020). Many landslide-prone areas in the tropics are 82 of very low capacity. In parallel, high population densities are often found, frequently on the rise and combined 83 with high societal vulnerability. It is therefore no surprise that landslides disproportionately impact these regions 84 (Petley, 2012; Kirschbaum et al., 2015; Froude and Petley, 2018; Haque et al., 2019). In addition, landslide 85 frequency and/or impacts in the tropics are expected to increase in the future in response to increasing 86 demographic pressure, deforestation and other land use/cover changes and changing climatic conditions (Sidle 87 et al., 2006; DeFries et al., 2010; Lewis et al., 2015; Gariano and Guzzetti, 2016; Souverijns et al., 2016; Froude 88 and Petley, 2018; Haque et al., 2019).
- 89 The tropics also present environmental conditions that challenge the harvest of information on the timing of 90 landslides: (1) the frequency of optical satellite image acquisition is impeded by cloud persistence conditions 91 (Wilson and Jetz, 2016; Robinson and al., 2019), (2) natural vegetation regeneration after a disturbance can be 92 very rapid and conceal landslide scars (Sidle et al., 2006; Chazdon, 2014), (3) slash-and-burn practices and 93 shifting cultivation leave complex heterogeneous patterns across the landscape that challenge landslide scar 94 delineation (Baker and Bunyavejchewin, 2009; Rabby and Li, 2019), and (4) soil/rock weathering is high 95 (Thomas, 1994), the latter also making absolute dating more challenging (Burbank and Anderson, 2012). In 96 addition, in high population density regions, anthropic influences such as land reclamation and levelling are 97 expected to smoothen or even obliterate landslide morphological signatures (Van den Eeckhaut et al., 2007; 98 Dewitte et al., 2009).
- 99 The objective of this contribution is two-fold. First, based on an overview of the progresses achieved over the 100 last years we present new findings on the characterisation of the timing of the landslides in the North 101 Tanganyika-Kivu Rift region, a tropical environment with very low capacity and high population density. 102 Second, we highlight through this process-oriented synthesis the methodological approaches and research 103 strategies that we adopt to investigate this large region lacking baseline studies. The paper follows a timeline 104 structure where three categories of timing are analysed; from (1) the (sub-) daily information, to (2) the monthly 105 to the multi-decade and historical perspective, and (3) the geomorphic landscape evolution markers. A last (4) 106 section is dedicated to site-specific in-depth characterisations.

#### 107 2. The North Tanganyika-Kivu Rift region: a populated environment where local stakeholder 108 expectations must be met

- 109 The mountainous environments of the western branch of the East African Rift stand out as a landslide hotspot in
- 110 the tropics (Stanley and Kirschbaum, 2017; Broeckx et al., 2018). The region is a system where natural
- 111 predisposing and triggering factors, such as high rainfall intensities and high annual rainfall totals, moderate to
- 112 high seismicity, high weathering and steep landscapes promote the occurrence of landslides (Jacobs et al., 2016;
- 113 Monsieurs et al., 2018a; Nsengiyumva et al., 2019). More specifically we focus on a 50000 km<sup>2</sup> area that extents
- 114 from the North Tanganyika Rift zone in the south to the Virunga Volcanic Province (VVP) in the north (Fig. 1),

- 115 hereafter called North Tanganyika-Kivu Rift region (and abbreviated NTK Rift). The NTK Rift, that initiated
- about 11 Ma, opens up at a current rate of 2 mm/yr (Kampunzu et al., 1998; Saria et al., 2014) and is associated
  with active volcanism, seismic activity and fault structures (Smets et al., 2016; Delvaux et al., 2017; Oth et al.,
- 2017). Its lithology is diverse and of various ages, leading to a wide range of weathering processes (Kampunzu
- et al., 1998; Pouclet et al., 2016; Smets et al., 2016; Delvaux et al., 2017; Laghmouch et al., 2018). Numerous
- 120 knickpoints attest the occurrence of recent relief erosion waves. The region has a bimodal rainfall regime with
- 121 annual precipitation ranging from  $\sim 0.8$  m in the region of Bujumbura to > 2,5 m in the north-west mountains
- 122 (Monsieurs, 2020). Most of the NTK Rift was covered with forests; large-scale deforestation having started to
- take place at the beginning of the 20<sup>th</sup> century (Ellis et al., 2010). This deforestation accompanied with land
- 124 conversion to agriculture and the setting up of road and trail networks brought changes to the hillslope
- hydrology and the connected river patterns. It is indeed observed that recent incision is frequent in the steepest
- part of river reaches while the lowest sections seem to be more affected by lateral widening and braided patterns
   Also a section 2000. Mag and braided patterns
- 127 (Moeyersons, 2000; Moeyersons and Trefois, 2008).
- 128 The area has one of the highest population densities of Africa (frequently > 300 inhab/km<sup>2</sup>), urban expansion is 129 very fast, anthropogenic pressure high, accessibility low and population vulnerability high (Linard et al., 2012;
- Wery fast, anthopogene pressure figh, accessionly low and population vulnerability figh (Linau et al., 2012, Michellier et al., 2016). Bujumbura, Bukavu and Goma are cities with population of ~800000 inhabitants each
- (a few thousands in the 1950s; Michellier et al., 2018, 2020). At the global level, this region is one of the most
- exposed places to landslide hazard (Emberson et al., 2020). The livelihood of a large majority of the population
- depends on agriculture and a significant part of the population is directly or indirectly involved in the often
- 134 illegal mining/quarrying sector, both contributing to changes in the landscape (Trefon, 2016). The area is at the
- border between four countries, with different often weak- governance policies, socioeconomic characteristics
- and security levels; the latter issue limiting field accessibility. The lack of governance and poor political stability
- 137 plays also a role in the deficiency of data recording (Trefon, 2016; Maes et al., 2018).
- 138





142 Fig. 1 Location of the NTK Rift and the main study sites. The landslide inventory is an updated version of the

dataset from Depicker et al. (2020) and contains more than 8000 occurrences. VPP: Virunga Volcanic Province.

