



# Innate immunity to inhaled particles: A new paradigm of collective recognition

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## Abstract

Major progress has been achieved in recent years to elucidate mechanisms driving the early response of pulmonary innate immune cells to inhaled micrometric and nanometric particles. Mononuclear phagocytes promptly categorize particles, alert immune network and engage crescendo responses for particle clearance and homeostasis restoration. Negatively charged particles directly interact with scavenger receptors A and B (SR-A and SR-B) and consequently activate specific signaling pathways, resulting in the production of TNF and IL-1 family members, which coordinate effective innate immune responses. Cytokine secretion also arises after a simple contact between particle-associated radicals and cell membranes. Reactive particles engage the passive release of constitutive alarmins, ensuing particle- or TNF- $\alpha$ -induced cell death and membranolysis. Finally, the inflammasome machinery represents the decisive intracellular platform that finely tune immune pathways engaged after SR activation, alarmin release, TNF- $\alpha$  production and cell homeostasis perturbations. Disturbance of these collective recognition processes prolongs particle persistence and innate immune responses that generate long-lasting adaptive immunity and cause chronic lung diseases.

## Addresses

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## Keywords

Sensing, Particles, Nanoparticles, Silica, Innate immunity, PRR, DAMP, HAMP, Cytokines, Alarmins and inflammasome.

## Abbreviation

PRRs, Pattern Recognition Receptors; DAMPs, Damage-Associated Molecular Patterns; HAMPs, Homeostasis-Altering Molecular Processes; LMP, Lysosomal Membrane Permeabilization; CDE, Clathrin-Dependent Endocytosis; NLRP, NOD-like Receptor Proteins.

## 1. Introduction

Interest in clarifying the immuno-pathophysiology of lung disorders induced by inorganic particles was initiated almost 30 years ago with the first description of a marked accumulation of neutrophils and activated macrophages (or mononuclear phagocytes) in the lungs of dust-exposed individuals with respiratory impairments [1]. Although additional immune cells and pathways have been identified that refine our understanding of the immune mechanisms leading to particle-induced chronic diseases [2], it remains to elucidate how innate immunity senses particles (inert or reactive) and elicits early tissue responses that have an essential role in eliminating particles or driving diseases such as fibrosis and cancer.

The innate immune system integrates a distinct set of receptors on phagocytes designated pattern recognition receptors (PRRs) and serving as sensors for monitoring the extracellular and intracellular compartments for signs of infection or tissue injury [3]. These sentinel receptors rely on sensing common structural and functional features associated with different classes of microorganisms termed pathogen-associated molecular patterns (PAMPs). The PRR system also detects debris from dying cells, known as danger-associated molecular patterns (DAMPs that comprise alarmins) and perturbations in cytoplasmic homeostasis, recently defined as homeostasis-altering molecular processes (HAMPs) [4]. The engagement of PRRs by PAMPs, DAMPs or HAMPs results in the production of master cytokines such as IL-1 and TNF family members that orchestrate effective immune responses [5].

A similar PRR-mediated sensing system for inhaled particles did initially not appear plausible because particles are different from biological structures such as microorganism cell-wall components or viral nucleic acids, which are avidly and specifically recognized by PRRs. The discovery that scavenger receptors (SR), a subfamily of PRRs, are dedicated to sense endogen low-density lipoprotein (LDL) particles and asbestos [6] changed the opinion of researchers in particle toxicology and suggested that innate immunity can specifically recognize particles and initiate responses against particles. In 1998, three distinct reports [7–9] concurrently revealed a new PRR-related intracellular sensing axis comprising nod-like receptors (NLRP), termed

inflammasome, that is pivotal in particle recognition and immune system activation (reviewed in Ref. [10]). Altogether, these unforeseen aspects of particle-sensing processes by PRRs have shaken up our knowledge of early host defense responses against particles.

Available evidence supports the view that the innate immune system senses particles such as silica, asbestos or titanium dioxide to promote their clearance and to prevent tissue injury. However, the inability of phagocytes to eliminate particles can result in inappropriate and prolonged activation of innate immunity responses [11]. The progressive development of fibrosis, cancer or autoimmune diseases after particle exposure appears when particles are refractory to clearance process, constantly activate PRR-mediated particle recognition, induce cytokine release and promote long-lasting adaptive immune responses and drive chronic diseases [12]. Thus, the fine regulation of innate immunity after its activation by particles is essential to restore homeostasis (Fig. 1).

