

# Can CO<sub>2</sub> and Renewable Carbon Be Primary Resources for Sustainable Fuels and Chemicals?

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With the increasing global population, the demands for fuels and chemicals are greater than ever. Greenhouse gas (GHG) emissions from the use of fossil fuel-based conventional feedstocks are also a matter of great concern. A revolution is needed to replace the conventional feedstocks, processes, and the materials that enable these processes with more sustainable alternatives such as renewable biomass, recycled carbon, and carbon dioxide (CO<sub>2</sub>). In fact, we are at the verge of witnessing a shift from conventional fossil fuel-based petrochemical conversion to more sustainable processes that utilize unconventional feedstocks. Specifically, CO<sub>2</sub> can be viewed as a renewable source of carbon, which can be used as a C1 building block to valuable chemicals.

Replacing petrochemical-based hydrocarbons would require massive sourcing of renewable hydrogen and carbon. Advances are underway in producing so-called “green” hydrogen, as the costs of renewable energy has significantly reduced in recent times. However, major renewable energy sources, such as solar and wind, are distributed with intermittent supply and spatiotemporal variability and uncertainty. Natural gas-based hydrogen generation is mature, but we must remove CO<sub>2</sub> to make it sustainable. Significant scientific and research challenges need to be resolved in the areas of hydrogen generation, separation, storage, and utilization to envision a sustainable future hydrogen economy. On the other hand, CO<sub>2</sub> capture and utilization/storage (CCUS) not only shows great promise for decarbonizing the hydrogen, energy and manufacturing sectors, it allows one to tap into large volumes of CO<sub>2</sub> that are available from stationary/point sources (e.g., fossil power plants, cement, iron and steel, agricultural processing, etc.) and from air via direct air capture. CO<sub>2</sub> capture remains expensive, and the associated parasitic energy penalty remains high. CO<sub>2</sub> is a stable gas that is mostly available as a combustion product after we burn fossil fuels. The energetics of CO<sub>2</sub> utilization is a key challenge. We need novel materials, methods, and multiscale approaches before we envision large-scale implementation of CO<sub>2</sub> as a primary resource for fuels and chemicals. Biomass and recycled plastics can constitute a major portion of a future feedstock portfolio for both hydrogen and carbon toward meeting our increasing demands for hydrocarbons. To that end, the concepts of integrated biorefineries and circular economy require further work in terms of resource utilization, materials development, and process intensification, among others.

To overcome the intrinsic thermodynamic stability of CO<sub>2</sub>, a large amount of chemical energy is required. Thermocatalytic CO<sub>2</sub> conversion is a known pathway for CO<sub>2</sub> upgrading and,

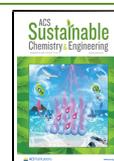
for the *ACS Sustainable Chemistry & Engineering* (ACS SCE) journal, we pay attention to the wording used in the submitted papers. For example, the hydrogenation of CO<sub>2</sub> to methane implies the consumption of H<sub>2</sub>, so it should not be presented as a process that allows “upgrading CO<sub>2</sub>” on its own but as a reaction that allows “converting a CO<sub>2</sub> + H<sub>2</sub> mixture into methane (and water)”. This point is crucial because the large majority of the hydrogen production worldwide is still by steam reforming of natural gas (a large CO<sub>2</sub> emitter). For a net positive utilization of CO<sub>2</sub>, the hydrogen must be obtained from renewable resources. Thus, the implementation of any significant development made on the front of catalytic CO<sub>2</sub> hydrogenation will rely on our ability to develop effective, cheap, and sustainable means to produce “green hydrogen”. As the GHG potential of methane is much higher than CO<sub>2</sub>, one also needs to factor the net sustainability gain when methane is used as a fuel or reagent as part of chemical production.

