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# 2 THE USE OF STEEL IN THE UNITED KINGDOM'S TRANSPORT SECTOR:

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# A STOCK-FLOW-SERVICE NEXUS CASE STUDY

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# 12 Abstract

- 13 Energy and material flows and material stocks are key requirements for the supply of goods and
- 14 services, which in turn support societal development. However, most resource accounting methods
- 15 restrict the analysis to resource flows, which fails to acknowledge the increasing role of in-use stocks
- 16 in service provision. Using the UK transport sector as a case study, we undertook a material flow
- 17 analysis through the lens of the stock-flow-service nexus, which we used to identify the extent of
- 18 which steel consumption and accumulation in vehicles contributed to passenger mobility between
- 19 1960 and 2015. Our results show that the efficiency of the steel stock contained in cars and
- 20 motorcycles decreased from 37.5 to 28.0 passenger-km/kg-year. The steel service for buses
- 21 decreased from 63.6 to 32.1 passenger-km/kg-year while that of the national railway increased from
- 22 23.8 to 70.3 passenger-km/kg-year steel. London Underground steel stock service efficiency improved
- 23 from 31.5 to 57.0 passenger-km/kg-year steel. The annual fraction of flows that maintained the steel
- 24 stock varied according to vehicle category and was between 3.4 and 8.2 percent. In terms of the
- 25 stock expansion rate, the greatest change (on average, an annual increase of 3 percent) was that of
- 26 *"cars and motorcycles". This reflects the demographic transitions and the growing consumer demand*
- 27 for car-based mobility. We discussed how the stock-flow-service nexus contributes to a more
- 28 comprehensive form of resource accounting and reflect upon some of its limitations and how they
- 29 can be addressed.

- *Keywords*: resource nexus, mobility-as-a-service, sustainable materials, resource efficiency, UK
   transport
- 33

# 34 **1. Introduction**

35 Sustainable development depends on the societal provision of energy and material flows and 36 material stocks within planetary boundaries (O'Neill et al., 2018; Steffen et al., 2015). Material flow 37 analysis (MFA) is a methodological framework that accounts for resource use from extraction to 38 disposal (Haberl et al., 2019). It has reached a level of maturity and is accepted by policymakers 39 involved in sustainable resource use (Fischer-Kowalski et al., 2011; Krausmann et al., 2017a; Schandl 40 et al., 2017). The European Union, for example, requires that its Member States provide official MFA 41 data, as mandated by the EU Regulation No 691/2011 (European Parlament, 2011). Various 42 standardised economy-wide material flow accounting principles and methodological guidelines are 43 used at the national, regional and global level (including Eurostat (2018), OECD (2008), UNEP (2018a, 2018b). 44

45 MFA considers all material flows (except air and water) that support societal activity, measured in 46 physical units (e.g. kg/yr)(Mayer et al., 2017; Schaffartzik et al., 2014). However, most practitioners 47 neglect stock or only include it as "net additions to stocks" so as to balance system inputs and 48 outputs. This means that long-term material accumulation is not captured and that the significance 49 of material assets in socioeconomic development is often overlooked. This is problematic as more 50 than 50 percent of annual global resource consumption is constituted by stock-building materials 51 such as aggregates, metals and plastics (Krausmann et al., 2017b; Wiedmann et al., 2015). In this 52 regard, sustainability policies are incomplete if they do not consider societal dependency on stocks 53 as well as flows (Weisz et al., 2015). Correspondingly, the quantification of material stocks has 54 become an increasingly integral part of MFA research (Pauliuk and Müller, 2014; Wiedenhofer et al., 55 2019). One particular MFA approach, which captures the relationship between stocks, flows and the services they provide, is the stock-flow-service nexus proposed by Haberl et al. (2017). In the latter 56 57 paper, it was argued that a nexus-based analysis would result in a more complete picture of social 58 metabolism and resource efficiency. Here, we expand upon Haberl et al. (2017)'s proof of concept 59 and operationalise it using the UK transport sector as a case study. Specifically, we model the steel 60 stocks and flows required to support UK passenger mobility between 1960 and 2015. Based upon 61 our results, steel appears to act as a good proxy for total vehicle stock efficiency (see Section 4.3). 62 Steel is selected because it is the world's most consumed metal and 200 kg of liquid steel per capita 63 are produced annually (Allwood, 2016). In addition, it accounts for 7 to 9 percent of energy-related

carbon emissions and is a key component of the many structures involved in almost all services,
including transport (Allwood et al., 2010; Allwood, 2016; Pauliuk et al., 2013a). It is thus a key
material to consider when it comes to framing sustainability and supporting the circular economy.
The UK is used as an example because of its good quality and more easily accessible data, including
that sourced from the literature review (e.g. Cabrera Serrenho and Allwood, 2016; Krausmann et al.,

69 2008; Streeck et al., 2020).

70 This paper's nexus interactions are quantified using five indicators which we refer to as "stock

71 efficiency", "stock degradation efficiency", "stock maintenance rate", "stock expansion rate" and

72 "specific embodied impact". These metrics are derived from pre-existing analysis that has been

73 undertaken within the field of Industrial Ecology. For example, our "stock maintenance rate"

74 captures and formalises Wiedenhofer et al's. (2015) and Nguyen et al's. (2019) respective

75 measurement of the amount of material used for stock maintenance purposes relative to the total

amount of in-use stock. Strictly speaking, none of the five indicators are new per se but, to our

77 knowledge, they have not been used in an integrated manner to assess resource consumption and

accumulation from a service perspective, nor have they been applied to a nexus framework.

79 Therefore, the aims of this present paper are: (1) to measure the connection between stocks and 80 flows and the services they provide using the nexus proposed by Haberl et al. (2017); and (2) to 81 identify the benefits and potential shortcomings of the stock-flow-service nexus when integrated 82 into sustainability initiatives. The authors do not pretend that this paper is a complete analysis of the 83 UK transport sector. For instance, marine and non-motorised forms of transportation are not 84 included nor are the materials required to construct and maintain transport infrastructure (e.g. 85 roads, rails and airports). Likewise, non-material inputs such as finance and human resources, which 86 are evidently required for transport systems to function, are beyond the scope of this paper.

87 2. Stock-Flow-Service Nexus: Key Concepts

# 88 2.1 Material Flow and Stock Accounting

MFA is a quantitative tool used to investigate the throughput of materials from extraction to manufacture, use, recycling and disposal. The method involves the quantification of all material and energy inputs, stocks and outputs required for the functioning of a socioeconomic system (Baccini and Brunner, 2012; Bringezu and Moriguchi, 2018; Fischer-Kowalski et al., 2011). A practitioner can use it to model, understand and optimise resource management with the exact choice of method dependent on the study's scope and aim (Müller et al., 2014; Schwab and Rechberger, 2018). For example, a dynamic MFA approach explicitly considers the evolution of material stocks and flows 96 through time (e.g. Chen and Graedel, 2012). It can be used to assess cross-sectoral interactions,

- 97 historical consumption patterns, trade and the build-up of material stocks. It can also facilitate
- 98 future resource demand projections and identify which materials are critical for the achievement of

99 international, national and regional policy targets (Cao et al., 2018; Turner and Poldy, 2001).