Here, we discuss some of the recent developments in particle sensing and describe the emerging concepts of micro- and nanoparticle-recognition systems that include different classes of PRRs (scavenger receptors

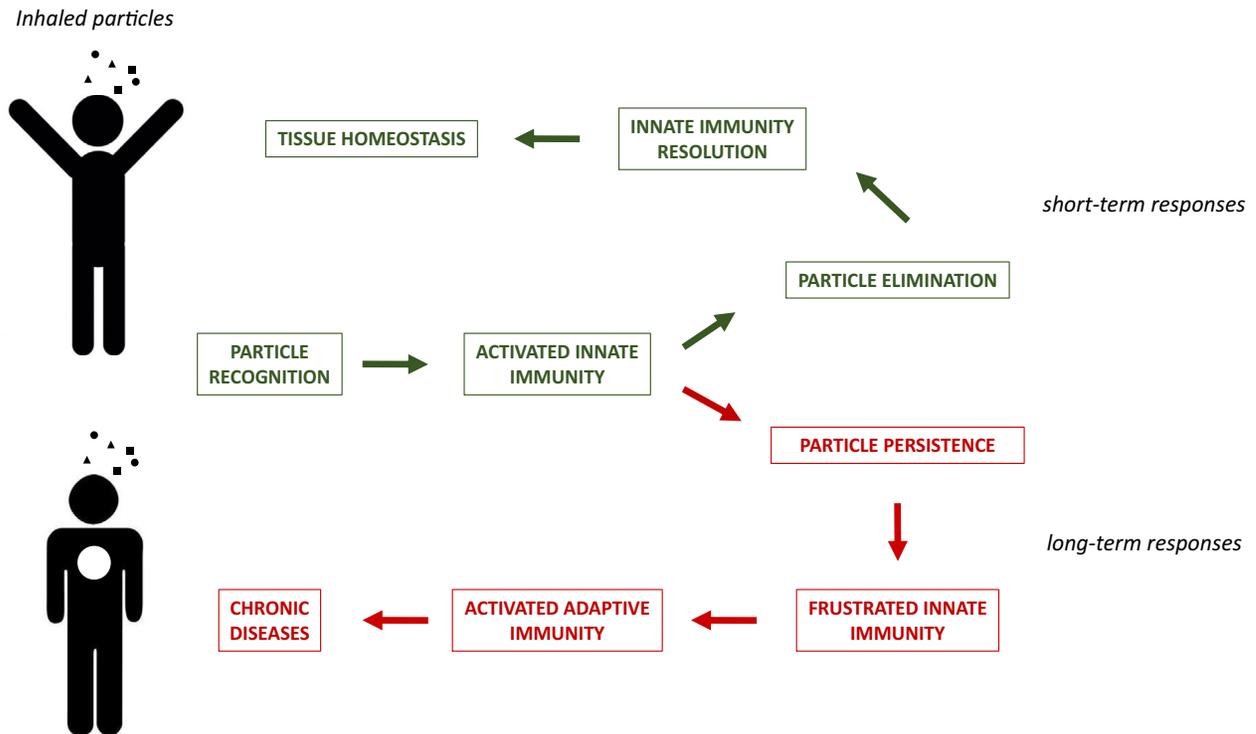
and inflammasome machinery), DAMPs (alarmins) and HAMPs (membrane destabilization). These recognition systems survey the extracellular or cytosolic spaces for detecting particles themselves or particle-related cell signatures and operate in a complementary manner to promote effective responses to particles. Exploring the collective actions of the PRR pathways sensing particles represents a new frontier in particle toxicity, and is the focus of this review.

## 2. Initial pattern recognition receptor activation by particles

Scavenger receptor (SR) are integral membrane proteins that contribute to the recognition and elimination of foreign or altered-self targets. The SR subfamily abundantly present on mononuclear phagocytes comprises a diverse array of functional innate receptors sharing the ability to recognize polyionic ligands such as oxidized LDL particles [13]. SR-mediated sensing also represents the main PRR-related system to detect inhaled particles and initiate early tissue responses [14].