Another aspect of CO<sub>2</sub> utilization that is often overlooked is the nature of the CO<sub>2</sub> feed. While academic studies are usually carried out on pure CO<sub>2</sub> streams, it should be kept in mind that the CO<sub>2</sub> has to be mined (from gas effluents or possibly directly from air), and then, the solid adsorbent or the liquid absorbent used for capture has to be regenerated to produce a concentrated CO<sub>2</sub> stream. This step is known to be energy consuming. The energy requirement and cost of CO<sub>2</sub> capture vary significantly with the source CO<sub>2</sub> composition, flow rate, required purity of captured CO<sub>2</sub> to meet the pipeline specifications (typically >95%), and extent of separation (i.e., CO<sub>2</sub> recovery).<sup>22</sup> Therefore, CO<sub>2</sub> capture itself is a key challenge toward CO<sub>2</sub>-based sustainable feedstocks. This is why efforts are being made to develop combined processes,<sup>1,2</sup> where capture and transformation are being carried out synergistically on nonpure CO<sub>2</sub> streams (ideally targeting the gas effluents of point sources such as incinerators or cement factories). ACS SCE welcomes manuscripts that propose an integrated discussion on the transformation of CO<sub>2</sub> and on its sourcing (capture and purification).

The electrification of the chemical industry concept is gaining momentum both academically and industrially.<sup>3</sup> New

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reaction concepts that use electricity should enable renewable energy sources (e.g., wind and solar) to power chemical transformations and promote sustainability in the chemical industry. A core pillar of this transformation will be the electrochemical conversion of CO<sub>2</sub>. Electrochemical CO<sub>2</sub> reduction offers a promising strategy to reduce the atmospheric CO<sub>2</sub> concentration and produce value-added chemicals and fuels at the same time. The reaction environment is friendly with ambient pressure and temperature. The electrochemical CO<sub>2</sub> conversion offers advantages such as benign conditions, controlled reaction conditions via applied potentials and/or currents, and easy coupling with renewable energies such as solar or wind with no extra CO<sub>2</sub> emission. In electrochemical CO<sub>2</sub> conversion processes, the electrocatalyst is key to overcome the high kinetic barriers for CO<sub>2</sub> activation, suppress the competitive reaction (e.g., hydrogen evolution reaction), and increase the selectivity toward the wanted products. Currently, the electrocatalysts used for CO<sub>2</sub> electrolysis can be generally classified into four groups including (i) non-Cu metal materials, (ii) carbon materials, (iii) molecular materials, and (iv) Cu-based catalysts. Electrolyzers for CO<sub>2</sub> conversion to CO and/or formic acid are reaching the pilot scale.<sup>4</sup> More valuable C<sub>2+</sub> products, such as ethanol, ethylene, and acetate, can only be generated over the Cu-based materials, as well as several heteroatom-doped carbon materials.

Beyond the electrocatalysts, the cell and reactor designs become significant in CO<sub>2</sub> conversion processes. Traditionally, the reaction occurs in a H-type electrochemical cell where the catalyst is fully immersed into electrolytes, while CO<sub>2</sub> gas is generally bubbled into the electrolyte. However, these conventional electrochemical systems suffer from low CO<sub>2</sub> reduction rates, especially with water as the solvent. This is because CO<sub>2</sub> solubility in water at ambient operating pressures is very low (34 mmol/L) and can be increased with pressure only moderately, according to Henry's law. Such low solubilities limit achievable CO<sub>2</sub> reduction rates, regardless of the intrinsic activity of the electrocatalysts used. Thus, process intensification is needed for industrially relevant electrochemical CO<sub>2</sub> conversion.

A variety of strategies are being pursued to alleviate CO<sub>2</sub> starvation at electrode surfaces. One example is gas-diffusion electrode-based flow cells, including a three-electrodes flow cell and membrane electrode assembly, to overcome these limitations and significantly enhance electrocatalytic performance. These vapor-fed systems are ripe for additional research on understanding the complexity of the three-phase boundary and myriad of interfaces on the micrometer and nanometer scales. In addition to vapor-feed systems, organic-based CO<sub>2</sub>-rich systems can also provide significant process intensification.<sup>5</sup> Under ambient conditions, CO<sub>2</sub> is close to its critical temperatures, and when it is mildly compressed to few tens of bars at ambient temperatures, its density transitions from a gas-like to liquid-like form. Thus, in its compressed state, the solubilities of CO<sub>2</sub> in most organic solvents increase dramatically, and the volume of the liquid phase expands, resulting in a CO<sub>2</sub>-expanded liquid. These CO<sub>2</sub>-expanded liquids can also be paired with supporting electrolytes (hence referred to as CO<sub>2</sub>-expanded electrolytes) to enable electrochemical CO<sub>2</sub> conversion at multimolar liquid-phase CO<sub>2</sub> concentrations. This provides process intensification, but further work is needed on developing larger-scale flow reactors using condensed CO<sub>2</sub>. However, it is anticipated that highly

efficient and selective electrocatalysts used together with well-designed flow cells hold great promise for electrochemical CO<sub>2</sub> conversion in the industry in the near future.