100 Two methods can be applied to model stocks in a dynamic MFA (Gerst and Graedel, 2008). The first 101 is the inflow-driven approach, whereby material stocks are calculated by summing the annual 102 difference between inflows (consumption) and outflows (e.g. waste) (Wiedenhofer et al., 2019). The 103 latter can be calculated via a lifetime distribution function (probability density function) from an 104 economic (e.g. Böhringer and Rutherford, 2008; Lennox et al., 2004) or a biophysical (e.g. Elshkaki et 105 al., 2016) perspective. The second method is the stock-driven approach, which obtains stock values 106 by adding together the quantities of materials in a given stock, at a given time, based on the data 107 describing the stock. For example, the mass of a particular building stock can be estimated using 108 appropriate factors linked to floor area (as is the case in Pauliuk and Müller, 2014).

109 Stock modelling has predominantly focused on metals (Chen and Graedel, 2012), although there are 110 an increasing number of studies that cover other materials including asphalt, concrete, sand and 111 gravel (e.g. Miatto et al., 2017; Nguyen et al., 2019; Wiedenhofer et al., 2015). Hatayama et al. 112 (2010) modelled the steel accumulated in buildings, infrastructure and vehicles on a global scale, 113 while Müller et al. (2010) accounted for the national steel stock in eight developed countries for 114 construction, transport, appliances and machinery. Pauliuk et al. (2013c) expanded the 115 aforementioned paper by analysing 200 countries. Cabrera Serrenho and Allwood (2016) measured 116 the stock demographics of the UK car industry for 2002 to 2012. All such models have been helpful 117 in identifying how stocks evolve over time and within different system boundaries, including at the 118 global, national and sector level.

#### 119 2.2 Material Services

The material services concept developed by Carmona et al. (2017) and Whiting et al. (2020) is one way of getting closer to the actual purpose behind material flows and stocks. It builds on the concept of energy services, which recognises that consumers do not demand energy for its own sake, but rather use it as means to achieve certain end states or benefits that have the potential to contribute to their wellbeing (Cullen and Allwood, 2010; Fell, 2017; Haefele, 1977; Kalt et al., 2019; Lovins, 1976; Nakićenović et al., 1993). Whiting et al. (2020) define material services as: 126 *"Those functions that materials contribute to personal or societal activity with the purpose of*127 obtaining or facilitating desired end goals or states, regardless of whether or not a material flow or
128 stock is supplied by the market."

129 In other words, material services are delivered by specific stock-flow combinations, but not all stocks or flows are transformed into material services, such as "shelter", "illumination" and "thermal 130 131 comfort". A specific combination of stocks and flows results in a service when it fulfils a defined 132 purpose desired by an end-user. Not all material services contribute to Gross Domestic Product 133 (GDP) because the creation of income is not indicative of service provision. This means that it is 134 possible to distinguish economic activity from the material services offered to individuals or society 135 at large. This opens up the concept's application to traditional or alternative forms of community 136 and trade, including those which existed in historic or prehistoric settlements (Whiting et al., 2018). 137 Evidently, ancient people did require material services but did not have what we would recognise 138 today as a market mechanism for their provision (see Whiting et al., 2020).

To the extent that material services can be expressed in physical units, the efficiency of specific processes can be calculated as the ratio between the service metric and the corresponding stocks and flows. This ratio then gives an indication of how the average user experiences the stock-flowservice interaction. For example, fuels (flows), vehicles and road infrastructure (stocks) are required to move a person or a commodity from Point A to Point B (mobility as a service).

144 There are various units that could be employed to measure a material service. However, it is 145 particularly appropriate to select ones which are already commonly used, and well understood by, 146 those working in the sectors that a given service covers. For example, if one aims to measure 147 "illumination", as a material service, it makes sense to use lux, lumens-hours or candela per square 148 metre, as they are frequently used to gauge light intensity or quantity. The added value of the 149 material service approach is that it takes those lighting outputs and frames them in a way that 150 highlights the efficiency of resource consumption (flows) and accumulation (stock) relative to service 151 output. For "mobility" it makes sense to use passenger-kilometres (pkm) to measure the carrying 152 capacity of, and distance travelled by, a vehicle, as this already is standard practice for transport 153 authorities who wish to compare public transport efficiency before and after a policy change.

In many cases, material service units are proxies, which do not capture all relevant aspects of service
provision. For example, a high number of pkm is not necessarily indicative of good service quality.
On one hand, it could mean that the transport network is large, which allows a person to travel

157 further to pursue their aims. On the other hand, it might also signify that the transport system is so

overcrowded that a person cannot enter a carriage when a train stops at their station. In this 158 159 respect, bigger is not always better. In fact, one could argue that a transport service of the highest 160 quality enables a person to travel fewer kilometres and still achieve their end goal. In other words, 161 service units are value neutral and it is for policymakers and end users to decide together whether a 162 high pkm is desirable or not. It may be that a transport authority proposes policy measures that 163 would decrease carrying capacity because the public demands increased safety. Therefore, practitioners need to be careful when interpreting service units and recommending a course of 164 165 action. One way to avoid erroneous conclusions would be to conduct a comprehensive literature 166 review and contextual analysis prior to reporting on material service results (see Sections 4.3.1 to 167 4.3.3).

#### 168 2.3 The stock-flow-service nexus

The term "nexus" is commonly applied by academics and policymakers to identify the complex 169 170 interconnections that exist between different types of resources (Font Vivanco et al., 2019; Williams 171 et al., 2014). It is typically used to explore the effect of the socioeconomic system on natural 172 processes and vice versa, in order to improve resource management (Bleischwitz and Miedzinski, 173 2018). The nexus concept enables researchers to pinpoint synergies or trade-offs and anticipate 174 potential threats and critical thresholds (Bizikova et al., 2013; Cohen et al., 2004; Howells et al., 175 2013). These advantages have resulted in the promotion and application of the nexus idea by several 176 governments and international organisations interested in sustainable development (Nexus, 2016; 177 UN-Water, 2016). Common nexus examples include "water-energy", "water-energy-food", and 178 "water-energy-land-food" (e.g. Biggs et al., 2015; Ringler et al., 2013; Siddiqi and Anadon, 2011). 179 There are also nexus approaches that incorporate materials. These include the "urban nexus", which 180 considers the interplays between energy, water, food and waste flows (Lehmann, 2018), and a 181 pentagonal nexus, referred to as the "resource nexus", which takes into consideration energy, food, 182 land, water and materials (Bleischwitz and Miedzinski, 2018; Font Vivanco et al., 2018). None of the 183 aforementioned concepts consider material stocks or the services that resource flows and stocks 184 provide. The problem with limiting the scope to flows is that this does not allow policymakers to 185 ascertain the role of stock accumulation nor inform them as to what the resources are actually used 186 for.