Among SR members, compelling studies support SR-A6 (MARCO) as critical in particle recognition. Expression

Fig. 1



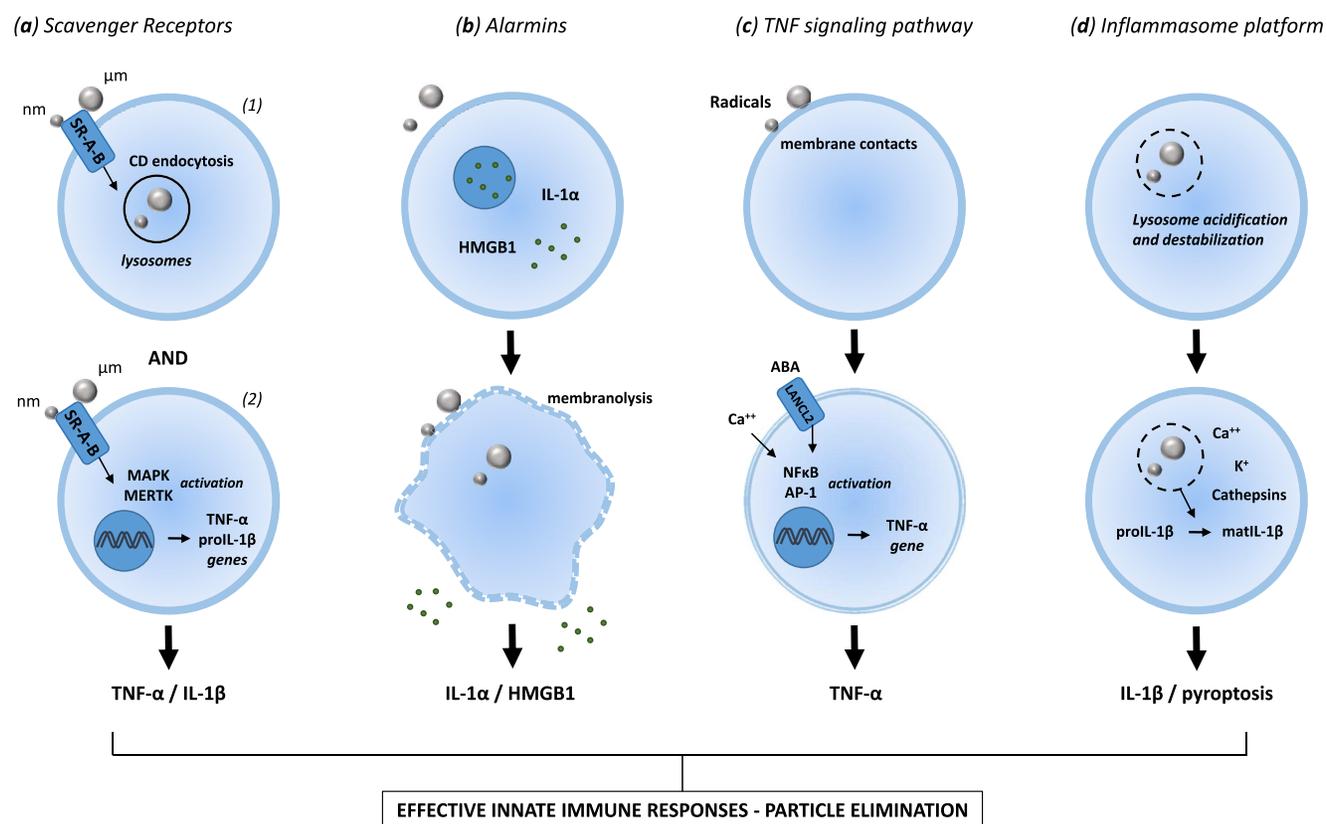
**Inappropriate activation of sensing processes leads to persistent particle accumulation, uncontrolled innate immune responses and chronic disease development.** Phagocytes possess a sensing arsenal of pattern recognition receptors (PRRs) capable of recognizing and taking up inhaled particles. Engagement of this recognition system results in the deployment of innate immune responses accountable to clear particles from tissue to avoid tissue injury. After particle elimination, innate immune responses are controlled and tissue homeostasis is restored. The inability of the innate immune system to degrade and clear particles results into frustrated innate immune responses that lead to the establishment of long-lasting adaptive immunity and cause chronic diseases for which no specific therapy is available.

of SR-A6 in macrophages mediates silica particle binding and endocytosis with cell death [15] (Fig. 2a1). Pulmonary macrophage SR-A6 also mediates clearance of silica from lung tissue [16]. The role of SR-A1 (CD204) is currently less clear but probably comparable to MARCO when considering particle endocytosis [14]. SR-A1 and silica particles can undergo internalization from the plasma membrane via clathrin-dependent endocytosis (CDE) [17]. SR-A6 and -A1 recognize various particles (silica, metallic particles and latex beads), irrespective of their primary size (from micrometric to nanometric) [18–20]. Importantly, SR-A-mediated particle endocytosis can result in lysosomal membrane permeabilization (LMP) and cell death, two crucial events leading to inflammasome engagement and alarmin release, respectively (see below, points 5 and 3). Recently, another SR

of the B subfamily (SR-B1) has been identified to specifically sense amorphous and crystalline nano- and micro-sized silica particles. Consistent with previous characterization of SR-B1 as a non-endocytic receptor, this PRR is, however, not required for silica internalization as the SR-A members [21].