144 SKVP: South Kivu Volcanic Province. NYAM: Nyamulagira volcano. NYIR: Nyiragongo volcano. BUJ:

145 Bujumbura. BUK: Bukavu. GM: Goma. KAL: Kalehe. UV: Uvira. IK: Ikoma landslide. FU: Funu landslide

146 We adopt a holistic research strategy to characterise the landslide processes in the NTK Rift based on various 147 datasets, methods and techniques (Table 1). The strategy was set up in 2014. At that moment little was known in 148 the region, except from local-scale studies that reported on the occurrence of a few landslide events, mostly in 149 cities (Moeyersons et al, 2004; 2010). Since landslides present an important constraint to the local population, a 150 priority focus is given on hazard assessment and the consideration of human-induced environmental changes. In 151 addition to a regional-scale investigation, priority attention is also paid to the cities of Bujumbura and Bukavu as 152 well as the populated mountainous flanks west of Lake Kivu. In the region of Bukavu, two specific landslides 153 (hereafter called Ikoma and Funu) are investigated more intensively (Fig. 1). The research is also designed with 154 the long-term goal of setting-up early-warning systems (though not reached yet). The rationale for this is to meet 155 the expectations and constraints of local stakeholders and research partners (Michellier et al., 2017). We can 156 therefore build up long-term collaborations through, among others, support to local PhD researches who know 157 the environments of their research, the local authorities and other resource people. This is a fundamental 158 ingredient to warrant a strong field-based pillar in the characterisation of the slope processes, i.e. a unique set of 159 information for such a low-capacity environment with limited field accessibility (Trefon and Cogels, 2006; 160 Monsieurs et al., 2017).

161

		farge	et area										
		Regio	onal			Local	_	Impo	rtance	-	_	_	
		NTK Rift	West of Lake Kivu	Bukavu/Ruzizi Gorge	Bujumbura	Funu landslide	ikoma landslide	(Sub-) daily	Days to months/years	Decades	Geormophic markers	In depth	
ata/method/technique	Time range												References**
Report	2002-present	x	x	x	x	x	x	С	н	L		L	Monsieurs et al., 2018a; Monsieurs et al., 2019a, 2019b
/ery-high spatial resolution optical satellite images													
Spogle Earth imagery (VA)	2004-present	x	x	×	×	×	x	-	с		с	н	Maki Mateso and Dewitte, 2014: Depicker et al., 2020
inegre carteringer ( ( ) (	Loor present										_		
DSM (1 m resolution) from (tri-) stereo Pléiades* (VA)	2013 - 2019			x	х				M		L	н	
Onder establite images													
tadar saterite images													
DInSAR (COSMO-SkyMed, Sentinel-1) time series	2015 - present			x		x		-	С		-	С	Nobile et al., 2018; Samsonov et al., 2020
											_		
ISM (5 m) from TanDEM-X Images* (VA)	2014		X	x				-	M		н	L	Albino et al., 2015; Jacobs et al., 2018
Remotely-sensed rainfall products													
TMPA, IMERG	1998-present	×				x	x	С	с		-	н	
DSM (1 arc second) from SRTM (VA)		×	x										
Orthomosaics of historical aerial photos* (VA)	1950s; 1970s		x	x	x	x	x	-	-	С	м	С	Dewitte et al., 2018; Smets et al., n.d.
field observation of recent events	2014-present	x	x	x	х	х	х	н	С		-	С	Balagamire et al., 2017; Kalikone Buzera et al., 2017; Kulimushi matabaro et al., 2017; Mugaruka Bibentyo et al., 2017
ield observation of older landslides	2014-present	×	x	x	x	x	x		н	н	с	н	Balagamire et al., 2017; Kalikone Buzera et al., 2017; Kulimushi matabaro et al., 2017; Mugaruka Bibentyo et al., 2017
ield observation of the landscape	2014-present	x	x	x	x	x	x	-	L	м	н	н	Dille et al., 2019; Depicker et al., 2020
JAV*	2017 - 2019					x	x	-	-	-	-	н	Dille et al., 2019
IGNSS*	2015 - 2019					×		-	-		-	н	Nobile et al., 2018; Samsonov et al., 2020
Rain gauge network*	2015-present	x	x			x	x	С	н	•	-	н	Monsieurs et al., 2018b
= used for the target area													
c = critical data set, H = highly important, M = moderately	important, L = Less imp	portant, -	-= Not r	relevar	nt								
= data produced within this study ** = references that	concern this study area	a											
/A = visual analysis (elevation, countour line, hillshading	0												
DSM = Digital Surface Model													
DINSAR = Differential Interferometric Synthetic Aperture	Radar												
MPA = Tropical Rainfall Measuring Mission (TRMM) Mult	isatellite Precipitation	Analysis	(TMPA)	)									
ALCO - Internet of Multi-stallite Detrievals for Clobal De	a si si ta ta sa ta sa												

162

163 Table 1. Data, methods and techniques used for acquiring information on the timing of the landslides in the
 164 NTK Rift. The ranking of their importance (after van Westen et al., 2008) is provided for four categories of time
 165 and the in-depth characterisations. The ranking is specific to the region.