The stock-flow-service (SFS) nexus captures the interconnections between flows, in-use stocks and
material services (Haberl et al., 2017). As Figure 1 shows, these interactions are not necessarily
linear or commeasurable. For example, car-based mobility requires fuel, a vehicle and road

- 190 infrastructure (arrows labelled 1 in Figure 1). These relationships can be expressed as efficiencies,
- 191 e.g. number of passenger-km provided by the car.



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#### Figure 1. A development of the SFS nexus based on Haberl et al. (2017)

In the case of transport, fuels have two primary uses: 1) provision of the energy required for the 194 195 mechanical drive that supports vehicle mobility and, 2) the energy input for vehicle manufacture and 196 road construction. They do not have any further interaction within the nexus, unless society deems 197 them pollution and chooses to clean them up (arrows labelled 5 in Figure 1). Stocks have a greater 198 influence on the SFS nexus because of their longer lifecycle, which is supported by the 199 maintenance/upgrade and expansion of those flows necessary for the continuation or enlargement 200 of vehicle stock or road infrastructure (arrows labelled 2 and 3). The material aspects of maintenance and expansion include lubricants, spare parts, new road surface and new vehicles. The 201 202 bigger the stock, or degree of its depreciation, the more flows are required to maintain or increase 203 it. This, in turn, has an impact on how the average user experiences (or perceives) mobility as a 204 service. That said, looking at stocks and flows in isolation can be misleading, especially during policy 205 or technological transitions (e.g. changing from buses to trams), which may effectively reduce stock 206 levels and increase waste flows whilst improving overall service provision. This is why a nexus 207 perspective is helpful when designing and enacting wholescale changes.

Table 1 identifies various indicators that been proposed by researchers to assess resource use in other contexts (e.g. environmental footprint measurements) and which could be used to evaluate the SFS nexus interactions. It is important when considering resources from a nexus perspective that all interactions are measured by at least one indicator and that its selection is explained and supported. The table does not offer an exhaustive list of potential indicators, but rather highlights examples that we judge to be worthy of consideration.

# 214 Table 1. Potential indicators for assessing the SFS nexus interactions

Interaction	Components	Potential Indicators	Key References	
		Material input per service (MIPS): service delivered by	(Mancini et al., 2012;	
		material consumption.	Ritthoff et al., 2002)	
		Material intensity: material required per unit of service	(Miatto et al., 2017;	
		(or other type of benefit e.g. GDP). The inverse	Schandl et al., 2017;	
		Environmental footprints: estimates the environmental	wang et al., 2017)	
		impact of product service or consumer demand	(Dewulf et al. 2007:	
		(includes the different impact categories of conventional	Klinglmair et al	
	Service-Flow	life cycle assessment and may be combined with input-	2014; Mancini et al.,	
		output techniques). It can also be expressed in terms of	2015)	
		the life cycle inventory and resource footprints.		
Service delivery		Flow efficiency: the amount of material flow that is	(Whiting et al., 2020)	
		consumed to provide a unit of service.	(	
		Impact efficiency or eco-efficiency: measures benefits	(Huysman et al.,	
		(e.g. services) relative to life cycle inventoried inflows or	2015)	
		inipacts.	(Nauven et al. 2010)	
		Stock efficiency or material stock per service (MSPS):	Pauliuk. 2018: Pauliuk	
		service delivered by stock in the use phase. Also referred	et al., 2013b; Whiting	
	Service-Stock	to as Stock-Service Productivity.	et al., 2020)	
		Material stock intensity: material required per unit of		
		service (or other type of benefit e.g. GDP). The inverse	(Dombi, 2019)	
		calculation is known as stock productivity.		
		Old scrap collection rate (CR): measures the End-of-Life	(Graedel et al., 2011)	
		(EOL) metal contained in various discarded products.		
		a recycled product that is returned to raw material	(Graedel et al. 2011)	
		production processes.		
		Capital-augmented material footprint (CAMF): amount		
		of materials embodied in the capital goods represented	(Södersten et al.,	
		as the fraction of material footprint dedicated to stock	2020)	
		maintenance and/or expansion (CAMF/MF).		
Charle		Percentage of flow relative to stock:	(Norman et al. 2010	
SLOCK		stock maintenance: refers to the material inputs for the	(Nguyen et al., 2019; Wiedenhofer et al	
and/or Stock	Stock-Flow	Stock expansion: concerns the inflows required for the	2015)	
expansion		expansion of stocks relative to total in-use stock.		
		Non-structural material replacement flows as a fraction		
		of the total material stock: represents the material flow	(Stephan and	
		required to maintain stock via the replacing of non-	Athanassiadis, 2018)	
		structural materials.	(1)	
		Waste fraction: measures the quantity of EoL material	(Haas et al., 2015;	
		diverted into waste or recycling relative to in-use stock.	2015)	
		Stock construction and maintenance fraction: proportion	2013)	
		of material that goes into stocks relative to total material	(Miatto et al., 2017)	
		consumption.		
Stock or flow degradation (downgrading)	Service-Flow		(de Magalhães et al.,	
		Material waste per service unit: measures the quantities	2017;	
		of waste generated per service unit.	Papargyropoulou et	
		Material output intensity: system performance (o.g.	di., 2010)	
		economic performance) relative to the material losses to	(Matthews et al.,	
		the environment.	2000; OECD, 2008b)	
		Emission efficiency: benefits in relation to the material	(European Camiaian	
		losses to the environment. The scope could be gate-to-	2019: Huvsman et al	
		gate, the entire lifecycle, or at the sector or national	2015, Huysman et al., 2015)	
		level.	2013)	

Interaction	Components	Potential Indicators	Key References
Stock or flow embodied impact	Stock-Flow	Life cycle environmental requirement of stocks: accounts for the total impact throughout the stock lifecycle including construction, maintenance and disposal.	(Stephan and Athanassiadis, 2017)
		Embodied impact of stock: summation of all the resources required or emissions generated to create and accumulate stocks (extraction, processing and manufacturing) from year 0 to year n.	(Cheah et al., 2009)
	Flow-Flow	Resource intensity: resources required to create a unit of flow. Indicators include the energy intensity of a given material.	(Gutowski et al., 2013)
		Resource efficiency: ratio of useful outputs (including by- products) to all resources consumed directly by a system (or process).	(Carmona et al., 2019; Hernandez et al., 2018)

215

# 216 **3. Methods**

### 217 3.1 Quantifying Flows and Stocks

We created an inflow-driven dynamic material stock model, similar to that proposed by Müller et al.
(2014), to calculate the steel employed in vehicles used in the UK transport sector from 1900 to
2015 (Equation 1).