Intracellular signaling pathways are also directly activated after SR-A/B and particle interaction (Fig. 2a2). Activation of SR-A6 and SR-A1 by silica is implicated in free radical and TNF- $\alpha$  production in bone-marrow-derived mast cells and macrophages [22,23]. SR-A1 and SR-B1 also mediate IL-1 $\beta$  release [20,21]. These data strongly indicate that SR-A/B directly induces mitogen-activated protein kinase (MAPK) phosphorylation and NF- $\kappa$ B and/or AP-1 nuclear translocation after

Fig. 2



**Early sensing and alerting processes are combined and mutually linked in response to inhaled particles.** (a1) Micrometric ( $\mu$ m) and nanometric (nm) particles are internalized by phagocytes through the scavenger receptors (SR) A and B and clathrin-dependent (CD) endocytosis. (a2) Particle sensing by these subclasses of pattern recognition receptors (PRRs) also results in the activation of MAPK and MerTK signal transduction leading to TNF- $\alpha$  and IL-1 $\beta$  secretion, which instruct innate immune responses and inflammasome platform (see d). (b) Endocytosis of particles can result in cell death and membranolysis, permitting the passive release of alarmins (subclass of danger-associated molecular patterns, DAMPs) in the tissue environment. Beside their direct activity on innate immune cell recruitment and stimulation, alarmins are also powerful stimulators of immature proIL-1 $\beta$  production and mature (mat) IL-1 $\beta$  secretion (d). (c) Radical groups on particle surface induce plasma membrane peroxidation, calcium flux perturbation, abscisic acid (ABA) release and LANCL2 receptor activation that consequently result in TNF- $\alpha$  release. In addition to its own innate immune activity, TNF- $\alpha$  is known to actively increase the pool of proIL-1 $\beta$  available for the inflammasome machinery (d) and to induce cell death and membranolysis (b). (d) Reactive particles which are taken up by phagocytes (see a1) induce perturbations in cytoplasmic homeostasis (homeostasis-altering molecular processes, HAMPs) such as ion concentration modifications and lysosomal leakage of cathepsin K and S) that are sensed by the intracellular PRR-related inflammasome complex (NLRP) and cause NLRP engagement and mature IL-1 $\beta$  release from inactive proIL-1 $\beta$ . Inflammasome engagement results in a cell death termed pyroptosis that can contribute to alarmin release (b). The stepwise engagement of PRRs with the progressively increase of serial cytokine secretion coordinates effective immune responses and promotes particle elimination.

particle exposure [13,24]. The Mer receptor tyrosine kinase (MerTK) signal cascade is also activated after silica sensing by SR-A1 and mediates IL-1 $\beta$  release by macrophages [20]. However, SR-A6- and SR-A1-deficient mice display exacerbated cytokine production and neutrophilic accumulation after administration of silica particles [16,25]. Exacerbated LMP, cholesterol recycling dysfunction and increased IL-1 $\beta$  release are also observed in macrophages deficient for SR-A6 [26]. In contrast, SR-B1 depleted mice treated with silica particles showed, as expected, reduced IL-1 $\beta$  levels and neutrophilia [21]. Thus, the SR-A subfamily signals through different intracellular pathways with distinct effects on immune responses to inhaled particles.

The mechanisms underlying the direct recognition of particles by SR-A and -B have been elegantly clarified in recent studies. The positively charged amino acids of SR are involved in the sensing processes of particles with negative surface charges. A conserved R-X-R motif of domain V (also termed C-terminal SR cysteine-rich domain or SRCR) of SR-A6 containing positively charged residues is required to initiate cell signaling after macrophage exposure to silica or asbestos particles. Crystalline or amorphous silica and TiO<sub>2</sub> particles bind at different sites in domain V, which may elicit specific and different downstream effects and tissue responses [18,23]. SR-B1 binding to silica is also linked to the particle surface charge and a basic amino acid cluster ( $\alpha 4$  to  $\alpha 5$ ) at the apex position of the receptor [21].