166

# 167 3. Landslide characterised at a (sub-) daily accuracy

Although the NTK Rift is known for being of very low capacity, its population density is high, and localreporting of landslide events cannot be ignored (Monsieurs et al., 2018a). Using information from different

- 170 sources (Internet, grey literature, etc.), we developed a regional-scale methodological workflow to search for 171 landslide events with at least a daily accuracy and a known location (Monsieurs et al., 2018a). A landslide event 172 being here defined as either a single landslide or a group of landslides with a common trigger over the same area 173 (Kirschbaum et al., 2010). For the NTK Rift, we now have information on the timing and location for 152 174 landslide events spanning the 2002-2019 period; i.e. a figure much larger than the few entries that were initially 175 available in international catalogues (Petley, 2012; Kirschbaum et al. 2015). This catalogue of dated landslide 176 events was validated with field observation (e.g., Fig. 2e and Fig. 3c) as well as Google Earth imagery visual 177 interpretation. It does not contain the occurrences linked to mining and quarrying activities (Fig. 4d).
- 178 According to Keefer (2002), earthquakes of moment magnitude  $M_w \sim 4$  can already cause slope failures such as 179 rock falls and rock slides. To further explore the triggering conditions of the dated landslide events, we confront 180 them to the seismic catalogues from USGS (compiled and validated by Delvaux et al., 2017 + additional recent updates) and most recent (2016-2019) records from the local KivuSNet, the very dense seismic network 181 182 implemented in the region (Oth et al., 2017). In the NTK Rift, 173 earthquakes with M<sub>w</sub> between 4.1 and 6.3 183 occurred during the 1956-2019 period. Considering the empirical correlation proposed by Keefer (2002) that 184 link earthquake-induced landslide distribution areas with  $M_w > 5$ , we found that 30 % of the landslide events are 185 located in areas where seismicity could have played a role in the triggering of slope failures (Fig. 3a). However, 186 we found that the time lag between earthquakes and landslide events is of at least a few years with an average of 29 years. For earthquakes of magnitude higher than that recorded in the last 60 years in the NTK Rift, Hovius et 187 188 al. (2011) and Marc et al. (2015) show that the delayed effects of earthquakes on slope stability is of a few years 189 maximum. In our analysis, even though the earthquake impact zonation is simple (Marc et al., 2017), we can 190 argue that the dated landslide events are not triggered by earthquakes.
- 191 On the other hand, the link between rainfall seasonality and the triggering of landslides can be demonstrated at 192 the regional level when plotting the dated events against the monthly rainfall based on ~20 years (2000–2019) of 193 IMERG-F daily data (Huffman et al., 2019) averaged over the study area (Fig. 3b). This regional assessment of 194 the triggering conditions must however not ignore that some landslides may actually have occurred without any 195 apparent trigger (Dille et al., 2019; Section 6), and that human activities can sometimes be their only cause 196 (Kalikone Buzera et al., 2017). For the former, Monsieurs (2020) evidences that few landslides seem to have occurred during dry periods. Using part of the event catalogue, Monsieurs et al. (2019a, 2019b) developed a new 197 198 transferable rainfall threshold approach that is adapted also for a data-scarce context such as the NTK Rift. With 199 this approach, they underscore that rainfall amounts required to trigger landslides decrease for increasing 200 landslide susceptibility (Monsieurs et al., 2019a, 2019b). This analysis also shows that at the regional level, 201 most landslides respond to antecedent rainfall conditions of several weeks. Note that this rainfall analysis 202 benefited highly from the use of validated satellite precipitation products; the validation being done through rain 203 gauge data in provenance of existing networks in Rwanda, but also from our own networks that have been 204 deployed for more than three years in DR Congo and Uganda where such monitoring systems were absent 205 (Monsieurs et al., 2018b; Camberlin et al., 2019; Monsieurs, 2020).
- 206



Fig. 2 Landslides of natural origin. a Google Earth image of a mountain slope deformation (~40 km<sup>2</sup>) in DR
Congo, south of Bukavu. White arrows indicate the main scarp (-2.703°, 28.809°). b Google Earth image of
slides along a fault system in Uvira (DR Congo). EF denotes an active earthflow on a displaced block (-3.391°,
29.127°). c Rock fall/avalanche in a granite outcrop in Rwanda, Sept. 2018 (-1.666°, 28.561°). d Recent
rotational slide developed in thick regolith in Rwanda, Sept. 2018 (-1.804°, 29.606°). e Debris flow triggered by
an intense thunderstorm on 25 Oct. 2014 in Kalehe (DR Congo). Photo taken in July 2015 attests the quick
vegetation regeneration (-2.044°, 28.899°). f Rotational slide along the Ruzizi Gorge. Photo taken from DR

- Congo (Oct. 2017), Rwanda is in the background (-2.637°, 28.909°). g Active slide earthflow (Bujumbura,
  February 2015). White arrows indicate the main scarp of smaller landslides (-3.431°, 29.387°)
- 217





**Fig. 3** Landslide events and triggering factors. a Location of the 152 dated landslide events and the 173 earthquakes with  $M_w$  between 4.1 and 6.3. For earthquakes with  $M_w > 5.5$ , the impact zone as proposed by Keefer (2002) are depicted in red. b Rainfall seasonality and distribution of the 152 dated landslide events. c Example of a landslide event in Rwanda (photo taken in Sept. 2018). About 1000 debris slides and debris avalanches were triggered by an intense rainfall on 6 May 2018. This event caused fatalities and was highly reported in the news (-2.151°, 29.368°)

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#### 227 4. Monthly, multi-decadal and historical landslides

Google Earth imagery is highly relevant to identify landslides in many different contexts, especially in remote terrains across large spatial extents (e.g., Fisher et al., 2012; Broeckx et al., 2018; Williams et al., 2018). In the NTK Rift, it offers at least one, but very often several very-high resolution images for most of the area (spatial resolution 30 to 60 cm), with higher density of acquisition over the large cities and areas of mining activities. In

some places, the image cover spans more than 10 years.