221 
$$M_{Stock[N]} = \underbrace{M_{Stock[0]}}_{Initial\ stock} + \underbrace{\sum_{n=1}^{N} M_{Inflow[n]}}_{Inflow} - \underbrace{\sum_{n=1}^{N} M_{Inflow[n]} \cdot f_{[n]}}_{Outflow\ (End\ of\ Life,\ M_{Outflow[n]})}$$
(1)

In this formula,  $M_{Stock[N]}$  is the in-use stock at time N,  $M_{Stock[0]}$  is the in-use stock at time 0 and  $M_{Inflow[n]}$  represents the measured or calculated steel inflows into UK newly registered vehicles in year n. The outflows ( $M_{Outflow[n]}$ ) are calculated via a residence time model using a convolution integral (see Müller et al., 2014) and are derived from  $M_{Inflow[n]}$  and the probability density of a lifetime distribution function assigned to each vehicle category ( $f_{[n]}$ ). The latter follows a Weibull distribution.

The model contains seven transport sub-categories: "cars and motorcycles", "trucks", "buses", 227 228 "national trains", "London Underground", "aircraft" and "ships". Although beyond of the scope of 229 the paper, which is focused on domestic passenger mobility, trucks and ships were included in this 230 model to ensure a complete tracing of steel inflows. Category inflows constitute the quantity of 231 annual steel production diverted into vehicles destined for UK ownership. It does not include the 232 steel contained in infrastructures (e.g. reinforced concrete). The UK steel consumption data were gathered from Dahlström et al. (2004), Pauliuk and Hasan (2017) and the World Steel Association 233 234 (2018, 2014).

In the case of the steel used to operate "national trains", 20 percent was allocated to rolling stock
(vehicles) for passenger mobility, 20 percent to freight and 60 percent to rail track. For "London

237 Underground" allocation was split equally between tracks and carriages. These percentages were

based on the information available for 2015 (DfT, 2018a). Steel stock allocation was also required for

- aviation. This was done based on the proportion of passenger-kilometres relative to tonne-
- kilometres, after the latter was converted into its passenger-kilometre equivalent. The Civil Aviation
- 241 *Authority* standard of 80 kg equals one passenger was used as the conversion factor.

For validation purposes, we calculated the in-use stock of steel for each year (*M*<sub>stock[n]</sub>) via a dynamic

- stock-driven model for all categories except "national trains" and "London Underground". The
- values were derived from the total number of vehicles registered and the average steel composition
- for each category which, with the exception of "aircraft", was between 60 and 80 percent. Where
- the annual difference between the respective results obtained via the two models was more than
- 247 +/-20 percent for the same category, we adjusted the outflow of the previous year so that the
- 248 inflow-driven model's values matched those of the validation.

Following equation 2, we accounted for the energy embodied in stocks, where  $e_{Inflow[n]}$  represents the energy intensity required to produce the primary or secondary steel that is diverted into newly registered UK vehicles ( $M_{Inflow[n]}$ ). The value of  $e_{Inflow[n]}$  is calculated using the relative proportion of primary and secondary steel production for a specified year. All other variables are identical to those expressed in Equation 1.

254 
$$E_{Stock[N]} = \underbrace{E_{Stock[0]}}_{Initial \ embodied \ impact} + \underbrace{\sum_{n=1}^{N} M_{Inflow[n]} \cdot e_{Inflow[n]}}_{Embodied \ impact \ of \ Inflow} - \underbrace{\sum_{n=1}^{N} M_{Inflow[n]} \cdot f_{[n]} \cdot e_{Inflow[n]}}_{Embodied \ impact \ of \ Inflow}$$
(2)

255

We assumed that the energy required to manufacture the steel used by the UK transport sector was identical to the energy demands required for British steel production, even though we are aware that not all steel contained in UK registered vehicles was domestically produced. However, this assumption enabled practical calculations to demonstrate the approach. More information about model data sources, parameters, validation and adjustments are presented in Sections S1 to S4 of the Supplementary Information S1.

# 262 **3.2 Quantifying the Transport Service**

We used the passenger-km data reported by the *Department for Transport* (DfT) to account for road travel within national borders by UK registered vehicles (DfT, 2018b). In the case of aviation, we used data from the *Civil Aviation Authority* to account for the transport service provided by UK registered

- aircraft (CAA, 2018). The passenger-kilometres associated with sea journeys (including ferrycrossings) were not calculated.
- 268 To better understand the nature and significance of the results, we undertake a contextual analysis.
- 269 This involves a desk study into the major political, social, and technological transitions that directly
- 270 or indirectly affected the UK's transport service during the period. Key examples include
- 271 privatisation of public transport, changes to national transport legislation and the increased
- integration of women into the workforce.

#### 273 3.3 Stock-Flow-Service Efficiency Indicators

274 Of the 22 potential indicators identified in Table 1, we selected five to measure the SFS nexus 275 interactions (Table 2). The stock efficiency indicator (taken from Whiting et al., 2020) shows the 276 relationship between passenger mobility and the amount of steel contained in vehicle stock. The 277 stock degradation efficiency depicts the coupling between the physical depreciation of that steel 278 (waste) and service delivery. It is adapted from the emission efficiency indicator proposed by 279 Huysman et al. (2015). The only difference between their metric and ours is that we restrict waste 280 outflows to those linked to stocks. The stock maintenance rate (taken from Wiedenhofer et al. 2015 281 and Nguyen et al. 2019) identifies the minimum fraction of steel inflow required by a service 282 provider to maintain vehicle stock. Steel stock fluctuation over the duration of the case study is 283 captured by the stock expansion/contraction rate, which is likewise taken from Wiedenhofer et al. 284 (2015) and Nguyen et al. (2019). The specific embodied impact (taken from Cheah et al. 2009) calculates the amount of energy inputs associated with the steel inflows or steel stocks that provide 285 286 a service. It is key to identifying the most efficient production route for goods manufacture. We 287 calculated the stock specific embodied impact and compared it to the flow specific embodied impact 288 evaluated by Carmona et al. (2019).