As we continue to develop new approaches to utilize unconventional feedstocks for the chemical process industry, we also need to keep in mind the increased competition, stringent environmental regulations, and volatile markets. In recent times, these factors have contributed to renewed interest in process intensification methods to drastically reduce the size, cost, CO<sub>2</sub> emissions, and energy consumption. Process intensification synergistically combines multiple operations, such as separation, conversion, and intermittent storage, within a single piece of equipment. However, it is not trivial to identify the hotspots for process intensification to achieve sustainability goals. Another long-standing problem is to be able to systemically obtain out-of-the-box process solutions (an example is integrated CO<sub>2</sub> capture and conversion<sup>2</sup> with significantly less equipment and energy requirement). To that end, advances are made in computer-aided process intensification techniques. One example is the sustainable process design and intensification technique using the SPICE (synthesis and process intensification of chemical enterprises) framework.<sup>6</sup> SPICE departs from the classic unit operation-based representation of chemical processes and uses a new building block-based representation to achieve a seamless transition from the phenomena scale to the task/equipment scale to the flowsheet scale. SPICE enables "systematic innovation", which is to say that process designers and process engineers now can systematically discover out-of-the-box and optimal design/retrofitting solutions without exhaustively enumerating all plausible alternatives.

Significant progress in the development of homogeneous and heterogeneous catalysts for CO<sub>2</sub> hydrogenation to C1 products (e.g., formic acid, carbon monoxide, methane, and methanol) and C<sub>2+</sub> products (chemicals containing two or more carbons) has been achieved. CO<sub>2</sub> hydrogenation by homogeneous (molecular) catalysts primarily produces methanol, formic acid/formate, and formamides.<sup>7</sup> Homogeneous catalysts featuring phosphine, carbene, pincer, and proton-responsive bidentate ligands coordinated to platinum group metals like Ir, Ru, and Rh are known for CO<sub>2</sub> hydrogenation to formate. For example, an Ir<sup>III</sup> trihydride pincer complex, [IrH<sub>3</sub>(PNP)]<sup>8</sup> (PNP = 2,6-bis(di-isopropylphosphinomethyl)pyridine), has the highest activity in CO<sub>2</sub> hydrogenation to formate at 3,500,000 turnover numbers (TON) and 150,000 h<sup>-1</sup> turnover frequency (TOF). Another Ru<sup>II</sup> pincer complex [RuH(CI)(CO)(PNP')]<sup>9</sup> (PNP' = 2,6-bis(di-tert-butylphosphinomethyl)pyridine) has remarkable performance at TOF of 1,100,000 h<sup>-1</sup> in an environmentally friendly solvent-aqueous media. The structure of pincer ligands impart high stability to the catalyst leading to high activities; notably, in some bifunctional systems, the metal and ligand work cooperatively, resulting in improved activities.<sup>10</sup> However, high operating temperatures and pressures (≥120 °C and 40 bar) mean an undesirable energy-intensive process from a scale-up viewpoint. A prominent class of CO<sub>2</sub> hydrogenation homogeneous catalysts are the half-sandwich Ir, Rh, and Ru complexes bearing bidentate N,N ligands with proton-responsive groups. The Ir version achieves a high activity in basic aqueous solution, at just 50 °C (TON = 153,000, pH 8.4). This system also converts CO<sub>2</sub> to formate at 25 °C and atmospheric pressure, albeit at low productivity (32 h<sup>-1</sup>).<sup>11</sup> Even though some of these systems operate efficiently in

aqueous media, the use of milder operating conditions (ambient temperature and pressure are ideal), elimination of additives such as bases and acids, and recyclable catalysts are important for achieving sustainability.