233 Using Google Earth, we mapped more than 9000 landslides at the regional level (Maki Mateso and Dewitte, 234 2014; Maki Mateso et al., 2018; Depicker et al., 2020). The mapping (with 3D view activated and elevation 235 exaggeration of 1) was done manually for all landslides and both source and deposit (runout) areas were 236 delineated as polygons. For the old landslides that are covered with vegetation, this was the only option since 237 automatic detection approaches would not work. For the recent and active ones, this was done to avoid 238 amalgamation (Marc and Hovius, 2015). Also, a distinction is made between shallow and deep-seated landslides 239 with the latter being > 5 m deep. This parameter of depth is important to consider in our research since the 240 occurrence of shallow movements is much more sensitive to varying surficial landscape environments (e.g., land 241 use/land cover and its changes) and rainfall conditions (Sidle and Bogaard, 2016). In addition, shallow landslide 242 signatures disappear more quickly from the landscape (Dewitte et al., 2009; Sidle and Bogaard, 2016).

Landslides are diverse in size (from a few tens of m<sup>2</sup> to 40 km<sup>2</sup> - Fig. 2a), shape and process (categorized according to Hungr et al., 2014). They appear in various environments (urban areas, rural areas, pristine forests).
In terms of time of occurrence, we identified three populations of landslides (Fig. 1):

- 246 Recent landslides with a well constrained time of occurrence. These landslides occur between two subsequent satellite images in Google Earth (Fig. 2d,e, Fig. 3c). We often observe populations of rather 247 248 shallow landslides (slide, flow) that can concentrate in clusters that correspond to events triggered by 249 intense rainfall (Fig. 3c; Monsieurs et al., 2018a; Depicker et al., 2020). Larger and deeper landsides 250 can also be present in these events. In addition to the clusters, landslides can occur individually 251 (various shallow and deep processes of different sizes). For the shallow landslides, we observed that 252 their scar can disappear quickly, sometimes in less than two years, because of land reclamation and/or 253 quick vegetation regeneration (Fig. 2e; Maki Mateso et al., 2018). For the larger and deeper landslides, 254 they remain visible easily over several years, attesting the activity that characterise the first years of a 255 slope failure (Dewitte et al., 2008; Dille et al., 2019).
- Recent landslides whose time of occurrence cannot be constrained between two images. These landslides look active/recent in the oldest satellite images. All types of landslide processes identified in the former group are also in this category, except for the earthflows (Fig. 2g). The latter are known for sometimes being active during several decades (e.g., Mackey and Roering, 2011).
- 260 Historical landslides are either landslides that do not appear active in the oldest images or active • 261 earthflows that cannot be constrained between two images. This population includes landslides whose 262 period of origin is unknown and their age can only be given, when possible, in relative terms (Guzzetti et al., 2012). They can have occurred over periods of easily up to thousands of years (see Section 5). In 263 264 this category falls only larger deep-seated landslides (Fig. 2a,b,c,f,g). Indeed, the morphological signature of smaller landslides is removed due to erosion and weathering processes, subsequent 265 266 landslides, vegetation growth and anthropic influences. In addition, at the same time, landslide 267 boundaries become increasingly indistinct (Malamud et al., 2004).
- 268

Google Earth is used here in a conservative way to delineate the landslides, especially the historical ones. It is only when feature observation is judged reliable that a new entry is added to the inventory. In natural landscapes, dense evergreen forests that characterise this tropical environment tend to mask scars of non-active landslides. As stressed in the introduction, this can be further exacerbated by high weathering. And in the human-dominated landscapes, agricultural patterns challenge feature delineation.

We validated more than 1000 landslides in the field (surveys carried out in the regions of Bukavu, Bujumbura, the Ruzizi Gorge, Kalehe and western Rwanda) and could attest the very high reliability of feature identification from Google Earth. With Google Earth, examining the shape and the size of both the landslide scar and deposit areas, distinction between shallow and deep-seated processes was not always straightforward due to the low topographic resolution of the 3D terrain display. Yet, observation in the field enabled us to confirm that this depth estimation is quite fair. It was further confirmed by analysing the topographic signature of landslides predating the acquisition of the 1- and 5-m resolution digital surface models (DSM) (Table 1); only deep-seated 281 processes leaving an apparent scar. Despite Google Earth general good abilities, we also observe that we still 282 miss many, often small, landslides; stressing the need of field-based observations for intensive local 283 investigation.