- In all cases, the indicators in Table 2 were selected because: (1) they measure service units (e.g.
- 290 pkm) rather than economic performance (e.g. GDP) or wellbeing (e.g. human development index)
- and (2) they measure total stock (e.g. tonnes) rather than their equivalent in flow terms (e.g.
- 292 tonnes/year). These criteria rule out the division of stocks by expected lifetimes, which is a common
- 293 practice in Life Cycle Assessment (LCA) and an integral component of the MIPS indicator (see for
- example Spielmann et al. (2007) and Saari et al. (2007).
- 295

Interaction	Indicator	Description	General Equations	Case study application
Service delivery (Stock-Service)	Stock efficiency	The amount of stock required to provide a unit of service	$\frac{Serv.}{M_{Stock}}$ (3)	Service (passenger-km/year) Steel stock (kt)
Stock degradation (Flow-Service)	Stock degradation efficiency	The amount of stock that degrades (worn out/made obsolete) to provide a unit of service	Serv. M <sub>Outflow</sub> (5)	<u>Service (passenger-km/year)</u> (6) Steel outflow (kt/year)
Stock maintenance (Stock-Flow)	Stock maintenance rate	Fraction of material required to maintain stock at a specified level	$\frac{M_{Outflow}}{M_{Stock}}(7)$	Steel ouflow (kt/year) Steel stock (kt)
Stock expansion (Stock-Flow)	Stock expansion (or contraction) rate	Fraction of material stock growth (>0) or degrowth in a given period	M <sub>Inflow[n]</sub> -M <sub>Outflow[n]</sub> M <sub>Stock</sub> (9)	Steel Inflow (kt/year)– Steel ouflow (kt/year) Steel stock (kt)
Stock embodied impact (Stock-Flow o	Flow or stock specific embodied	The amount of resources consumed, or pollution embodied, in a stock	Estock Mstock (11)	Embodied energy in steel stock (GJ) Steel stock (kt) Note: Energy intensity (GJ/t) is a traditional
Flow-Flow)	impact	(or flow)	$\frac{E_{Inflow}}{M_{Inflow}}$ (12)	embodied impact. See for example Carmona et al. (2019) or UK Steel (2018).

# 296 Table 2. Selected SFS nexus indicators

297 Abbreviations: Serv.: Material service, M<sub>stock</sub>: Material stock, M<sub>inflow</sub>: Annual material inflow, M<sub>Outflow</sub>: Annual material outflow, E<sub>stock</sub>:

298 Embodied impact in stock. E<sub>Inflow</sub>: Embodied impact in a flow. Intensity proxies are used to calculate the following metrics: "Stock

299 efficiency", "Stock degradation efficiency" and "Flow efficiency". This is because material services are measured relative to societal

300 activities whilst flows and stocks are measured in mass.

# 301 **3.4. Sankey Representation and Sensitivity Analysis**

302 Sankey diagrams are a useful tool for the visualisation of the amount and proportion of material and

303 energy flows within a system. They allow practitioners to identify resource efficiencies,

transformations and allocations (Lupton and Allwood, 2017). We depict the steel stocks and flows

required by the UK transport sector in 2008. This year was selected because we had more data

306 regarding the material efficiency of steel production, manufacturing and recycling, which resulted in

307 a more comprehensive diagram. We used a Sankey diagram to show how inflows are directed into

308 stock for its maintenance, upgrade or expansion. For completeness, we also include energy and the

309 associated carbon dioxide flows required to produce steel inflows. The scope for the embodied

310 impact flows corresponds to the following processes: coke production, sintering, furnace operation

- 311 (blast, basic oxygen and electric arc), refining and electricity generation (all values taken from
- 312 Carmona et al., 2019).
- A comparison of our results relative to other studies is presented in Section S6 of the Supplementary
- 314 Information S1. We also perform a sensitivity analysis by arbitrarily increasing or decreasing the year
- 315 on year steel inflow, material efficiency of product manufacture and vehicle lifetime expectancy
- 316 within a range of +/- 10 percent (Supplementary Information S1: Section S7).

#### 317 4. Results and analysis

#### 318 4.1 Stock-Flow-Service Sankey Diagram

- 319 The Sankey diagram, presented in Figure 2, highlights the relationship between the flows (lines),
- 320 stocks (rectangles) and services (circles) supported by steel for the UK's passenger transport in 2008.
- 321 The flows and stocks contained within the red dashed area do not follow the criteria of a
- 322 conventional Sankey diagram; there are two reasons for this. Firstly, flow inputs are not equal to
- their outputs. This is because for non-fuels, as opposed to fuels (which are not depicted here), inputs
- 324 are likely to accrue as stocks and may leave the system many years after their expected lifetime.
- 325 Secondly, flows and services do not share the same units. For example, the modelling of stock
- 326 efficiency for the national railway involves an inflow and two outflows (coloured green and orange).
- 327 The inflow constitutes the steel used for the maintenance, upgrade or expansion of rolling stock. The
- 328 green outflow is the end of life steel that is either diverted into landfill or recycled. The orange
- 329 outflow is the amount of pkm of passenger transport.
- 330 The means of transport that consumed the most steel, as represented by the thickness of the green
- 331 lines, were "cars and motorcycles" followed by "buses". Therefore, in 2008, when it comes to
- transport, the UK preferred to divert its steel into cars. However, in terms of conversion from stocks
- into services, "national railway", "London Underground" and "aircraft" (domestic flights) are much
- more efficient, as represented by the size of the orange circle relative to the thickness of the green
- block. This efficiency is also represented by the stock efficiency indicator (grey tap symbol).



338 Figure 2. Sankey diagram representing the steel flows-stocks-service in the UK's passenger transport sector for 2008. Note: 339 Aircraft refers to domestic flights only.

340

In 2008, 55 percent of the iron and steelmaking sector's non-fuel material inputs (the principals 341 342 being iron ore, limestone and scrap steel) were converted into crude steel, of which 75 percent was 343 transformed into vehicle components (e.g. galvanized cold rolled coil). In addition, 75 percent of 344 refined steel was embodied in vehicles (USGS, 2011; World Auto Steel, 2020). The remaining non-345 fuel inputs were converted into waste or by-products such as slag, dust and sludge, which were then diverted into other industries (ochre coloured line). Most end of life steel was recycled. The scrap 346 347 was reused either in the steel sector or elsewhere.

#### 348 4.2. Steel stock and service evolution

From 1960 to 2015, passenger mobility increased from 270 to 784 billion pkm. Figure 3a shows the 349 pkm breakdown according to transport category. In 1960 public transport constituted 44 percent of 350 pkm. By 2015 this had dropped to 15 percent. The "cars and motorbikes" category appears to reach 351

352 a maturation point in 2005, where service provision stabilises. The UK's steel stock employed in 353 transport increased between approximately 6.8 and 25.9 Mt (Figure 3b). "Cars and motorbikes" steel 354 stock represented 59 percent in 1960 and 91 percent in 2015. Overall, the total steel contained in 355 "car and motorcycles" increased from 4 Mt in 1960 to 26 Mt in 2015. As previously noticed by MacKenzie et al. (2014) and Cabrera Serrenho and Allwood (2016), the observed increase in steel 356 357 stocks was solely due to an increase in the number of cars on the road and not related to changes in vehicle weight. The proportion of motorcycles relative to cars fell substantially over the period. In 358 359 1960, for every three cars on the road there was one motorcycle, whereas the ratio was 23:1 in 360 2015.