### 3. Membranolysis and alarmin release

Dying cells and cell death pathways have an important role in the initiation of host defense against bacterial and viral infection or during tissue injury [27]. The release of necrotic cell or apoptotic body contents after membrane rupture (membranolysis) acts as a signal to initiate rapid immune responses. Molecules generated by dying cells include DAMPs that account for the upstream immunological cues regulating innate immunity and initiating adaptive immune responses [28].

Membranolysis during cell death induced by silica in phagocytes [29] is initiated by the peroxidation of membrane lipids caused by reactive surface silanol patches present on particle surface [30–33]. Alarmins are the main endogenous danger signals among DAMPs released to the extracellular milieu after particle exposure [10] (Fig. 2b). Unlike most cytokines, which are up regulated upon stimulation, alarmins are constitutively present in resting cells and play important intracellular functions as transcription factors under homeostatic conditions. Alarmins possess extracellular functions when passively released by dying macrophages after membranolysis and bind membrane receptors in adjacent phagocytes not yet affected or newly recruited [28]. IL-1 $\alpha$ , along with other constitutive alarmins such

as High Mobility Group Box 1 (HMGB1) is abundantly secreted after particle exposure and promotes IL-1 $\beta$  gene transcription by activating NF $\kappa$ B or AP-1 translocation (see point 5 and reference [10]).

IL-1 $\alpha$  is released from cellular stocks in pulmonary macrophages after exposure to silica or carbon nanotubes (CNT). This effect is size-dependent since nanoparticles where more efficient to induce IL-1 $\alpha$  release than the corresponding micrometric particles. IL-1 $\alpha$  release by macrophages was predictive of the acute inflammogenic potential (active IL-1 $\beta$  levels and neutrophil accumulation) of micro- and nano-silica [34]. Passive release of HMGB1 is documented in cultures of macrophages exposed to silica or CNT [35]. The presence of HMGB1 in the extracellular environment increases IL-1 $\beta$  secretion by CNT-treated macrophages and inhibition of extracellular HMGB1 reduces CNT-induced IL-1 $\beta$  secretion and inflammation *in vivo* [35].

### 4. Particle recognition and TNF- $\alpha$ signaling

There is very little doubt that TNF- $\alpha$  is a key cytokine in lung responses to inhaled particles [36]. Release of TNF- $\alpha$  by phagocytes is typically observed after *in vitro* or *in vivo* exposure to diverse particles [37]. TNF- $\alpha$  is a powerful activator of NF $\kappa$ B and AP-1 transcription factors and is known to orchestrate cytokine expression during the early inflammatory responses to particles [38].

Recent elements emphasize TNF- $\alpha$  as the first innate immune signal immediately released after reactive particle exposure. While its early production depends on SR or Fas ligand (see the above point 2 and reference [39]), evidence also suggests that particle-generated radicals and TNF- $\alpha$  expression are functionally linked. A simple contact between the macrophage plasma membrane and particles is sufficient to trigger TNF- $\alpha$  production in the absence of phagocytosis [40–42]. Radicals generated at the surface of particles cause membrane lipid peroxidation, extracellular Ca<sup>2+</sup> influx, ABA/LANCL2 interaction and TNF- $\alpha$  release, which occurs within the first minutes of cell exposure to particles such as silica [40,41] (Fig. 2c). Simple particle contacts with the cell membrane are also evoked as triggers for IL-1 $\beta$  secretion [43].

Under resting conditions, TNF- $\alpha$  translation is repressed in most cells but rapidly restored under stress conditions [44]. In addition, a membrane-bound precursor of TNF- $\alpha$  is processed by a TNF- $\alpha$  converting enzyme (TACE) to generate secreted mature TNF- $\alpha$  [45], confirming that TNF- $\alpha$  is very promptly secreted, independently of transcriptional induction or other early immune mediators.

The capacity of TNF- $\alpha$  to transduce particle detection to alert the immune network is not limited to its direct

effects. In response to particles, TNF- $\alpha$  also emerges as one of the major cytokines mediating proIL-1 $\beta$  expression and initiating inflammasome activation (point 5 and reference [38]). Finally, this early cytokine is involved in cell death of phagocytes and subsequent alarmin release after particle treatment (point 3 and [46]).