284 Field investigations also allowed us to better grasp the role of human disturbances in the dynamics of the 285 hillslopes (Fig. 4). Alongside actively quarried river reaches, we observe for instance the presence of many, 286 rather small, recent and active landslides (Fig. 4e). We suggest that a lack of bedload is at the origin of local 287 river incision, hillslope/riverbank over-steepening and subsequent collapse. Bujumbura, as well as regions in 288 NW Rwanda, are particularly affected by this process. Oppositely to river incision, the presence of large erosion 289 features such as gullies on the hillslopes (sometimes linked to mining/quarrying activities) can supply sediment 290 to the river, modify their channel morphology and add variability to their erosion and aggradation patterns. A 291 common observation in river morphology is the shift towards more braided systems with increase lateral 292 mobility and subsequent hillslope/riverbank over-steepening (Fig. 4c). This shift in river morphology is in 293 agreement with observations made by Moeyersons (2000) and Moeyersons and Trefois (2008) in the region. 294 Note that increase lateral mobility and braided patterns are also due to active earthflows that supply sediment to 295 channels (e.g. Fig. 2g). Land management such as terracing is known to change water drainage networks, which 296 could lead to the occurrence of landslides (Sidle et al., 2006; Tarolli et al., 2014). Though this process still 297 requires further investigation in the NTK Rift, some examples of recent landslides occurring in terraced 298 landscapes were observed (Fig. 4b). Similarly to what is observed in other mountainous regions (e.g., Sidle et al., 2006; Brenning et al., 2015), the frequency of landslide occurrence is highly increased along the roads where 299 300 inadequate drainage systems, hillslope undercutting (Fig. 4f), overloading and landfills are common. Most of 301 these landslides along the roads are usually too small to be identified in Google Earth. Note that these landslides 302 were not included in the regional landslide susceptibility assessment (Depicker et al., 2020) as they are linked to 303 engineered slope controls that cannot be constrained from regional topographic products such as the 1 arc-304 second SRTM (Farr et al., 2007).

305 The city of Bukavu was further analysed thanks to the combined use of historical aerial photographs, recent 306 satellite images, 1 and 5 m resolution DSMs and careful fieldwork (Table 1). We built a multi-temporal 307 historical inventory for a period of 60 years and identified more than 130 landslides (Balegamire et al., 2017; 308 Kalikone Buzera et al., 2017; Kulimushi Matabaro et al., 2017; Mugaruka Bibentyo et al., 2017). In total, they 309 affect ~30% of the urban territory. Differentiation was made between rotational and planar slides as well as 310 between shallow and deep-seated processes. Gully systems that are developed in large landslides and that in turn 311 often trigger mass movement processes were also inventoried. Based on the empirical visual approach 312 developed by Cardinali et al. (2002), we can propose a landslide chronology where relative ages are estimated 313 for three categories (Fig. 5). A category with recent landslides (1) that includes landslides that look 314 morphologically fresh on the historical aerial photographs, and new landslides or reactivations that occurred 315 after the acquisition of historical photographs and that can be identified in the more recent photos or images, or 316 checked by field mapping and archive analysis. Old landslides (2) that show a clear morphology without erosion 317 features on the historical aerial photographs. Very old landslides (3) that show a morphology that is at least 318 partly dismantled by erosion, sometimes heavily. Most large deep-seated landslides display old or very old 319 features. Shallow landslides are all recent as it is assumed that older features are no longer identifiable. Gully 320 systems are all considered as recent. As stressed by Guzzetti et al. (2012), the distinction of such timing requires 321 the ability to visually recognize landslides that leave faint, subtle topographical or land cover changes.

322 Not all landslides in Bukavu are of natural origin. Small slope instabilities are often linked to slope cutting and 323 over-steepening due to the construction of roads or terracing of the landscape for building, often illegally, 324 houses on the steep slopes. Gully systems are often connected to poor and/or unmaintained drainage systems. 325 For the reactivation in 1997 of a rather large deep-seated slide developed in more than 60 m thick regolith, we 326 were able to reconstruct a careful history of the hillslope dynamics over the last 60 years, showing that complex 327 interactions between natural and human processes played an important role in this failure (Mugaruka Bibentyo 328 et al., 2017). We also identified the role of local runoff concentration and water pipe leaking on the local 329 increased activity of parts of some other large landslides (Kalikone Buzera et al., 2017). At the scale of the city, 330 changes in natural and human-induced distribution and patterns of landslides allows then to infer the possible

331 evolution of the slopes, the most probable type of failures, and their expected frequency of occurrence and 332 intensity. Based on the inventory we are then able to propose hazard scenarios that coincide with today's 333 changing environment (Michellier et al., n.d.).

334 Bujumbura is currently investigated in a similar way as Bukavu (Table 1). The landslide patterns are however 335 different between the two places. In Bukavu, landslides are present in the urban and populated areas, leading to 336 disturbance and impacts immediately on site. In Bujumbura, besides the recent and active landslides that affect 337 the banks of the rivers that run through it, landslides extent mostly on the steeper slopes at the outskirt of a 338 rather-flat city centre (Kubwimana et al., 2018). Similarly as in Bukavu, some of these landslides are old to very 339 old and rather large (up to a few km<sup>2</sup>) and the recent and small instability processes are also often influenced by 340 anthropogenic disturbance. One specificity of Bujumbura lies in the presence of km-size slow-moving 341 earthflows that are often in contact to the rivers (Fig. 2g). Through cascading effect, similarly to what has been 342 described in Uvira (Moeyersons et al., 2010), they can temporally dam the rivers, which, when they breach, 343 cause (sometimes deadly) flash floods (Monsieurs et al., 2018a; Nibigira et al., 2018). These earthflows have 344 been active for at least several decades as witnessed though their continuous damages to road infrastructures. 345 Large and deep gully systems are also present in urbanized slopes. Their incision removes lateral support of 346 hillslope foot slopes (Poesen, 2018) and cause landslides, sometimes  $> 1 \text{ km}^2$  (Fig. 4a). These gully-landslide 347 systems were almost absent in the 1950s, i.e. before the urbanization and the subsequent changes in the water

348 runoff patterns (e.g., Makanzu Imwangana et al., 2014).