361

Figure 3. Steel stock and service evolution UK's passenger transport (1960-2015). 3a) Annual passenger-kilometre as proxy
 of service delivery. Source: (CAA, 2018; DfT, 2018b). Notes: (\*) The "car/motorbikes" category is plotted on left axis whilst
 the others are plotted on the right axis. 3b) Steel stocks for UK's passenger transport; (\*\*) "Aircraft" refers to domestic
 flights only. The underlying data used to create this figure can be found in Supplementary Information S2.

366 The steel contained within trains was 1.5 Mt in 1960 but had reduced to 1.0 Mt by 2015. In fact, for 367 forty-eight years of the 56-year period analysed, the steel contained in stock declined. The sharpest 368 decline can be directly linked to the Beeching Reports (Beeching, 1965, 1963), which advocated for 369 the closure of less frequently used routes. The service reductions for national rail continued until 370 2010, which was another factor that contributed to the better performance captured by the *stock* efficiency indicator (although this does not mean that the quality of the service improved). The 371 372 amount of steel contained in bus fleets was 1.2 Mt in 1960. In 1980 the steel stock quantity peaked 373 at 1.8 Mt but declined back to 1.2 Mt in 2015. The amount of steel contained in domestic flights-374 related stocks was 3 kt in 1960. This figure stabilised by 2015. That said, steel is not a significant 375 material for this sub-category, as it accounts for approximately 12 percent of a plane's constituents (Mezei and Boros, 2016). The service and stock data for each transport category is included in the 376 377 Supplementary Information S2.

#### 379 4.3. Stock-Service and Flow-Service interactions

380 Figures 4a and 4b capture different efficiencies relative to the service delivery. Figure 4a presents 381 the service provided by steel for passenger mobility relative to stock levels. Figure 4b shows the 382 efficiency of service provision relative to annual end of life flows. Figure 4b follows a very similar pattern to that presented in Figure 4a. Any differences are linked to policy implementation or 383 384 improvements in vehicle life expectancy. The steel stock efficiency in "cars and motorcycles" 385 decreased from 37.5 to 28.0 passenger-km/kg-year. This change was not linear given that the peak of 43 passenger-km/kg-year was reached in 1989. In addition, between 1960 and 2015 the steel 386 387 stock efficiency of "buses" went down from 63.6 to 32.1 passenger-km/kg-year. From 1994 onwards, 388 bus stock efficiency was stable whilst the "national railway" and "London Underground" became more efficient. National rail efficiency increased from 23.8 to 70.3 passenger-km/kg-year. The 389 390 efficiency of "London Underground" went from 31.5 to 57.0 passenger-km/kg-year. Aviation 391 (domestic flights) presented the highest efficiency in terms of service relative to steel stock. This 392 category went from 365 to 3520 passenger-km/kg-year. A comparison of the resource requirement 393 per one passenger kilometre supported by steel is shown in Table S4 of the Supplementary 394 Information S1.



395

Figure 4. SFS nexus efficiency of UK's passenger transport (1960-2015). 4a) Steel stock service efficiency, 4b) Steel stock
 degradation efficiency. Please note efficiencies linked to aircraft (domestic flights) are shown on the secondary axis. The
 underlying data used to create this figure can be found in the Supplementary Information S2.

399

Table 3 shows overall vehicle stock efficiency for 2015 when the material analysed is expanded to
include aluminium, plastics, and other components (e.g. rubber and glass), in addition to steel. In all
cases, the efficiency indicator value of the total stock is (by definition) lower than that of steel alone.
However, in terms of category ranking, "cars and motorcycles" remain the least efficient and
"aviation" (domestic flights) the most efficient. This suggests that steel is currently a good proxy
material for vehicle stock efficiency.

Transport mode	Material (kt)					Stock efficiency		
	Steel	Aluminium	Plastic	Others	Total	Service (10 <sup>9</sup> pkm)	Steel (pkm/kg.year)	All materials (pkm/kg.year)
Cars and motorcycles	23,589	2,950	3,020	9,012	38,571	660	28	17
Bus	1,226	204	150	463	2,043	39	32	19
National Railway	920	383	153	77	1534	65	70	42
London Underground	201	84	34	17	335	11	57	34
Aviation (domestic flights)	2	12	4	1	19	9	3,520	450

#### 406 Table 3. Overall stock efficiency in 2015 upon the expansion of material scope

407

## 408 4.3.1. Cars and motorbikes

409 In 1960, the steel in cars facilitated 56 percent of the road transport passenger-km. By 2015 this had 410 increased to 84 percent, which reflected societal preferences and changes in infrastructure and 411 transport policies. In 1990, there was an inflection point in passenger-km per kilogram of steel, 412 which we think is linked to lower occupancies in cars. In other words, the increase in car ownership 413 led to a decrease in the average number of people travelling in any given car. Arguably, much of this 414 change was due to more progressive attitudes towards women working, especially following childbirth, along with women's increased expectations regarding career trajectory. The Institute for 415 416 Fiscal Studies reports, for example, that female full-time employment, went from 29 percent in 1985 417 to 44 percent in 2017 (Roantree and Vira, 2018). This demographic change is also reflected in the 418 gender split of driving license holders. In 1975, while 69 percent of males held a driving license, only 419 29 percent of females did so. By 2010, 80 percent of males held a license and 66 percent of females 420 (Department for Transport, 2011). Family size also affects these numbers. In 1971, 35 percent of UK 421 households contained dependents, but by 2008 this had reduced to 28 percent (Office for National 422 Statistics, 2009). This means that it is very likely that fewer children are car passengers. In addition, 423 while seat belt fittings had been legally enforced since 1968 (for the front seat passengers) it was not 424 until 1991, due to The Motor Vehicles (Wearing of Seat Belts in Rear Seats by Adults) Regulations, 425 that all car passengers were obliged to wear a seat belt and that the number of passengers was 426 strictly monitored. This led to a reduction in the number of persons transported in any given car, 427 especially with respect to the 1960s.