CO<sub>2</sub> to MeOH is most efficient when alcohols or amines are used first to convert CO<sub>2</sub> to formamides, alkyl formates, or carbamates, which are further reduced to obtain methanol. Generally, homogeneous catalysts are not as highly active in hydrogenation beyond the process leading to formate; however, some Ru<sup>II</sup> complexes exhibit activity in amine-assisted methanol synthesis from CO<sub>2</sub><sup>12</sup> and in direct CO<sub>2</sub> conversion to methanol.<sup>13</sup> Further catalyst development is required, particularly those that exhibit high activity at mild operating conditions and are derived from abundant sources or waste metals recovered from mine tailings or e-waste. The quest to develop efficient and inexpensive catalysts based on earth-abundant metals has led to several catalysts, including Fe, Mn, Co, and Cu, with promising activities in CO<sub>2</sub> hydrogenation to formate, methanol, and formamides.<sup>14,15</sup> Some catalysts show greater activities than known noble metal catalytic systems.<sup>16</sup> Although several catalytic systems show high activity, further development of highly active catalytic systems into chemical processes is less explored. If the main goal is to create innovative homogeneous catalytic hydrogenation systems that might lead to practical schemes for recycling CO<sub>2</sub>, efforts should also be directed toward process design such as in the following examples: (1) the continuous-flow hydrogenation of supercritical CO<sub>2</sub> to produce pure formic acid in a single process that incorporates easy separation of the solvent, product, and catalyst<sup>17</sup> and (2) the synthesis of formamides in a miniplant scale catalyzed by a Ru pincer complex.

The hydrogenation of CO<sub>2</sub> into CO, CH<sub>4</sub>, CH<sub>3</sub>OH, dimethyl ether, olefins, aromatics, hydrocarbons, and higher alcohols has been extensively studied in the field of heterogeneous catalysis. Numerous catalysts based on metals, oxides, and their alloys, such as Cu, Ru, Rh, Pt, Pd, Au, Ir, Co, Fe, Ni, Re, and In, have been developed for the CO<sub>2</sub> hydrogenation reaction, especially targeting higher activity and selectivity. CO<sub>2</sub> conversion is limited by the thermodynamics, but the product selectivity can be fine-tuned by catalyst design to avoid the thermodynamically most stable product methane<sup>18</sup> and by chosen operating conditions, such as low temperature and high pressure to increase methanol yield under supercritical flow conditions.<sup>19</sup> The classical methanol synthesis (from CO/H<sub>2</sub>) catalysts, based on Cu/ZnO/Al<sub>2</sub>O<sub>3</sub>, have also been investigated and improved for the direct hydrogenation of CO<sub>2</sub> to methanol, while a remarkably productive process for the synthesis of methanol was achieved at high pressure and by increasing the H<sub>2</sub>/CO<sub>2</sub> feed ratio.<sup>20</sup> Combined with zeolites, one-step integrated processes for the CO<sub>2</sub> conversion into dimethyl ether and other liquid products are achievable. Several studies have not only addressed the catalysts and process-technical aspects but also provide insightful views on the reaction thermodynamics, mechanism, and kinetics from theoretical studies. The literature is vast, but development of efficient catalysts to break the thermodynamic barriers of CO<sub>2</sub> hydrogenation reaction continues to be of extreme importance.

Lastly, as we continue to develop new catalysts, solvents, adsorbents, membranes, and other materials toward utilizing CO<sub>2</sub> and renewable or recycled carbon as primary feedstocks for sustainable future, we foresee new challenges in terms of

operability, safety, controllability, flexibility, resilience, and system integration of new processes and plant configurations that will use these materials.<sup>21</sup> Process system engineering methods and tools will play important roles in balancing the trade-offs between various economic, environmental, and sustainability goals. Specifically, we need to be cognizant about the variability, uncertainty, and spatiotemporal distribution associated with CO<sub>2</sub> sources. The selection of appropriate CCUS materials, processes, and technologies will largely depend on the CO<sub>2</sub> source compositions and flow rates and the distances between sources and utilization sites.<sup>22</sup> Synergistic integration of renewables and flexible carbon capture with individual fossil power plants will need to be considered. Furthermore, just focusing on converting CO<sub>2</sub> to chemicals will not be economically sustainable in the long term, since the large volume of available CO<sub>2</sub> is likely to saturate the chemical market quickly. A more sustainable pathway would involve combining CO<sub>2</sub> with renewable hydrogen toward producing alternatives to liquid transportation fuels and plastics. Overall, a holistic approach with a systems perspective will be necessary to determine the optimal pathways following informed decisions through systematic techno-economic and life cycle analysis (TEA and LCA). Model-based and computer-aided multiscale design, simulation, and optimization of molecules, processes, and supply chains will be useful, all in conjunction with experimental synthesis and scale up.

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## Notes

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