349



Fig. 4 Landslides caused by human disturbances. a Landslides developed along a large gully system linked to
urban growth in Bujumbura, Feb. 2015 (-3.409°, 29.381°). b Deep-seated (flow) slide in Rwanda (Sept. 2018)
developed on a terraced hillslope carved in regolith (-2.049°, 29.460°). c Mining (Coltan) triggers gullying,
which triggers landslides, Rwanda, Sept. 2018 (-1.987°, 29.604°). d Flowslide in regolith affecting a gold
mining site in South Kivu, DR Congo, June 2016 (-2.857°, 28.736°). e River incision in colluvium/alluvium in
Bujumbura (Sept. 2013) and subsequent bank erosion and landsliding (-3.399°, 29.383°). f Rock avalanche at a
road cut in Rwanda, Sept. 2018 (-1.825°, 29.627°)

359

# 360 5. Landslides and geomorphic markers

In the absence of absolute dating, an approach to unravel the period of occurrence of historical landslides is to analyse their spatial distribution with regard to geomorphic markers of the landscape (Korup et al., 2010; Burbank and Anderson, 2012). The level of Lake Kivu is such an identifiable feature. The lake is rather young compared to the other great lakes in the East African Rift. It was formed during the late Pleistocene, when lavas from VVP dammed the then upper part of the Rift basin draining north towards the Nile River system (Fig. 1; Haberyan and Hecky, 1987). The level of the lake rose, reaching its maximum ~100 m above the current one at ~10 ka BP when it started to drain southwards into Lake Tanganyika (Felton et al., 2007; Ross et al., 2014). 368 Outflow took place in a region where is now sited Bukavu, developing into a gorge along a pre-existing fault system and forming the upper reach of the Ruzizi River (Figs. 1 and 5). Landscape features show that the 369 370 initiation of this new sediment-starving river reach was accompanied by a very rapid incision of the bedrock, 371 reaching in some places more than 200 m. In its current morphology, the Ruzizi Gorge consists in a knickzone 372 with an elevation drop of 500 m over a distance of 30 km. If we are conservative and assume linearity since the 373 initiation of the gorge formation, we have an extremely high incision rate of  $\sim 20$  mm yr<sup>-1</sup> (Burbank et al., 1996). 374 If we consider overtopping and knickpoint retreat, incision rate could be much higher (Cook et al., 2013; Anton 375 et al., 2015). Such incision rates create threshold hillslopes highly prone to landsliding (Burbank et al., 1996; 376 Ouimet et al.; 2007, Larsen and Montgomery, 2012) as witnessed by the ~50 deep-seated landslides (size up to 2 377 km<sup>2</sup>) we identify along the gorge (Fig. 2f). Note that these landslide debris could serve to stall bedrock erosion 378 (Ouimet et al., 2007), which reinforces the hypothesis that a linear incision rate is conservative. The landslides 379 of the Ruzizi Gorge have morphologies that look more recent than others that are distributed, for example, along 380 major fault systems (Fig. 2a,b).

381 Apart from the landslides along the Ruzizi Gorge, the other large landslides in the city of Bukavu form a cluster 382 of deep-seated landslides at the regional level (Fig. 1). Moeyersons et al. (2004) identified several fault 383 morphologies in the city, landslides being positioned along them. Delineating the level of Lake Kivu -100 m 384 above the current one, we can see that some of the landslides were at that time in contact with the water 385 highstands (Fig. 5). Pánek et al. (2016) linking the occurrence of large landslides to highstands of the Caspian 386 Sea, this cluster of landslides could be explained by such a combination of Holocene water highstands and 387 specific morpho-tectonic conditions (Fig. 6a shows a typical example of landslide that could be linked to the 388 highstands). Looking at field evidence, we found in Bukavu a landslide deposit area covered with lacustrine 389 material/sub-horizontal colluvium, proving that this landslide, classified as very old (see Section 4), occurred or 390 was reactivated at least 10000 years ago (Fig. 5).

The shoreline of the lake is characterised by the presence of many prograding deltas, formed at the current lake level along the steep slopes of flooded valleys. We observe that some rock slides and rock avalanches distributed along those slopes have their deposit area buried in the lake sediments (Fig. 6b). This shows that their occurrence either preceded the lake formation or happened when the delta extension was still limited. We suggest that these landslides are easily a few thousand years old. They are surely older than the landslides that have their deposit area that cover the deltas (Fig. 6c). Similar patterns of landslides with buried deposit area are observed in post-glacial sediments that fill the Rhone valley in Switzerland (Pedrazzini et al., 2016).

398



- 401 Fig. 5 Landslides in Bukavu, their relative age (see text for details) and distribution with regard to Holocene
   402 lake highstands (+ 100 m, dashed white contour line). HSLD: highstands lacustrine material deposit. Hillshade
- 402 derived from 1 m resolution DSM built from Pléiades tri-stereo images of 2013. It highlights the dense urban
- 404 fabric of the city centre

405





408 Fig. 6 Google Earth images of landslides in contact with a dynamic base level; either Lake Kivu highstands or
409 Nyamuragira lava flows. a Slide in Rwanda with a direct contact with the lake (-2.368°, 29.052°). b Landslide in
410 DR Congo with a buried deposit area (-1.721°, 29.049°). c Active earthflow in DR Congo that covers a delta (411 1.762°, 29.008°). d Landslide in DR Congo affected by a volcanic cone (VC) with a partly-buried deposit area (412 1.187°, 29.275°)

414 The largest landslide in Bukavu (Funu landslide, Fig. 7a) is located along the same ridge as the very old 415 landslide where lake deposits are observed (Fig. 5). The Funu landslide has morphology features attesting of a 416 more recent process (either new landslide failure or reactivation) and is here classified as old. Its position above 417 the water highstands indicates that its origin is not linked to the lake formation. Although the detected InSAR 418 ground deformation patterns of this landslide show that it is one of most active in the city (Nobile et al., 2018), 419 the presence of ~20 cm of colluvial soil developed on debris deposited at the base of its main scarp (Fig. 7a) 420 attests an origin (or reactivation) that could be around 5000 years if average rates of soil formation are 421 considered (Montgomery, 2007).