### 5. The inflammasome platform: the ultimate regulator of innate immune responses to particles

Beside SR expressed on their surface (point 2), phagocytes can elicit discriminating responses to inhaled particles by deploying a sophisticated PRR-related intracellular machinery termed inflammasome (or NLRP) [9] (Fig. 2d). This key component of cytosolic surveillance is a multi-protein signaling platform that controls activation of the proteolytic enzyme caspase-1 which, in turn, regulates the secretion of mature IL-1 $\beta$  and IL-18, coordinates host defenses and induces pyroptotic cell death [47]. The inflammasome components NLRP3 (NOD-, LRR- and pyrin domain-containing 3) and pyrin do not directly detect microorganism or particle patterns, but instead act as signal integrators detecting perturbations in cytoplasmic homeostasis. These cell perturbations now termed HAMPs underlie inflammasome assembly and biologically active IL-1 $\beta$  release that play a crucial role in the establishment of innate and adaptive immune responses [4].

Intensive investigations exploring HAMPs have led to propose different cell processes accounting for inflammasome activation and IL-1 $\beta$  maturation in response to inhaled particles. The main perturbations of cell homeostasis detected by NLRP3 are LMP and subsequent lysosome content release after particle internalization. Following particle uptake by the phagolysosomal pathway, LMP elicits the leakage of cathepsin B and S that, in turn, regulates inflammasome assembly and activation [48,49]. Lysosome acidification probably represents a prerequisite and an upstream inflammasome activator after particle endocytosis [50]. Early modifications of cell volume, intracellular ionic concentrations (mainly K<sup>+</sup> and Ca<sup>++</sup>) and redox balance are also sensed by NLRP3 axis as major cellular events requiring IL-1 $\beta$  activation [43,51]. Additional cell perturbations crucial to NLRP3 activation comprise mitochondrial damage and autophagy dysfunction [52]. Physicochemical characteristics of particles such as size and shape are decisive for particle internalization and lysosomal alteration. The smallest and fiber- or needle-like particles are particularly active to induce inflammasome activation. Surface area properties and reactivity also govern cell function, lysosomal damage, and subsequent inflammasome/IL-1 $\beta$  processing [10].

Surprisingly, other activating stimuli are required for phagocytes to express the immature precursor form of

IL-1 $\beta$  (proIL-1 $\beta$ ), a prerequisite for active IL-1 $\beta$  release. The endogenous mediators that prime the transcription of pro-IL-1 $\beta$  through the NF $\kappa$ B/AP-1 signal transduction are the alarmins IL-1 $\alpha$  and HMGB1 released after particle-induced cell membranolysis (see point 3 and [10]). Besides alarmins, TNF- $\alpha$  is another crucial priming factor promptly released by phagocytes after radical sensing (point 4) and scavenger receptor activation (point 2 and [38]). Finally, pyroptosis is the cell death pathway mediated by caspase-1 activation. Thus, pyroptosis can amplify innate immune responses to particles through the release of dying cell contents, including alarmins.

Interestingly, the secretion of mature IL-1 $\beta$  strikingly requires collective and concomitant signals i.e. HAMPs (which activate inflammasome) and DAMPs (which induce proIL-1 $\beta$  production). It is thus probable that the detection of crescendo signals after PRR system engagement allows the host to gauge particle reactivity, particle deposition or tissue damages and ultimately adjust effective innate immune responses to particles.

### 6. General scenario and predictive tests

In conclusion, this review highlights that the innate immune system integrates SR on phagocytes that sense inhaled particles, activate signal transduction pathways and initiate particle endocytosis. The PRR system associated to inhaled particles also detects components from dying phagocytes after particle endocytosis that comprise DAMPs (alarmins and abscisic acid) and HAMPs (ion movements and cathepsin release). The engagement of PRRs by the particles themselves, DAMPs or HAMPs results in the production of potent pro-inflammatory cytokines (mainly IL-1 and TNF) that orchestrate early immune responses against particles.

Simple and quantitative models or assays based on PRR engagement may serve in (nano) toxicology to predict the *in vivo* toxicity of new materials and particles and to reduce animal uses. Alarmin release in macrophage cultures has been proposed to serve as a basis for evaluating the inflammogenic activity of nano- and micrometric particles [34]. The binding of particles at different sites of SR may also be used to distinguish reactive and inert particles [18,23]. Finally, the activation of inflammasome machinery appears highly predictive of the potential capacity of micro- and nanoparticles to activate innate immune responses [10]. Indeed, these intracellular PRRs can be activated by a large panel of cell perturbations induced by diverse reactive particles.

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## Conflict of interest

The author has no conflicting financial interest.

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