Occupancy rate declines as disposable income increases because consumers prefer convenience
over monetary savings. In other words, *stock efficiency* decreases because people who would have
previously shared a car no longer choose to do so (Clark, 2012). Occupancy rate went from an

431 average of 2.1 passenger/vehicle to 1.6 passenger/vehicle between 1960 to 2015. This preference is

432 also reflected in the increased number of cars owned by a single family. The reduction in car prices 433 relative to salary, especially once the second-hand market becomes fully established, plays an 434 integral role in encouraging car ownership. This is because it offers access to people who would 435 otherwise not buy a car and does not cause a high percentage of people who prefer to buy new cars 436 to switch to pre-owned vehicles (Thomas, 2003). Since 1991, the price of the UK average car has 437 dropped significantly relative to inflation. In 2001, prices were 20 percent lower compared to 1991 (at constant prices). A decrease in real prices from 1991 to 2009 was also observed (Cambridge 438 439 Econometrics, 2015). During the same period, petrol prices rose but this was compensated by 440 increases in bus and rail fares (Dargay and Hanly, 2007). Improved fuel efficiency may have also 441 offset car price rises. The highest stock efficiency was achieved in 1989 because of an increase in 442 distance travelled per vehicle (17,200 km/year/vehicle). By 2015, this distance had reduced to lower 443 levels than those registered in 1960, going from 14,000 to 13,300 km/year/vehicle. Road 444 infrastructure can also play a part in changing behaviour and reducing steel stock efficiency. For 445 example, in 1986 the London ring road, the M25, rapidly decreased travel time and incentivised car 446 rather than public transport trips. Time is a major component of variable costs in car travel, so 447 increasing access to, and the quality of, roads will induce more private vehicle ownership and 448 greater trip frequency (Noland, 2001; Noland and Lem, 2002).

449 With specific regard to the stock degradation efficiency for "cars and motorcycles", the 450 improvement registered from 2010 onwards, reflects a reduced vehicle replacement rate, as 451 evidenced by the average in-use car age of 8.0 years in 2015, compared to the 6.6 years obtained in 452 2003 (NimbleFins, 2020). Similarly, vehicles were, on average, sent for scrap upon reaching 13 years 453 of use in 2003 and 14 years of use in 2015. The extended lifespan of those vehicles registered in this 454 category is derived from multiple factors. Technological innovation has enhanced longevity, as has 455 the reduced average distance travelled per vehicle. However, it also likely that the reduced 456 propensity to spend money on material goods, following the 2008 global recession, played a role. 457 This assumption is supported by Wu et al. (2019) who found that the economic recession that 458 started in 2008 reduced national consumption by one percent. The problem with achieving 459 sustainability targets in this way is that it does not reflect a permanent behavioural or operational 460 change and, thus, as soon as the economy starts to grow again these declines are reversed.

The pattern uncovered in the transitional periods between 1975 and 1989 is due to the fact that
only 3 to 4 percent of the total number of registered "cars and motorcycles" were annually replaced
(or scrapped). Between 1990 and 2010, this value had doubled to 6 to 7 percent (Leibling, 2008). The

reduction in outflow registered in 2010 onwards may have been caused by better quality car designand parts.

#### 466 **4.3.2. Buses and rail**

467 The main reason for the decrease in the *stock efficiency* of buses was the reduction in the occupancy 468 rate from 20 to 9 passengers/vehicle over the studied period. The prominent growth in both stock efficiency and stock degradation efficiency for national trains, after 1995, was due to the 469 470 privatisation of the British rail industry. Higher quality rail services have also been achieved with the 471 support of government subsidies (Full Fact, 2018). Therefore, higher railway stock efficiency may 472 reflect consumer preferences for improved rail services relative to the slower and less comfortable 473 road-based public transport options. The lowest recorded stock efficiency (Figure 4a) for bus and 474 London Underground occurred in the 1980s due to various policy changes. For example, following a 475 decline of bus passenger numbers in the 1960s, the UK government restructured bus operations 476 with the Transport Act 1968. The service was streamlined again in 1986, following an amended 477 Transport Act, which privatised all bus services except those of London and Northern Ireland. 478 Passenger-km subsequently increased, and this is reflected in the rise of stock efficiency. Similarly, 479 railway privatisation in 1994 increased rail stock efficiency.

- 480 An example of how policy can influence the *stock degradation efficiency* can be seen in the mid-
- 481 1960s trough in bus *stock degradation efficiency*. This occurs because the UK Government took the
- 482 decision to export London's Leyland buses to Hong Kong rather than scrap them.

# 483 4.3.3. Domestic aviation

484 Aviation passenger transport represents approximately 85 percent of the total passenger-kms 485 offered by aircraft, with the remainder allocated to freight. Although not a significant factor for steel 486 stocks, aviation does interplay with other forms of transport and how individuals choose to travel, as 487 the following example indicates. In 1992, as a direct result of the European Commission initiative 488 Open Skies, there was surge in UK air travel. Open Skies created a single European Market for both 489 air passenger and freight transport. All UK aircraft carriers were, from then on, considered EU 490 aircraft carriers, opening the door to increased frequency and routes, including connecting domestic 491 flights (Christidis, 2016). This policy also allowed for the proliferation of low-cost airlines and fares. 492 Given that stock efficiency increased, this suggests that these developments led to higher occupancy 493 rates. These air policies may have also taken some passenger-km away from cars and buses but did 494 not seem to affect trains (Figure 4a).

#### 496 4.4 Stock-Flow indicators

497 Figure 5 presents the stock maintenance rate (Figure 5a) and the stock expansion (or contraction) 498 rate (Figure 5b). The fraction of flows linked to maintenance varies according to category and is between 3.4 percent and 8.1 percent. The steel stock maintenance rate for "cars and motorbikes" 499 500 was 7.1 percent on average, whilst the steel stock expansion rate was 3 percent. However, in 2009 501 and 2010, the category experienced a steel stock contraction of 0.7 percent and 0.2 percent 502 respectively, due to the global economic crisis. In terms of stock degradation efficiency and stock 503 maintenance rate, there was a relative stabilisation for both indicators between 1960 to 1974. This 504 was followed by a stock degradation efficiency increase and stock maintenance decrease from 1975 505 until 1989. There was then a stock degradation efficiency decrease and stock maintenance rate 506 increase from 1990 until 2010, followed by a simultaneous increase in stock degradation efficiency 507 and decrease in the stock maintenance rate in the final years of the analysis. It appears that "average 508 age" and "vehicle replacement rates" are the two input variables that most impact upon these 509 tendencies.

- 510 The steel *stock maintenance rate* for UK national rolling stock was 4.5 percent. The average steel
- 511 stock contraction rate was 0.7 percent. London Underground had an average steel stock
- 512 maintenance rate of 6 percent and a stock expansion of 1.3 percent. The steel *stock maintenance*
- 513 rate for buses was 8 percent whilst the steel expansion rate was 1.1 percent. Steel stock
- maintenance for aviation was 3.4 percent, whilst steel stock expansion was 1.7 percent. In general,
- 515 stock maintenance rates stabilised after 1995 and although the stock for some modes of transport
- 516 experienced big contractions, there was a continuous demand for steel throughout this period. Table
- 517 S3 in the Supplementary Information S1 summarises the evolution of *stock efficiency* compared to
- 518 stock maintenance and stock expansion rates for all studied transport modes between 1960 and
- 519 2015.