422



Fig. 7 Deep-seated slow-moving landslides in highly weathered environments of the SKVP. a Funu landslide in
Bukavu (-2.520°, 29.845°; Fig. 5). The landslide covers an area of 1.5 km², with elevations ranging from 1525 m
(toe)to 1905 m (head scarp). Inserts show colluvial soil formation on debris deposited at the base of main scarp.
b Ikoma landslide (-2.539°, 28.734°) The landslide covers an area of 0.2 km², with elevations ranging from
1910 m (toe) to 2060 m (head scarp). The main scarp has a height of up to 35 m. Both images were taken from
UAV in October 2017 and 2018 respectively

431 A noticeable lithology feature in the region is the presence of two volcanic provinces: the South Kivu and the 432 Virunga volcanic provinces (SKVP and VVP; Fig. 1). SKVP is a soil-mantled rolling landscape made of deeply-433 weathered (up to tens of metres) sequences of sub-horizontal lava layers of Late Miocene to Pleistocene origin 434 (10 to 1.6 Ma) (Kampunzu et al., 1998; Moeyersons et al., 2004; Pouclet et al., 2016). The province is also 435 crossed by the Ruzizi Gorge. VVP consists in a series of lava-dominated volcanoes made up of various alkaline 436 silica-undersaturated lava materials (e.g., Kampunzu et al., 1998; Barette et al., 2017). Nyiragongo and 437 Nyamuragira volcanoes, west of VVP (Fig. 1), are the two most recent volcanoes and are mostly covered with 438 few-year to few-century lava flows (Smets et al., 2010, 2015; Poppe et al., 2016). The other volcanoes, in 439 central and eastern VVP, are covered with lavas flows of 10 ka to 250 ka ages (Kampunzu et al., 1998; Pouclet 440 et al., 2016), with, in some places, layers of pyroclastic deposits (Jost, 1987). As a large part of VVP is forested 441 and belongs to the Virunga National Parks, we can argue that its pattern of shallow landslides is not influenced 442 by human activities. There, for similar slope gradients, shallow landslides are nearly absent from the flanks of 443 the two youngest volcanoes (Fig. 1, Fig. 3a). Although the tropics are known for very high weathering rates 444 (Thomas, 1994), and that the different properties of the lithologies could influence this process, it shows that a 445 substantial amount of time (here thousands of years) is needed to accumulate enough regolith/colluvium 446 available for a slope failure to occur (e.g., Parker et al., 2016). While in VVP the age of the rocks is the main 447 controlling factor on the shallow landslide distribution, in SKVP, the availability of regolith across the landscape let suppose that slope gradient could be the main natural driver as attested, e.g., by a slightly higher 448 449 concentration of shallow landslides along the Ruzizi Gorge (Fig. 1). However, at this stage, such an analysis 450 derived from few observations from the regional inventory must be considered with caution. Firstly, 451 anthropogenic pressure is high in SKVP. In addition, the spectral signature differences that characterize a recent 452 shallow landslide in such a human-influenced landscape are less important than in the VVP forest and therefore 453 the number of landslides delineated from Google Earth is underestimated.

454 Deep-seated slides (sometimes km-size) are frequent in SKVP. Apart from the slides along the Ruzizi Gorge 455 that occur on threshold hillslopes and the potential role of the Lake Kivu highstands, we can assume that this 456 spatial pattern attests of a depth-dependent control of the regolith on the slope failure mechanism (Migon, 2013; 457 Dille et al., 2019). Due to its steep hillslopes, VVP is close to a slope-controlled weathering-limited environment (Jost, 1987; Garzanti et al., 2013). Assuming a similar control of the regolith depth in both 458 459 provinces, it makes sense that deep-seated landsliding is barely observed on the oldest volcanoes and absent 460 from the more recent ones (Fig. 1). However, outside VVP, on similar slope gradients carved in other lithologies 461 that have been exhumed for few million years a least (van den Haute, 1984) and where regolith thickness is 462 rather limited, deep-seated landslides are more frequent (Fig. 1). We suggest that the decrease in rock strength 463 due to bedrock fracturing associated with seismic activity and rock uplift is still limited in VVP compared to the 464 much older surrounding hillslopes (Clarke and Burbank, 2010; Delvaux et al., 2017; Vanmaercke et al, 2017). 465 The presence of rejuvenated hillslopes through knickpoint migration, limited in VVP, must certainly be 466 considered too in the occurrence of bedrock landsliding (Burbank et al., 1996; Larsen and Montgomery, 2012).

The setting-up of VVP was accompanied by the filling of the western side of the rift depression by volcanic products and the burying of part of the rift escarpment (Smets et al., 2016). This process, such as the one associated with the sediment fill of the Lake Kivu deltas, has certainly buried (part of) landslides developed along the escarpment. In that context of interactions between rift escarpment evolution and the setting-up of the volcanism, Fig. 6d shows a unique example of a partly-buried landslide deposit area where a small volcanic cone has developed. This part of the escarpment has a morphology clearly influenced by fault lineaments (Smets et al., 2016).