- 523 The annual changes to *stock specific embodied impact* relative to the energy intensity of UK steel
- 524 production are presented in Figure 6. The improved performance in the steel sector becomes
- 525 apparent upon comparing the growth in steel stock for passenger transport relative to the increase
- 526 in the embodied energy for that same steel. The former grew by 3.8 times between 1960 and 2015
- 527 (from 6.9 Mt to 26 Mt), whilst the latter increased by 2.8 times (from 256 PJ to 660 PJ).
- 528



Figure 6. Specific embodied energy for passenger transport steel stock. Energy intensity data from Carmona et al. (2019).
 The underlying data used to create this figure can be found in the Supplementary Information S2.

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529

#### 533 **5. Discussion**

Our empirical operationalisation of the stock-flow-service nexus concept proposed by Haberl et al. 534 (2017) supports the notion that restricting resource accounting to energy flows overlooks the role of 535 536 stock accumulation and its contribution to the socioeconomic system. The nexus framing of efficiency adds important dimensions to resource consumption and accumulation analysis, thereby 537 538 creating a much richer picture compared to conventional eco-efficiency measures such as Joule/GDP or tonnes CO<sub>2</sub>/GDP (Haberl et al., 2020). For example, 33.5 kg of steel stock was required in 2010 to 539 deliver one pkm of mobility when travelling by car. The same pkm required a maintenance flow of 540 541 2.3 kg of steel and 58 MJ of energy (at the production stage) to ensure the car functioned properly. 542 The case study also shows that "car and motorcycle" stock efficiency and stock degradation efficiency, decreased between 1994 and 2015 by 35 percent and 27 percent respectively. This result 543 544 suggests that Ventura's (2020) finding that the fuel efficiency of vehicles categorised under "car and motorcycles" increased 12 percent over the same period (from 0.59 to 0.66 pkm/MJ) must be 545 546 juxtaposed with stock indicators to give a more comprehensive overview of the transport sector. By 547 only considering energy in isolation, one could obtain the false impression that the car industry is becoming more sustainable. However, when reframed according to a nexus perspective it becomes 548 549 apparent that efficiency improvements are, at least in part, made possible through a greater

dependency on materials (as measured in pkm/kg). This result (along with other preliminary findings in Carmona et al. 2020 and Whiting et al. 2020) makes a strong case as to the need to distinguish flows and stocks in the MFA and LCA methodologies. Researchers still tend to conflate energy and mass flows as stocks are usually converted into their flow equivalents, which is the only way to add two incommensurable properties. Distinguishing between these two dimensions of resource use would give policymakers and industry leaders the tools they need to take a more nuanced approach to resource management, including efficiency.

557 When we calculate the service component of the stock efficiency of "cars and motorcycles", we 558 recognise that fuel savings were counteracted by a five percent increase of steel per unit vehicle and 559 a reduction in service provision by 25 percent. This leads us to believe that business policies and 560 strategies that drive changes in fuel savings, via alterations to a vehicle's mass, do not result in a 561 commensurate quantitative increase in passenger mobility, when measured in terms of distance 562 travelled. However, such initiatives may improve service quality by satisfying other parameters such 563 as safety, comfort and fuel costs. In this respect, the operationalisation of the nexus, and the use of 564 the material service concept more generally, highlights and quantifies the trade-off between energy 565 and materials that is overlooked when outputs are solely measured in terms of GDP or the Human 566 Development Index.

567 By prompting a researcher to consider the nature of multiple aspects of resource use, the nexus perspective prevents the oversimplification of the complex interactions that occur within 568 socioeconomic metabolism. For example, if one only follows the trend of stock efficiency without 569 570 also considering the stock maintenance and stock expansion (contraction) rates, one might be led to 571 believe that the service is improving due to the shortage of stock, when, in fact, it is not. Likewise, if 572 one does not consider *specific embodied impact intensity*, particularly when measuring energy 573 consumption and carbon emissions, environmental issues may be overlooked. This is especially the 574 case when one is estimating the sustainability of different production routes (e.g. electric arc 575 furnace versus direct reduction iron).

It is important to point out that a higher service efficiency does not necessarily mean that the service provided to the average end-user is "good" or "suitable". This is because efficiency does not capture all aspects of mobility. For example, people do not tend to own cars because they are efficient but because they provide a greater flexibility of destination, scheduling, security, and privacy. Likewise, a transport authority interested in meeting national sustainability targets, will emphasise metrics that go beyond mere efficiency, such as equity/fairness, road safety, space comfort, average duration of trip, urban aesthetics, and air pollution issues. 583 The inherent complexities emerging from the service perspective imply that any given material 584 service should be assessed using more than one set of units. Selecting units that represent the 585 primary function of a particular service, and which are already commonly used, is useful when 586 attempting to improve specific aspects of a service, as long as one recognises that a high number of 587 units, does not necessarily translate into high level of service. Arguably, the most efficient way to 588 provide mobility is to design and operate routes that enable a person to achieve their end goal 589 whilst travelling the least number of kilometres in the shortest time possible, making use of the 590 lowest possible amount of resources. This is, in short, why a contextual analysis is essential to the 591 interpretation of nexus results.

592 Another strategy that may achieve a more comprehensive resource use evaluation involves the 593 combination of nexus indicators with methods from the social sciences to ascertain user perception 594 and preferences. Suitable ones include those explored by Litman (2007), Shove (2007) and Mattioli 595 et al. (2016). Questionnaires, in particular, can assess qualitative experiences associated with 596 transport use. In the context of the case study, "London Underground" registered a 120 percent rise 597 in pkm but only a 22 percent increase in rolling stock. This led to a higher stock efficiency, which 598 would seem to signal, from a sustainability perspective, an improvement in service provision. 599 However, as it was achieved by "train crowding", the quality of the end-user experience 600 deteriorated, a reality confirmed by the lower levels of satisfaction recorded in the TfL London 601 Underground Customer Satisfaction Survey 2010/11 (TfL, 2011).

#### 602 6. Conclusions

603Our findings suggest that the SFS nexus does indeed offer rich insights into the complex interactions604that emerge from specific combinations of stocks and flows and the way in which they provide605services. The operationalisation of the nexus highlights the need to consider stocks in their own right606because if one automatically converts stocks into flows, the potential trade-offs between energy607flows, material flows and material stocks can be masked. A service perspective offers an evaluation608of societal functions that does not require an economic lens. The latter emphasises wealth creation609and public budgets but can lead to services falling short of end-user requirements.

610 It seems that steel is a good proxy for a transport sector's resource use calculations, upon extending
611 the analysis into aluminium, plastics, glass, and rubber. However, this needs to be explored in more
612 detail. There is also scope for an expansion of the case study to include air, road and rail
613 infrastructure.

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# 622 Conflict of interest

- 623 The authors declare no conflict of interest.
- 624

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