# 474 6. Combining techniques for site-specific characterisation

475 The analysis of geomorphic markers is a first step towards the understanding of the causes and triggers of the 476 large landslides and their evolution. In order to know more about these processes and therefore be able to better 477 predict the hazard associated with their occurrence and/or reactivation, we focus on the timing and the 478 mechanisms of the landslides of Funu and Ikoma (Fig. 7), both developed in the weathered lavas of SKVP (Fig. 479 1). If the two are deep-seated slow-moving landslides, they contrast by their age (Funu, clearly preceding human 480 activity in the area; while Ikoma is much more recent, experiencing its main phases of instability during the last 481 decade), the degree of anthropic influence (Funu is one of the most densely inhabited district of Bukavu while 482 Ikoma is located in a rural environment), as well as their current rates of displacement. By these differences, 483 they represent two end-members of the characteristics of the deep-seated landslides that can be found in the area 484 while sharing similar natural environmental conditions.

485 The first results are promising. For Ikoma, by confronting rainfall time series and earthquake sequences with the 486 different deformation episodes reconstructed over the last 60 years (via several methods, Table 1), we show that 487 the role of weathered-related weakening of the slope strength through time must be considered in the occurrence 488 of some of the main deformation phases (> 10 m year<sup>-1</sup>). In other words, we show that the relation between 489 slope instability triggers and slope failure is not always straightforward, and that the role of intrinsic evolution 490 of the hillslope must not be ignored when assessing landslide hazard in tropical montane regions (Dille et al., 491 2019). This role of the weathering in the occurrence of slope failure could also partly explain the cluster of large 492 landslides in Bukavu (Fig. 1). For Funu, the four-year DInSAR time series at a weekly resolution we have 493 computed show deformation patterns up to 0.05 m year<sup>-1</sup> that seem to vary in space and time (Samsonov et al., 494 2020). Further assessments are needed to understand them.

495 The analysis of these two slides relies on intensive use of spaceborne and ground-based remote sensing 496 techniques (DInSAR, UAV, image correlation, etc.) and the high spatial and temporal resolution tracking of the 497 ground deformation behaviours (Table 1). With this focus on remote sensing, we also want to address the 498 methodological question as to what (remote sensing) methods (or combination of) are the most effective to 499 study the current (and past) dynamics of landslides in such an environment. Funu landslide represents an ideal 500 candidate for DInSAR applications due to is location in an urban setting (where coherence is kept though time) 501 and on a hillslope oriented towards the east (Nobile et al., 2018). Thanks to a unique dataset of more than 300 502 COSMO-SkyMed images acquired between March 2015 and January 2019 a new method to track ground 503 deformation time-series in 3D from DInSAR has been validated on this site (Samsonov et al., 2020). Ikoma 504 landslide is sited in a rural environment with a constantly changing vegetation and ground displacements that

are too large for being processed via DInSAR. There, image correlation will be needed to characterise ground
 deformations (e.g., Stumpf et al., 2014).

# 507

# 508 7. Conclusion: the way forward

509 Progress in the characterisation of the timing of landslides has been achieved in the NTK Rift over the last years 510 through a holistic approach that combines field work, satellite remote sensing, historical photograph processing 511 and geomorphic maker understanding. We now have a better understanding of how these thousands of highly 512 diverse surface processes are connected to both natural and human-induced evolution of the landscape. We also 513 bring information that contributes to a better evaluation of the hazard in the area, and potentially across other 514 regions sharing similar environmental conditions.

515 While an unprecedented amount of information has been collected for a data-scarce and low capacity tropical 516 environment; yet, we remain far from comprehensive more quantitative analyses that can lead to accurate and 517 reliable hazard assessment and early warning system development (Guzzetti et al., 2020). For such a large 518 region, it is obvious that scope for further work at every level of action exists. We identify future research 519 opportunities through which we believe that a better time constrain of the landslides could be achieved:

- For the (sub-)daily timing accuracy, we must look at the citizen-science sphere and the opportunity of crowdsourcing. Participatory approach has demonstrated success in accurate data collection and monitoring in resource-constrained settings (Hicks et al., 2019). The geo-observer approach developed in the Rwenzori Mountains in Uganda holds huge promise for an environment very similar to the NTK Rift (Jacobs et al., 2019). Such an approach allows also to collect unprecedented information on the processes at play;
- For several days to weeks timing accuracy, frequent satellite acquisition inevitably offers opportunities for better constraints (Belward and Skøien, 2015). Since the tropics are frequently cloud-covered, an accurate characterisation of the timing of landslides can only be achieved through the joint analysis of optical and SAR information (Joyce et al., 2009; Poursanidis and Chrysoulakis, 2017). Knowledge from the field, and by extension, citizen-based data is a must for the calibration and validation of the methods;
- For multi-month to multi-year time constraining, continuous mapping of the events from Google Earth will help to estimates recent landslides rates and their patterns with human disturbances;
- For multi-decadal information, we are producing orthomosaics from the thousands of historical photographs that are available for the region at the Royal Museum for Central Africa (Dewitte et al., 2018; Smets et al., n.d.). This will allow progress in the understanding of e.g. the pace of large landslide occurrence and their dynamics;
- For the geomorphic markers, a better knowledge of the geology of the region is key. Besides, constraining dates in different sites such as landslides or within the sediment record would be an asset. Although dating effort has been negligible in the tropics (Pánek, 2015), we believe that in the future, some could be applied successfully in a region like the NTK Rift.

Finally, a key objective is that our research outputs meet the needs of local research institutions and stakeholders. Therefore, we can anticipate that future actions will also depend on the occurrence of specific landslide events and the associated research responses required. Although this study targets a specific region, we believe that the adopted strategy and methods have the potential for being used in other low-capacity environments where field accessibility is difficult and information on landslide occurrence scarce.

547

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